

## Agenda

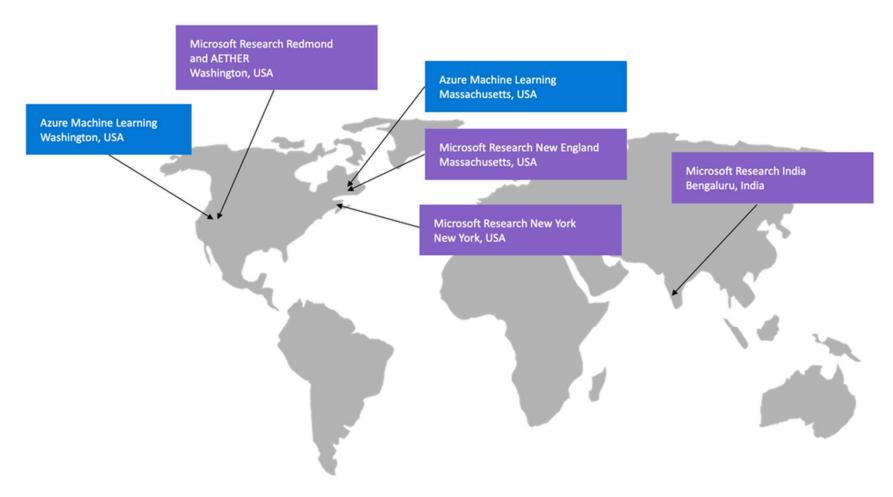
Introduction to the Responsible AI Toolbox

- Responsible AI Dashboard Demo
- Vision and Language Tasks Demo & QA
- Responsible AI Mitigations and Tracker Demo User Insights, Challenges, and Opportunities QA

## Thanks to our v-team!







## Microsoft's Responsible AI Principles

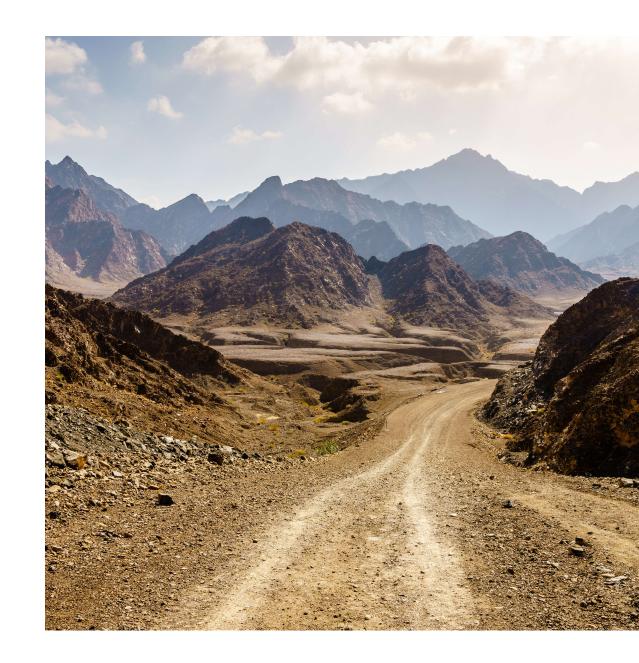
https://microsoft.sharepoint.com/sites/ResponsibleAl



Common need: Evaluation of system performance across demographic groups, key use cases, and operational factors.

# The path to deploying reliable machine learning systems is still unpaved.

Software Engineering for ML: A Case Study ICSE 2019



## **Key Challenge: Tool Fragmentation**

#### **Desiderata for Tool Integration**



Learnability



Discoverability



**Sharing Insights & Data** 

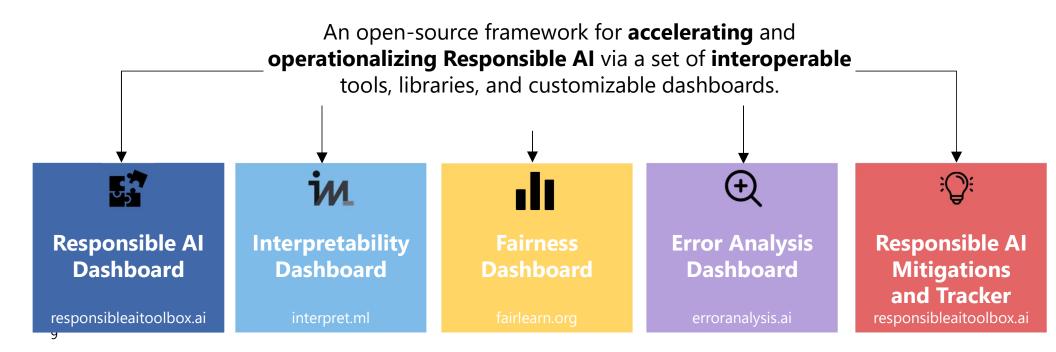
## **Current Tools: Open-source Building Blocks**

- InterpretML <u>interpret.ml</u>
- Error Analysis <u>erroranalysis.ai</u>
- · Fairlearn <u>fairlearn.github.io</u>
- DiCE <u>github.com/interpretml/dice</u>
- · EconML <u>aka.ms/econml</u>
- DoWhy <u>github.com/microsoft/dowhy</u>
- BackwardCompatibilityML <u>github.com/microsoft/BackwardCompatibilityML</u>



## Responsible AI Toolbox

responsibleaitoolbox.ai



## **Current Model Debugging & Improvement Approaches**

Add data

Measure Error >>>>>>> Increase architecture size

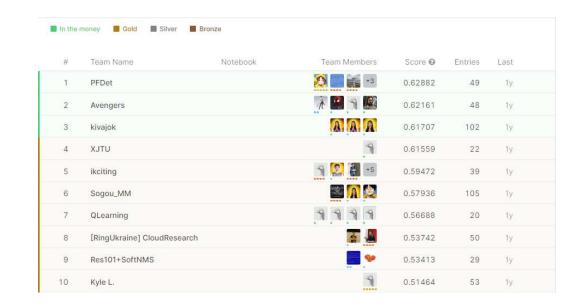
Find better parameters

Compare error

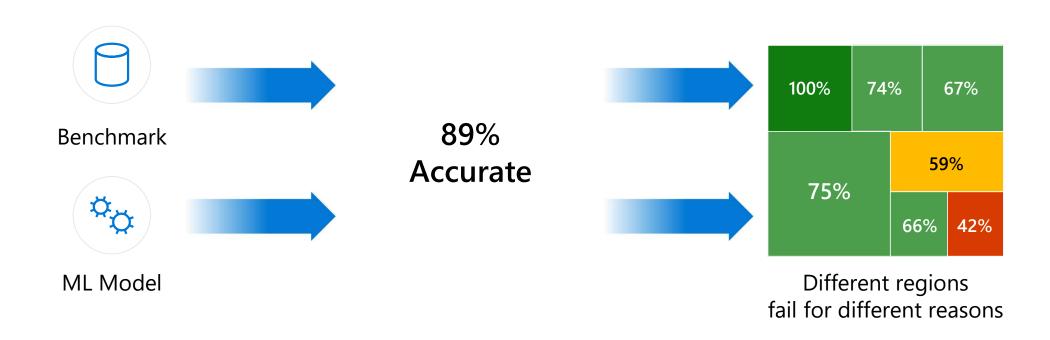
# Evaluating machine learning models aka the problem with aggregated metrics

