

Towards Generalization and Efficiency in Reinforcement Learning

Wen Sun

Carnegie Mellon University

Joint work with Drew Bagnell, Geoff Gordon, Byron Boots, John Langford,
Stephane Ross, Nan Jiang, Akshay Krishnamurthy, Alekh Agarwal, Arun Venkatraman



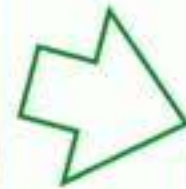
Carnegie Mellon
THE ROBOTICS INSTITUTE

Goal:

Design Algorithms that have
Generalization & Sample Efficiency
in learning to make decisions
in complex environments

My Research

1. Expert Demonstration



All Sequential
Decision Making
Problems

[Sun, Venkatraman, Gordon, Boots, Bagnell, 17, ICML]

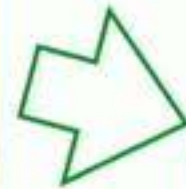
[Sun, Gordon, Boots, Bagnell, 18, NeurIPS]

My Research

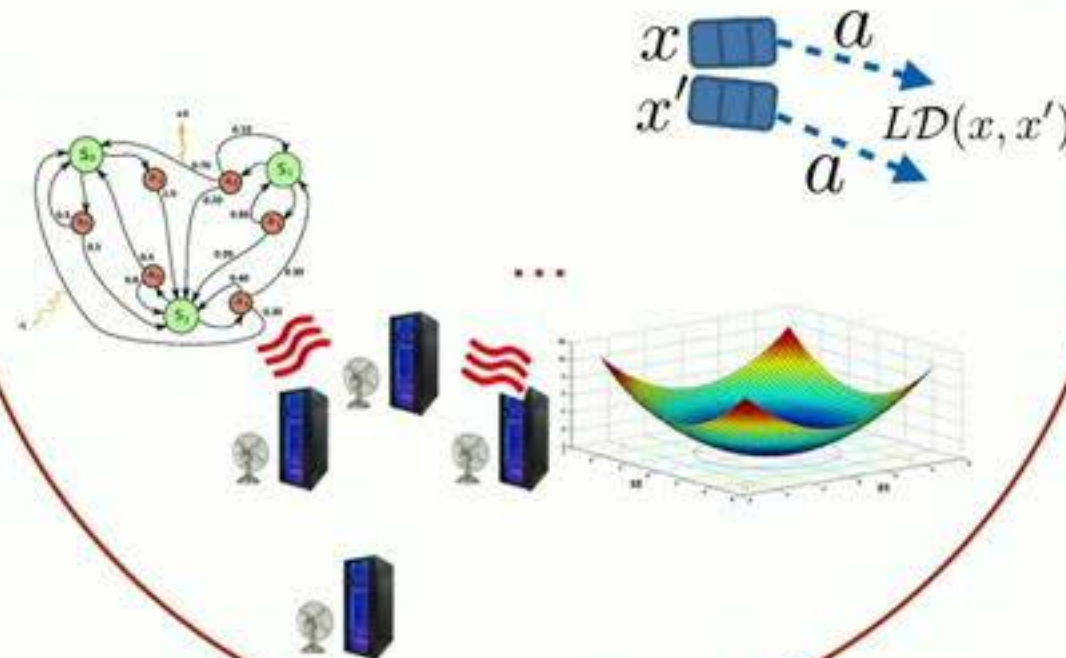
1. Expert Demonstration



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All Sequential
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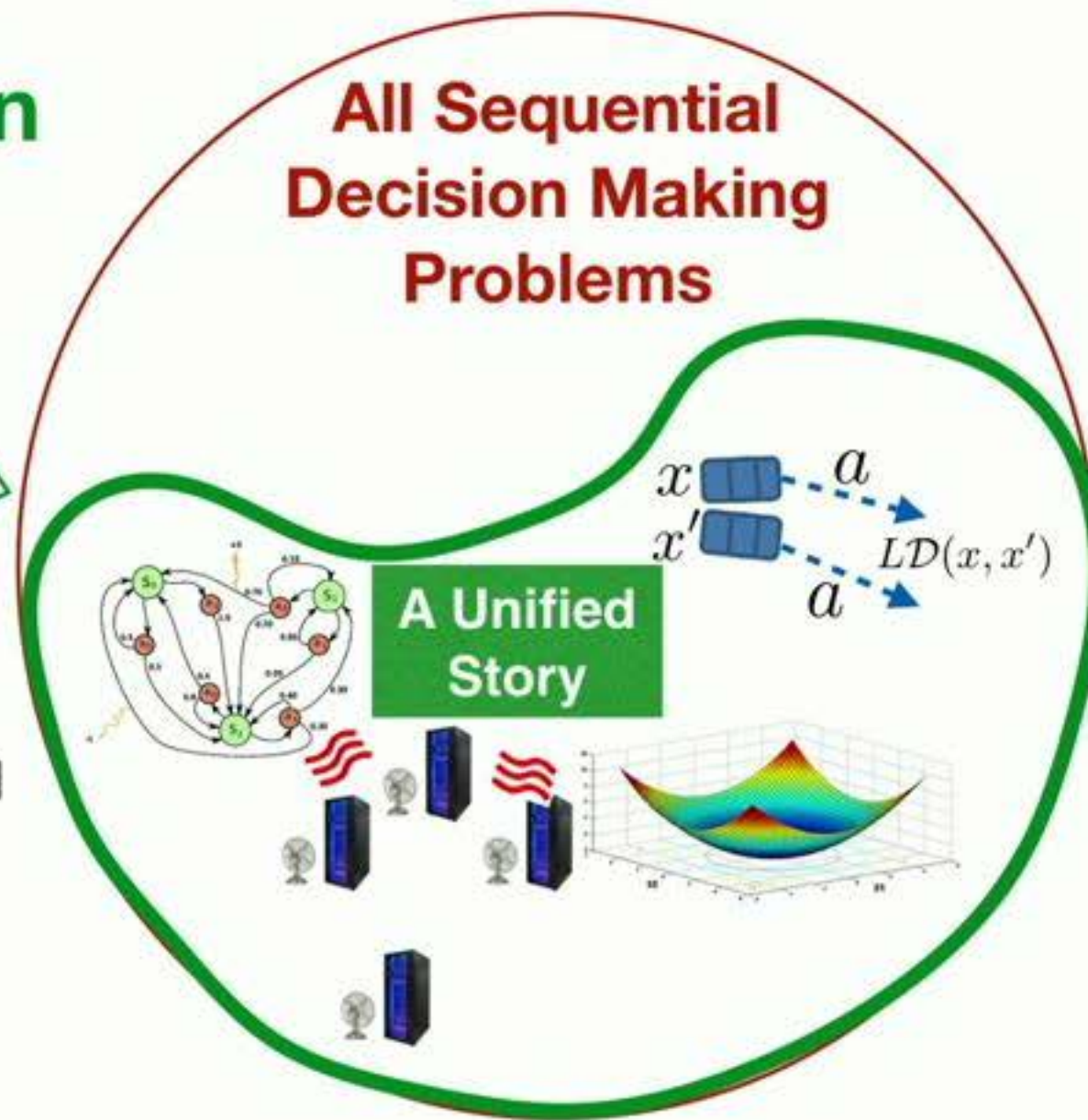
My Research

1. Expert Demonstration



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2. Exploiting Structures

[Sun, Jiang, Krishnamurthy, Agarwal, Langford, arXiv, 18]