AI-powered scans can identify people at risk of a fatal heart attack almost a DECADE in advance 'by looking at the entire iceberg and not just the tip'

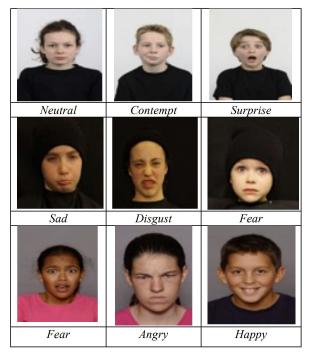
- The AI predicted heart risk with 90% accuracy, according to data
- · Current medical scans are only able to see 'the tip of the iceberg'
- It could benefit around 350,000 in Britain, cardiologists believe
- · Government funding will fast track the tech into the NHS in two years



## Why isn't this sufficient?



## **Emotion Recognition**



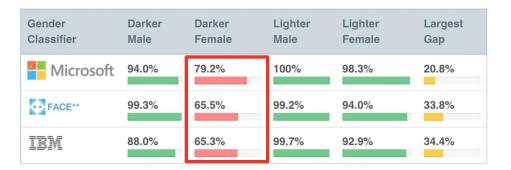
[Howard et al., ARSO 2017]

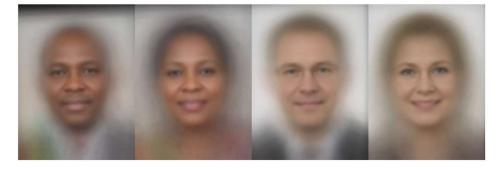
Addressing bias in machine learning algorithms: A pilot study on emotion recognition for intelligent systems

TABLE I. DEEP LEARNING RECOGNITION RATES ACROSS THE DIFFERENT STIMULI SETS (IN %): (FE)AR, (AN)GRY, (HA)PPY, (SA)D, (NE)UTRAL, (SU)RPRISED, (DI)SGUST, (CO)NTEMPT

	Fe	An	Di	Ha	Ne	Sa	Su	Co
NIMH-ChEFS	13	43		100	100	48		
Dartmouth	25	35	55	100	99	64	91	
Radboud	33	54	100	100	100	95	100	50
CEPS	5	50	10	95	92	52	81	

## **GenderShades Study**





[Buolamwini and Gebru, FAccT 2018]

Gender Shades: Intersectional Accuracy Disparities in Commercial Gender Classification

## Follow up case study

All data

 $\rightarrow$  5.5 % error rate

Women, No makeup, Short/Tied hair, Not smiling

**→ 35.7** % error rate

[Nushi et al., ICLR DebugML 2018]

Error terrain analysis for machine learning: Tool and visualizations

## Performance discrepancies in the real world







Safety Fairness Trust

## Concepts of disaggregated evaluation

#### Cohort (aka data slices, regions, subgroups, clusters):

Subsets of data created by adding filters to the overall test or train datasets. Examples:

```
"age > 40 and residency= 'Florida'"

"gender=female and 'diabetes' in pre_existing_conditions"
```

#### **Performance discrepancy (ratio or difference)**:

- Discrepancy between all data vs. cohort of interest
- Discrepancy between two cohorts of interest

  Example: WA residents vs NY residents
- The best and worst performance across combinations of features.

  Example: the best and worst performance for combinations of gender and age
- Discrepancy between cohorts with the best and worst performance

- Ground truth filters vs. Automated metadata filters
- 2. Consider the application-based cost of error
- 3. Cohort size in the train/test data may not reflect real-world usage
- 4. Automated vs. manual high-error cohort discovery

Filters can be created based on feature values for tabular data.

#### Census Income Data Set

Download Data Folder Data Set Description

Abstract: Predict whether income exceeds \$50K/yr based on census data. Also known as "Adult" dataset.



Data Set Characteristics:	Multivariate	Number of Instances:	48842	Area:	Social
Attribute Characteristics:	Categorical, Integer	Number of Attributes:	14	Date Donated	1996-05-01
Associated Tasks:	Classification	Missing Values?	Yes	Number of Web Hits:	739048

Filters can be (softly) inferred using image/text processing or auxiliary models.



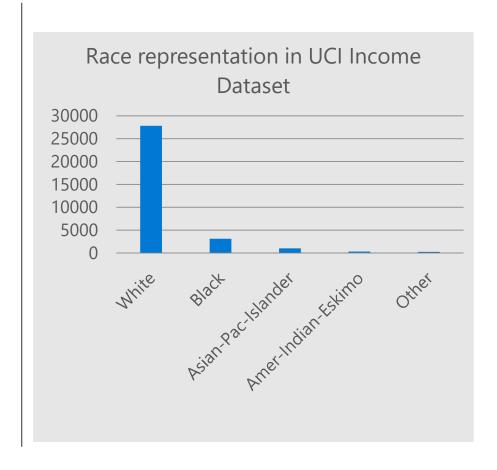
- 1. Ground truth filters vs. Automated metadata filters
- 2. Consider the application-based cost of error
- 3. Cohort size in the train/test data may not reflect real-world usage
- 4. Automated vs. manual high-error cohort discovery



#### **Credit risk assignment example**

- → 20% false positives for small loans (e.g. < \$5000)
- → 5% false positives for larger loans (e.g. > \$20,000)

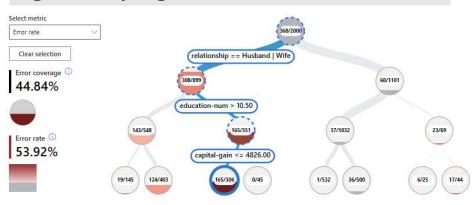
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#### **Automated discovery**

Useful for quick discovery of cohorts with significantly higher error rates



Visualization based on Responsible AI Dashboard: <a href="https://github.com/microsoft/responsible-ai-toolbox">https://github.com/microsoft/responsible-ai-toolbox</a>

- 1. Ground truth filters vs. Automated metadata filters
- 2. Consider the application-based cost of error
- 3. Cohort size in the train/test data may not reflect real-world usage
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#### **Manual discovery**

Useful for exploring errors on known important cohort definitions.

(75 ,90 ]	0%	0%	0%	0%	25%
(60 ,75 ]	0%	0%	0%	0%	14%
(46 ,60 ]	0%	0%	27%	0%	33%
(31 ,46 ]	29%	30%	14%	43%	26%
(16 ,31 ]	0%	17%	0%	0%	4%
	Amer- Indian- Eskimo	Asian-Pac- Islander	Black	Other	White

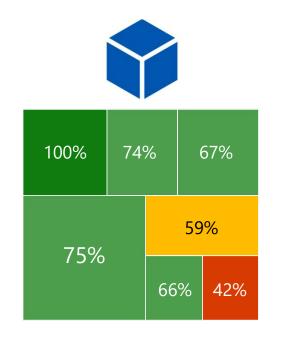
Visualization based on Responsible AI Dashboard: <a href="https://github.com/microsoft/responsible-ai-toolbox">https://github.com/microsoft/responsible-ai-toolbox</a>

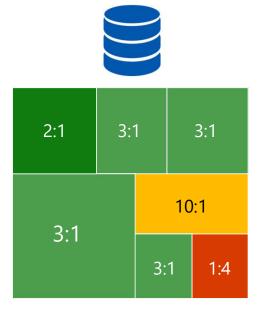
## Disaggregation: from evaluation to debugging

#### A

#### **Data and model debugging**

- Imbalance
- Noise
- Missing values
- Distribution shifts
- Spurious correlations
- Wrong labels



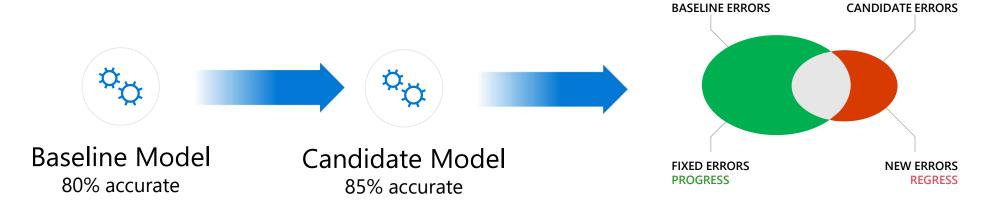


Disaggregated evaluation Discrepancy metrics

Disaggregated training data metrics e.g. class imbalance etc.

Different cohorts may have very different class imbalances which may or may not align with the overall class balance rations in the training data.

## Disaggregated model comparison



Model Updates may lead to new mistakes and lost trust.

## Incompatibility Sources

#### **Optimization Stochasiticity**

Stochastic batches in gradient descent Model initialization Random data augmentation Distributed training

#### **Label Noise**

Semi-supervised learning with noisy data Human labeling error

#### **Distributional Shifts**

Training data is not a representation of the real world
Bias in data collection
The concept definition changes
Domain transfer

#### **Model Class**

Fundamental architectural changes

## Compatibility is not built-in

# Updates in Practice

[Bansal et al., AAAI 2019] Updates in Human-AI Teams: Understanding and Addressing the Performance/Compatibility Tradeoff

[Srivastava et al., KDD 2020] An empirical analysis of backward compatibility in machine learning systems

Classifier	Dataset	Perf. v1	Perf. v2	Compatibility
Logistic Regression	Recidivism	0.68	0.72	72%
	Credit Risk	0.72	0.77	66%
	Mortality	0.68	0.77	40%
Multi-layered Perceptron	Recidivism	0.59	0.73	53%
	Credit Risk	0.70	0.80	63%
	Mortality	0.71	0.84	76%

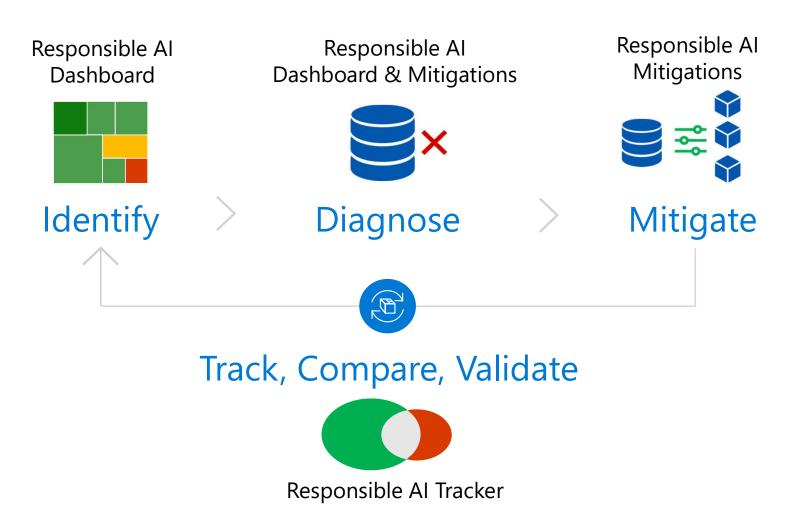
High-stake decision-making

Backward compatibility scores available at: <a href="https://github.com/microsoft/BackwardCompatibilityML">https://github.com/microsoft/BackwardCompatibilityML</a>

Low compatibility

Percentage of predictions that remain correct.

## **Targeted Debugging for Machine Learning**



## **Debugging Machine Learning Models**

## **Identify**



#### Diagnose



## **Mitigate**



#### **Fairlearn**

Fairness Assessment



#### InterpretML

Interpret and Debug Models Perform Feature Perturbations



#### ൂം Fairlearn

**Unfairness Mitigation Algorithms** 





#### Counterfactual

**Diverse Counterfactual Explanations** for Debugging



#### Responsible AI **Mitigations**

Enhance your dataset and retrain model



#### **Exploratory-Data-Analysis**

**Understand Dataset Characteristics** 



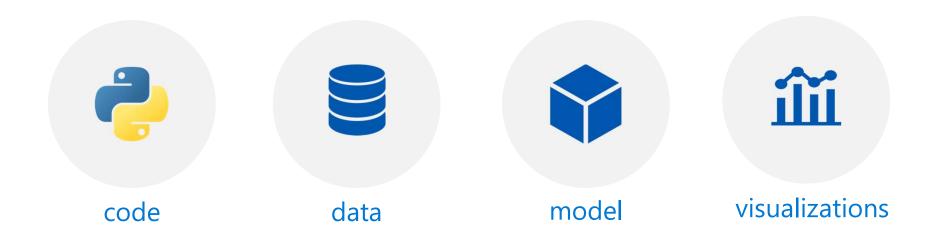
**Responsible AI Tracker** Model

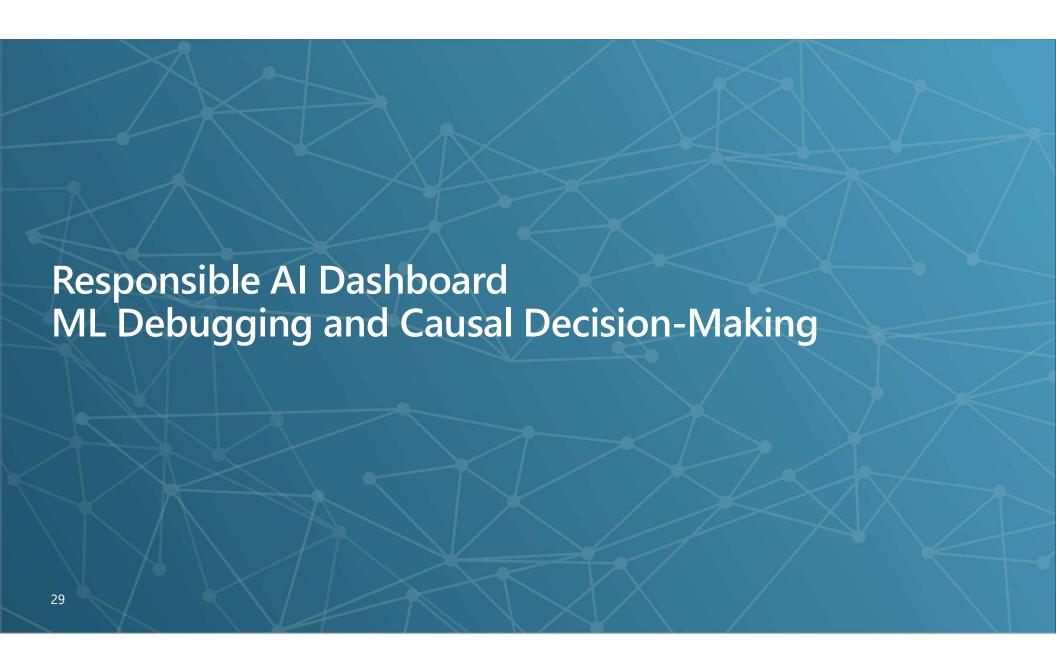
Comparison

**Compare & Validate** 

**Backward** Compatibility

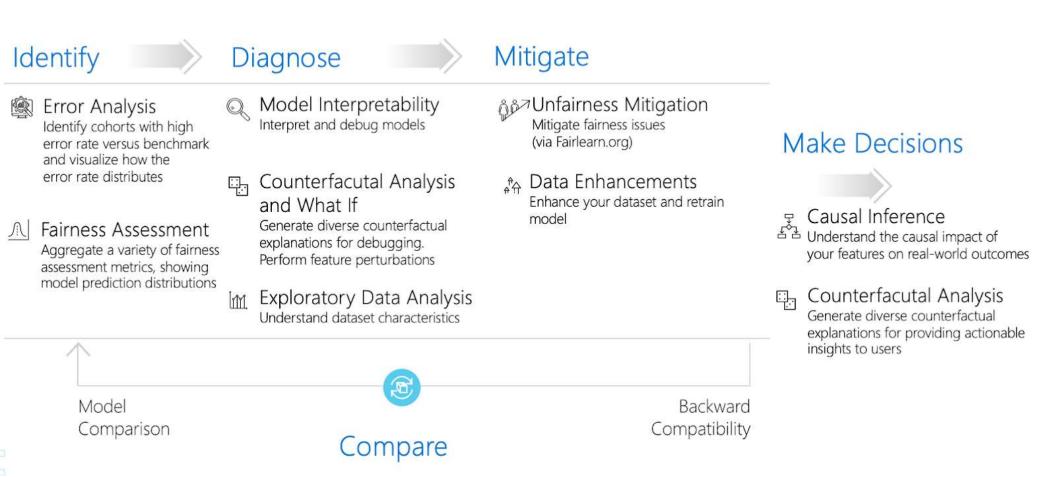
## The future of data science productivity and tools





## Responsible AI Dashboard

An open-source framework for accelerating and operationalizing Responsible AI via a set of interoperable tools, libraries, and customizable dashboards.



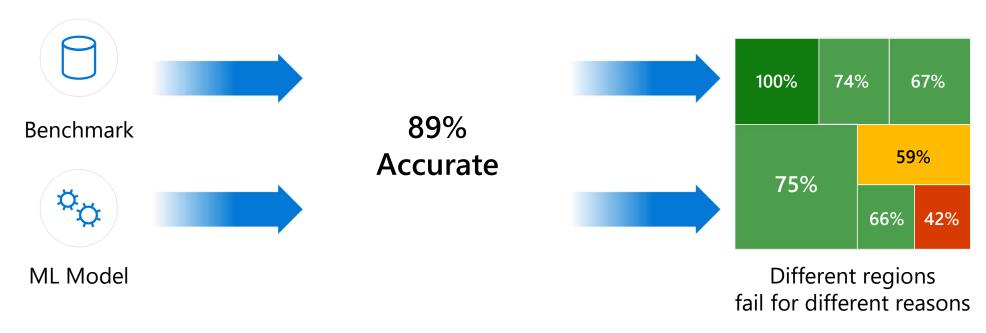


## Identify

Error Analysis Fairness Assessment

## **Error Analysis**

Rigorous performance evaluation and testing is often needed to deploy models in production.



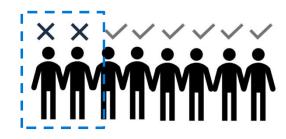
Analyze and debug model errors

#### Fairness in Al

There are many ways that an AI system can behave unfairly.



A voice recognition system might fail to work as well for women as it does for men.



A model for screening job application might be much better at picking good candidates among white men than among other groups.

Avoiding negative outcomes of AI systems for different groups of people

Learn more

https://github.com/microsoft/responsible-ai-toolbox and https://github.com/fairlearn



## Diagnose

Interpretability Counterfactuals Data Exploration

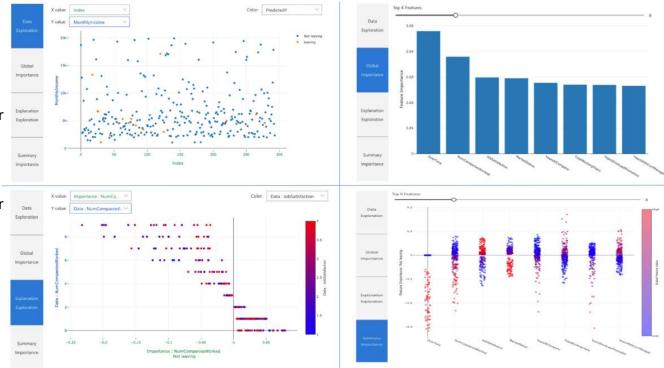
## Interpretability

#### **Understand overall model predictions**

What are the top K important factors impacting your overall model predictions?

#### **Understand individual model predictions**

What are the top K important factors impacting your model predictions for a single sample?



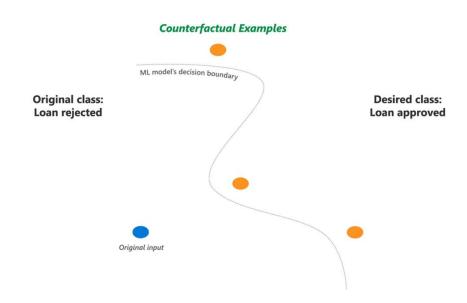
## Counterfactuals

#### **Debug model predictions**

Enable data scientists and model evaluators to debug models by understanding the closest datapoints with different prediction outcomes

#### Make responsible model-driven decisions

Answer end-users' questions such as "what can I do to get a different outcome from the AI model?"





### Mitigate

Model Fairness mitigations

Data mitigations



### **Take Action**

Causal Inference Counterfactual Analysis

### **Causal Inference**

#### **Understand overall causal effects**

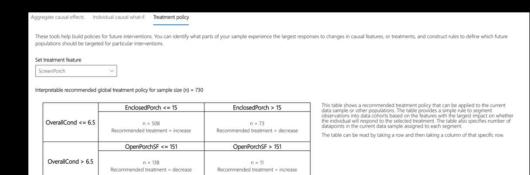
Answer real-world "what if" questions about how an outcome would have changed under different policy choices.

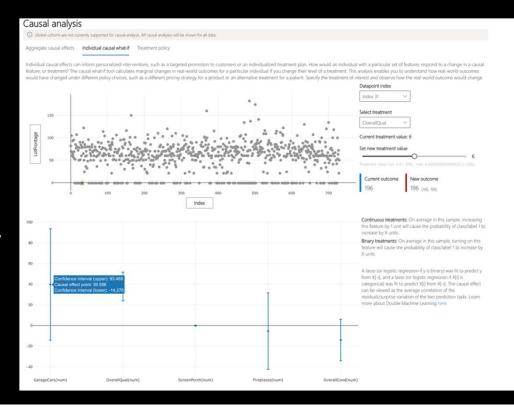
#### **Explore individual causal effects**

Inform personalized interventions, such as a targeted promotion to customers or an individualized treatment plan. Learn about how an individual with a particular set of features respond to a change in a causal feature, or treatment.

#### **Extract a treatment policy**

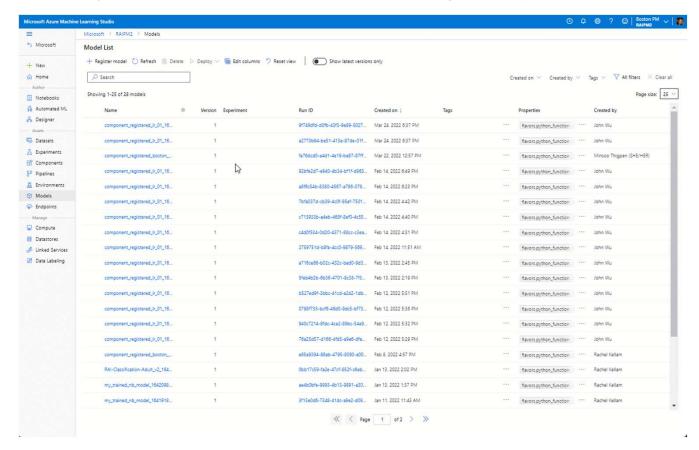
Build policies for future interventions. Identify what parts of your sample experience the largest responses to changes in causal features, or treatments, and construct rules to define which future populations should be targeted for particular interventions.





# Responsible AI Dashboard in Azure Machine Learning

Generally Available in Azure Machine Learning and Open Source



A comprehensive single-pane-of-glass experience with a variety of model and data exploration capabilities such as Error Analysis, Model Explanations. Fairness metrics, and Data Exploration.

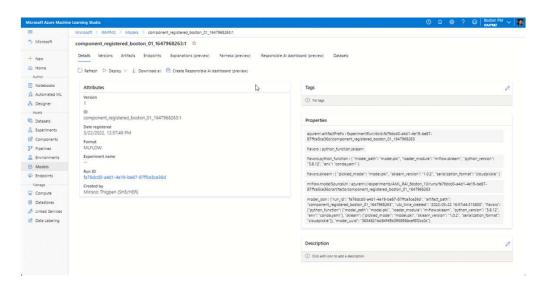
# Responsible AI Dashboard in Azure Machine Learning

Generally Available in Azure Machine Learning and Open Source

```
az ml job create --file /test/rai/pipeline_boston_analyse.yaml
```

```
create-rai-job:
 type: component job
 component: azureml:RAIInsightsConstructor:10
   title: Boston Housing Analysis
   task_type: regression
   model info path: ${{jobs.register-model-job.outputs.model_info_output_path}}
   train_dataset: ${{inputs.my_training_data}}
   test_dataset: ${{inputs.my_test_data}}
   target_column_name: ${{inputs.target_column_name}}
   # datastore name: workspaceblobstore
  rai_insights_dashboard: ${{outputs.rai_insights_dashboard}}
 type: component_job
 component: azureml:RAIInsightsExplanation:10
  comment: Some random string
  rai_insights_dashboard: ${{jobs.create-rai-job.outputs.rai_insights_dashboard}}
causal_01:
 type: component_job
 component: azureml:RAIInsightsCausal:10
   rai_insights_dashboard: ${{jobs.create-rai-job.outputs.rai_insights_dashboard}}
   treatment_features: '["ZN", "NOX"]
   heterogeneity features: '[]'
```

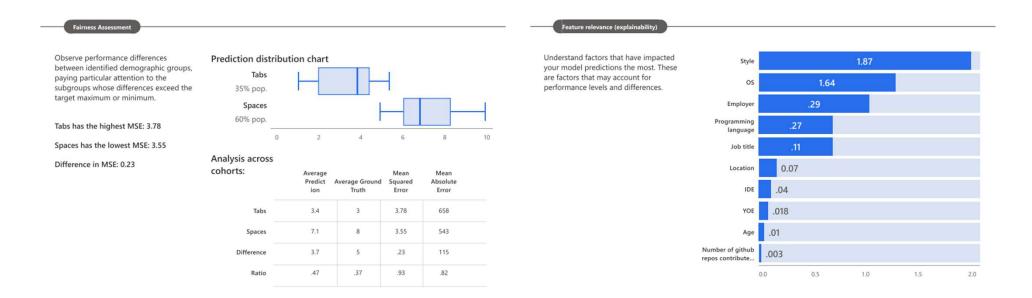
**YAML-powered workflow:** Introducing CLI experience to generate an RAI dashboard as part of an automated pipeline workflow using YAML **Customizable:** Specify which RAI components you want to generate to fit your scenario



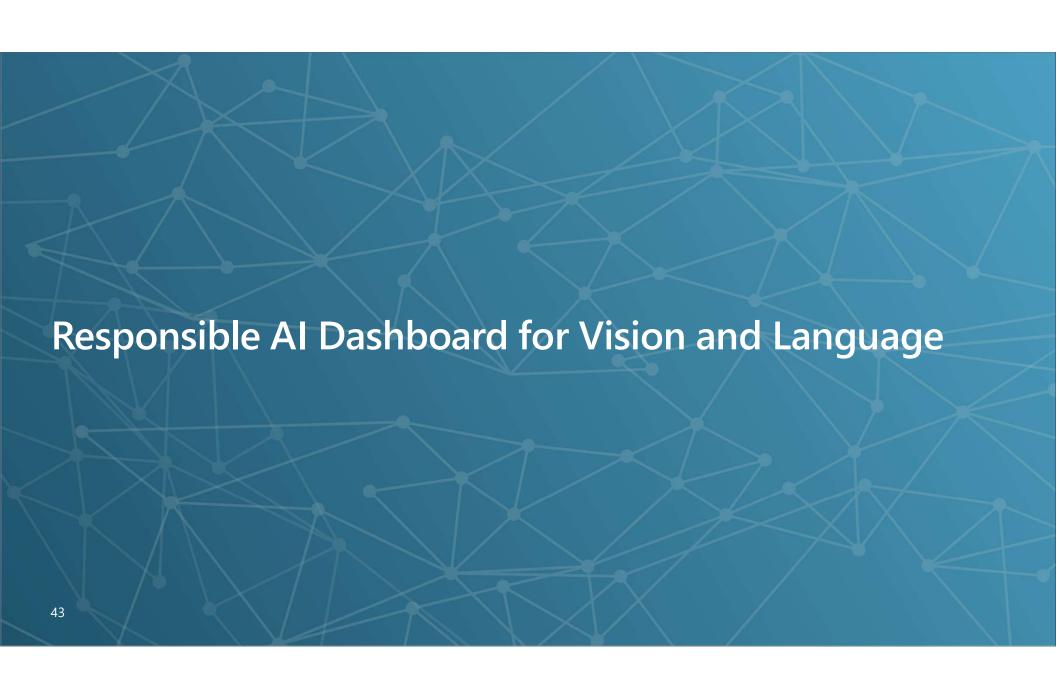
**No code wizard:** Introducing end-to-end on-demand generation of the dashboard from AML studio workspace UI **Reporting:** Export a PDF report of your RAI insights to share with business stakeholders

### Responsible AI Scorecard in Azure Machine Learning

### Public Previewed in Azure Machine Learning

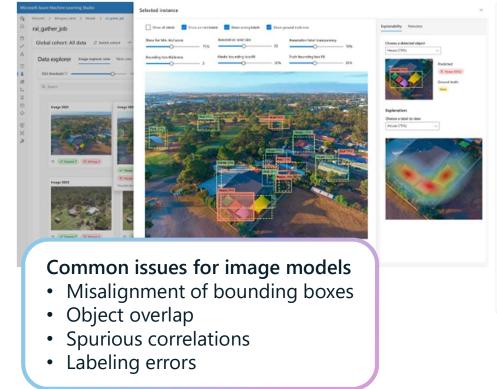


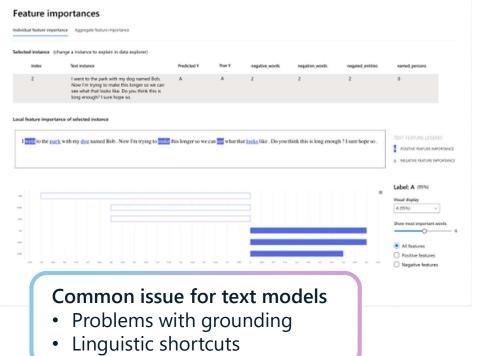
Generate key summaries of Responsible AI insights by exporting to PDF. Share with technical and non-technical stakeholders to aid in compliance review.



### Responsible AI dashboard support for image and text data

### Newly available:





### What is new?



Rich visualizations for vision and text



Meta-data support and ingestion



Interpretability for vision and text



Consistent design with customizable debugging workflows

# Meta-data for cohort design in Vision





Ground truth

Demographics
Synthetics
Bounding Box Info



System data

Camera Settings
Time of day
Location



Inferred attributes

Brightness, Noise Objects Auto Captions

# Meta-data for cohort design in Language

ACM Conference on Fairness, Accountability, and Transparency (ACM FAccT, formerly known as ACM FAT\*) is a peer-reviewed academic conference series about ethics and computing systems.<sup>[1]</sup> Sponsored by the Association for Computing Machinery, this conference focuses on issues such as algorithmic transparency, fairness in machine learning, bias, and ethics from a multi-disciplinary perspective. The conference community includes computer scientists, statisticians, social scientists, scholars of law, and others.<sup>[2]</sup>

The conference is sponsored by Big Tech companies such as Facebook, Twitter, and Google, and large foundations such as the Rockefeller Foundation, Ford Foundation, MacArthur Foundation, and Luminate.<sup>[3]</sup> Sponsors contribute to a general fund (no "earmarked" contributions are allowed) and have no say in the selection, substance, or structure of the conference.<sup>[4]</sup>



Ground truth

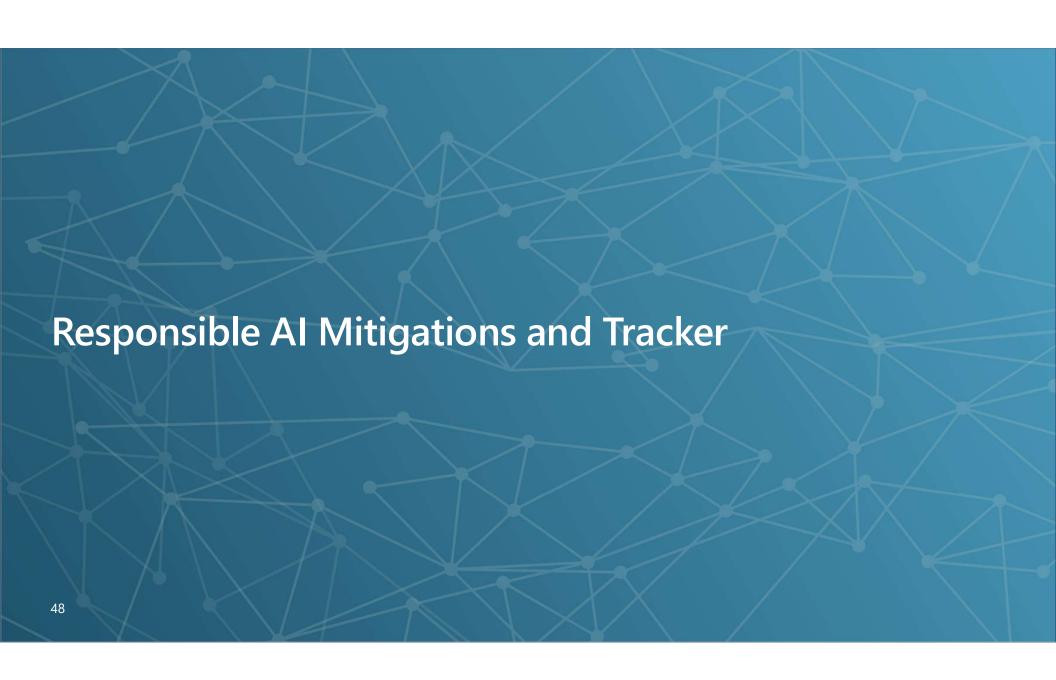
System data



Inferred attributes

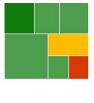
Text Length
Gendered Words
Parse Tree
Complexity

Steps in Interaction User Scenario Telemetry Sentiment Toxicity Topics Entities



### Responsible Al Mitigations

pip install raimitigations







Identify



Diagnose

> 1

Mitigate

Model has higher error for cohort X (e.g. old houses, children)

Cohort X has a different class imbalance than the rest of the data

Balance the data for cohort X

Features that are informative for the whole data, are not useful for cohort X

Select features Create new ones

Numerical features are scaled for the whole data and not for cohort X

Feature scaling

Missing values for cohort X

Value Imputation

49

### An overview

pip install raimitigations

A rich set of mitigations focusing on **data quality** as it relates to the quality of ML models.

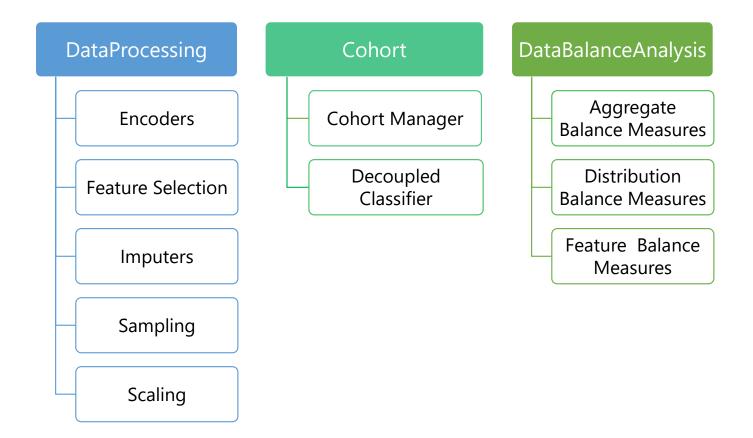
A simple interface for mitigation steps that follows the **.fit()** and **.transform()** convention.

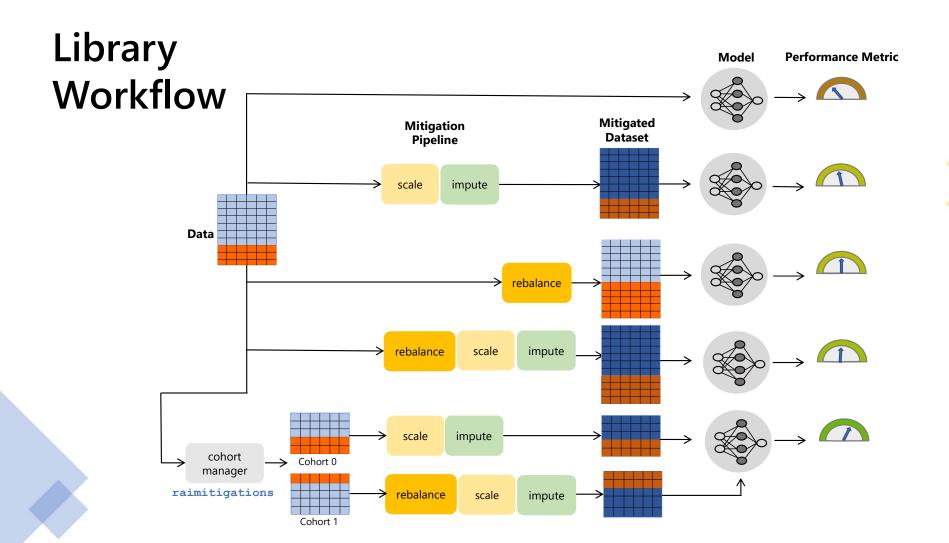
Function calls adapted for responsible AI by extending existing calls either with **target features or cohorts**.

Possible to create **different models for different cohorts**, or **post process** predictions for improving predictions in a cohort..

### **Library Components**

https://github.com/microsoft/responsible-ai-toolbox-mitigations





# Targeted Mitigations



Common

Model training

Separate



#### Data mitigation strategy





# Separate Different types

#### **Blanket mitigation**

Applies the same mitigation type to all cohorts and uses all data as context.

Trains a single model for all cohorts.

#### **Targeted mitigation**

Applies the same mitigation type to all cohorts and uses all data as context.

Trains different models for different cohorts

#### **Targeted mitigation**

Applies the same mitigation type to all cohorts but uses only the cohort data as context.

Trains a single model for all cohorts.

#### **Targeted mitigation**

Applies the same mitigation type to all cohorts but uses only the cohort data as context.

Trains different models for different cohorts.

#### **Targeted mitigation**

Applies different mitigation types to different cohorts and uses only the cohort data as context.

Trains a single model for all cohorts.

#### **Targeted mitigation**

Applies different mitigation types to different cohorts and uses only the cohort data as context.

Trains different models for different cohorts.

### Responsible AI Tracker

https://github.com/microsoft/responsible-ai-toolbox-tracker

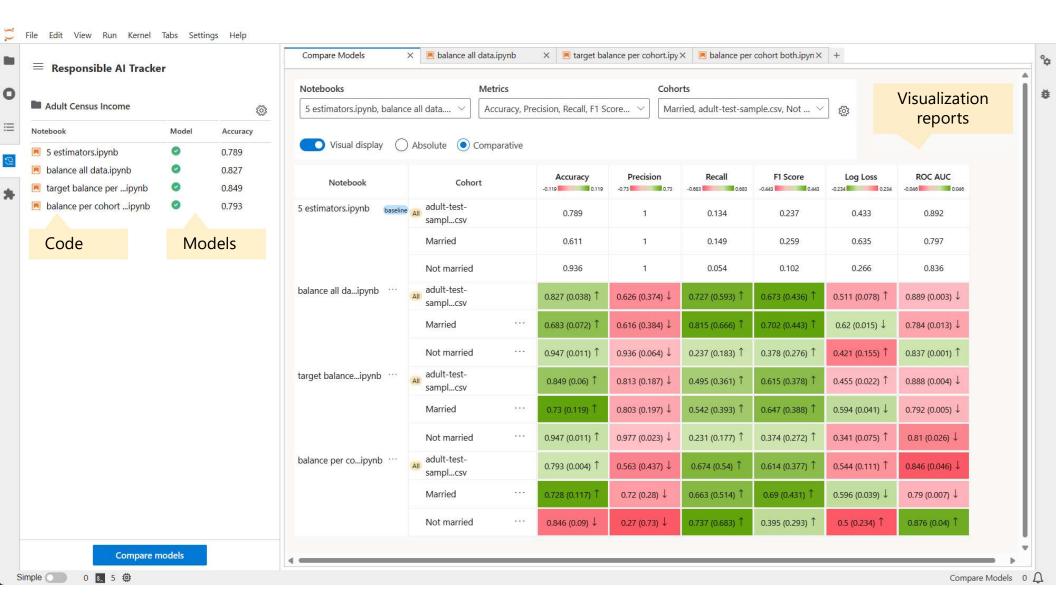


Managing and linking model improvement artefacts for cleaner data-science practices: **code**, **models**, **visualizations**, **data**.

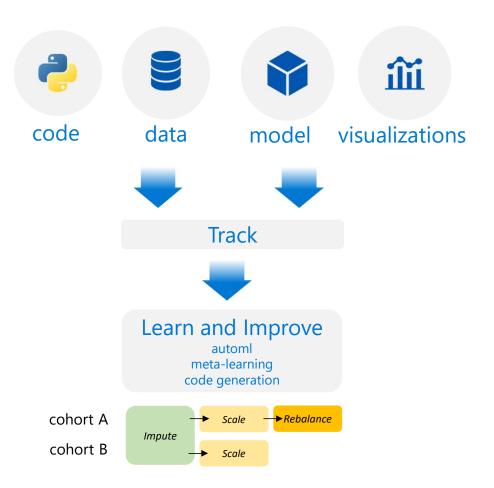
Disaggregated model evaluation and comparison, for tracking both **performance improvements and declines**.

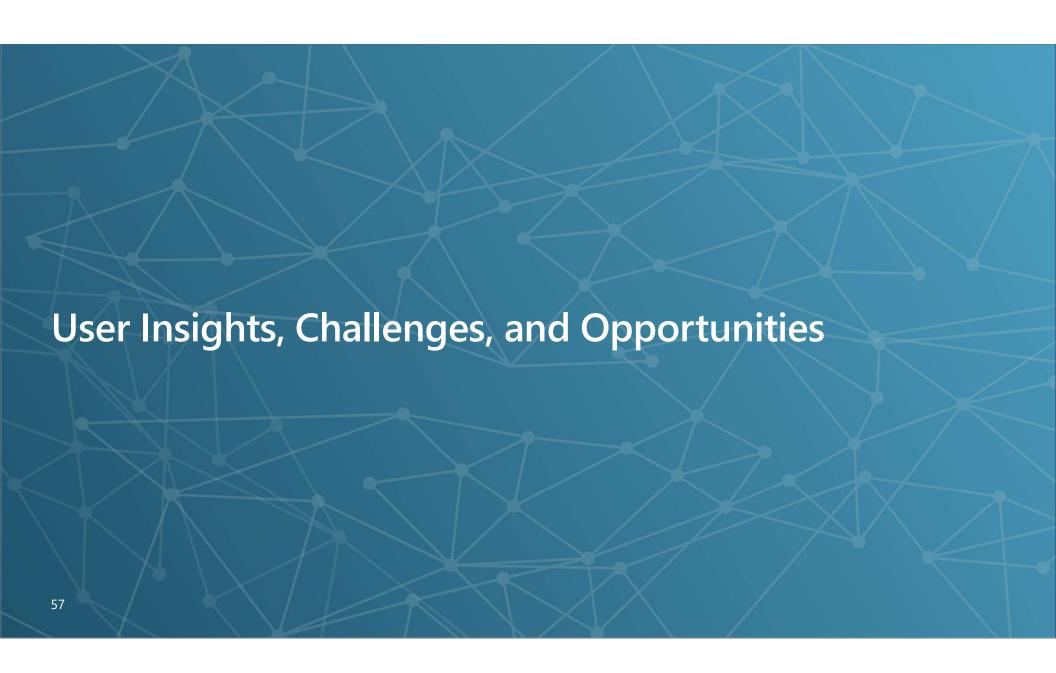
Initial integration with the **Responsible AI Mitigations library**. More to be done for e2e model improvement.

Initial integration with **mlflow**.



# Learning to mitigate for Responsible Al





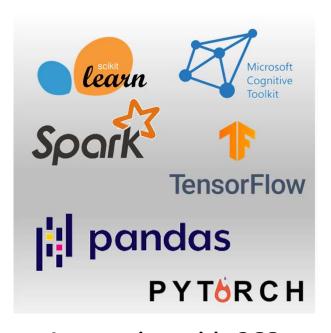
# Responsible AI as an open-source opportunity



Transparency



**Research & Education** 



Integration with OSS frameworks

### **Adoption Challenges**



Disaggregated evaluation, reliability and ML criticality



Choosing the right metrics (domain expertise)



Integration of RAI, ML tools with other tools in the ML Lifecycle



Wide range of ML expertise and problem domains



Responsible AI pre- and post-production

### **Insights - What works?**



Co-design with users/customers



Vertical solutions (e.g. Responsible AI for Healthcare)



Customization and flexibility (metrics, components)



Transparency, reproducibility, reusability of evaluation pipelines



Processes, Culture, Education – beyond tools



MIHAELA VORVOREANU - AMY HEGER - SAMIR PASSI - SHIPI DHANORKAR - ZOE KAHN - RUOTONG WANG

AETHER CENTRAL UX RESEARCH & EDUCATION • MICROSOFT

V1 • MAY 17, 2023

### Stay tuned



https://github.com/microsoft/responsible-ai-toolbox https://github.com/microsoft/responsible-ai-toolbox-mitigations https://github.com/microsoft/responsible-ai-toolbox-tracker



Extend the Responsible AI Dashboard for Generative AI



More functionality around model comparison and monitoring



Scalability investments and distributed mitigations



Learning to mitigate for Responsible Al

### Useful links

#### **Responsible AI Toolbox**

https://github.com/microsoft/responsible-ai-toolbox

#### **Responsible AI Tracker**

https://qithub.com/microsoft/responsible-ai-toolbox-tracker

#### **Responsible AI Mitigations**

https://github.com/microsoft/responsible-ai-toolbox-mitigations

#### Responsible AI: The research collaboration behind new open-source tools offered by Microsoft

https://www.microsoft.com/en-us/research/blog/responsible-ai-the-research-collaboration-behind-new-open-source-tools-offered-bymicrosoft/

Responsible AI Dashboard Deep Dive Blogs
Responsible AI dashboard: A one-stop shop for operationalizing Responsible AI in practice: Tech Community blog
Responsible AI Dashboard in Azure Machine Learning: Tech Community blog
Debug Object Detection Models with the Responsible AI Dashboard: Tech Community blog

Responsible AI Mitigations and Tracker: New open-source tools for guiding mitigations in Responsible AI aka.ms/rai-mitigationstracker-blog