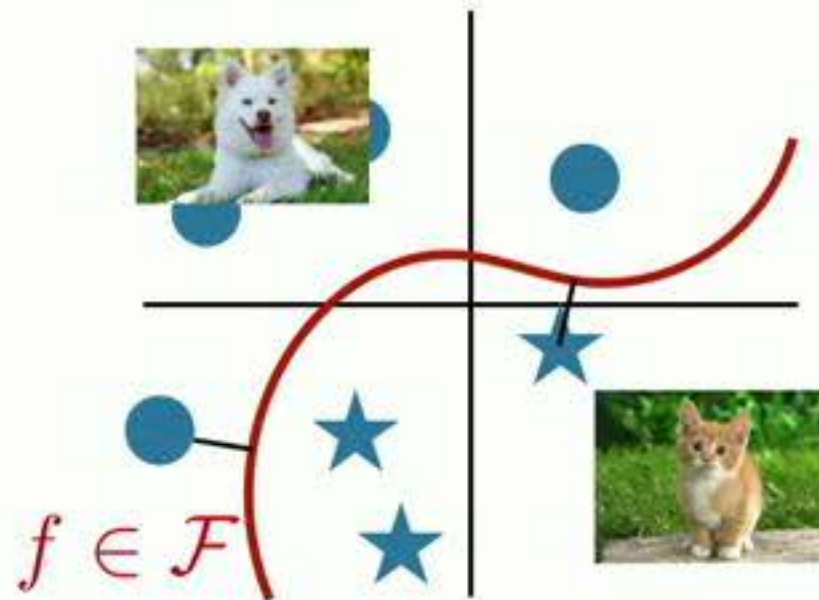
Supervised Learning VS Sequential Decision Making

Given i.i.d examples at training:



Supervised Learning VS Sequential Decision Making

Given i.i.d examples at training:



Passive:

Prediction



Data Distribution

MARIO: 1024 COINS 05 DIFFICULTY 1 TIME 052
FPS: 24 WorldPause false
Attempt: 1 of 1
AgentLinear
Selected Actions:

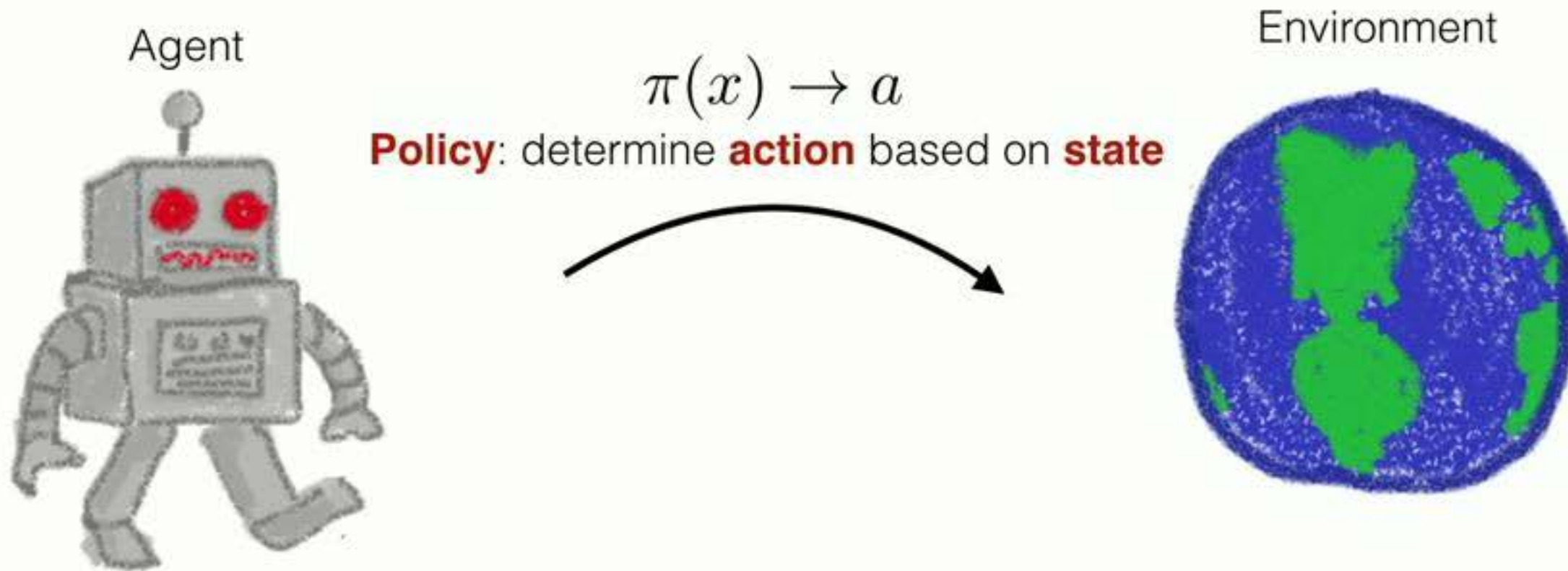
RIGHT

SPEED

Active: Decisions → Data Distribution

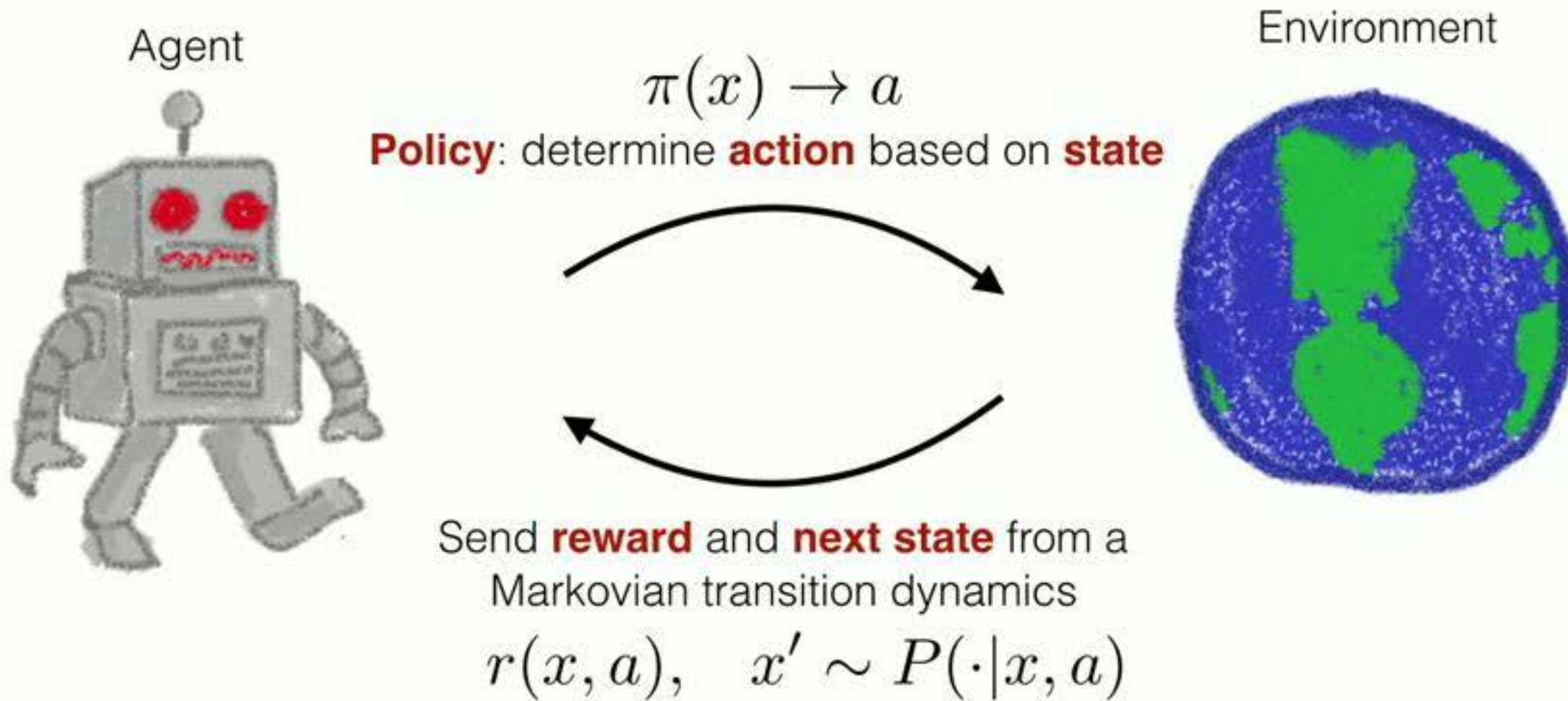
Reinforcement Learning

Markov Decision Process



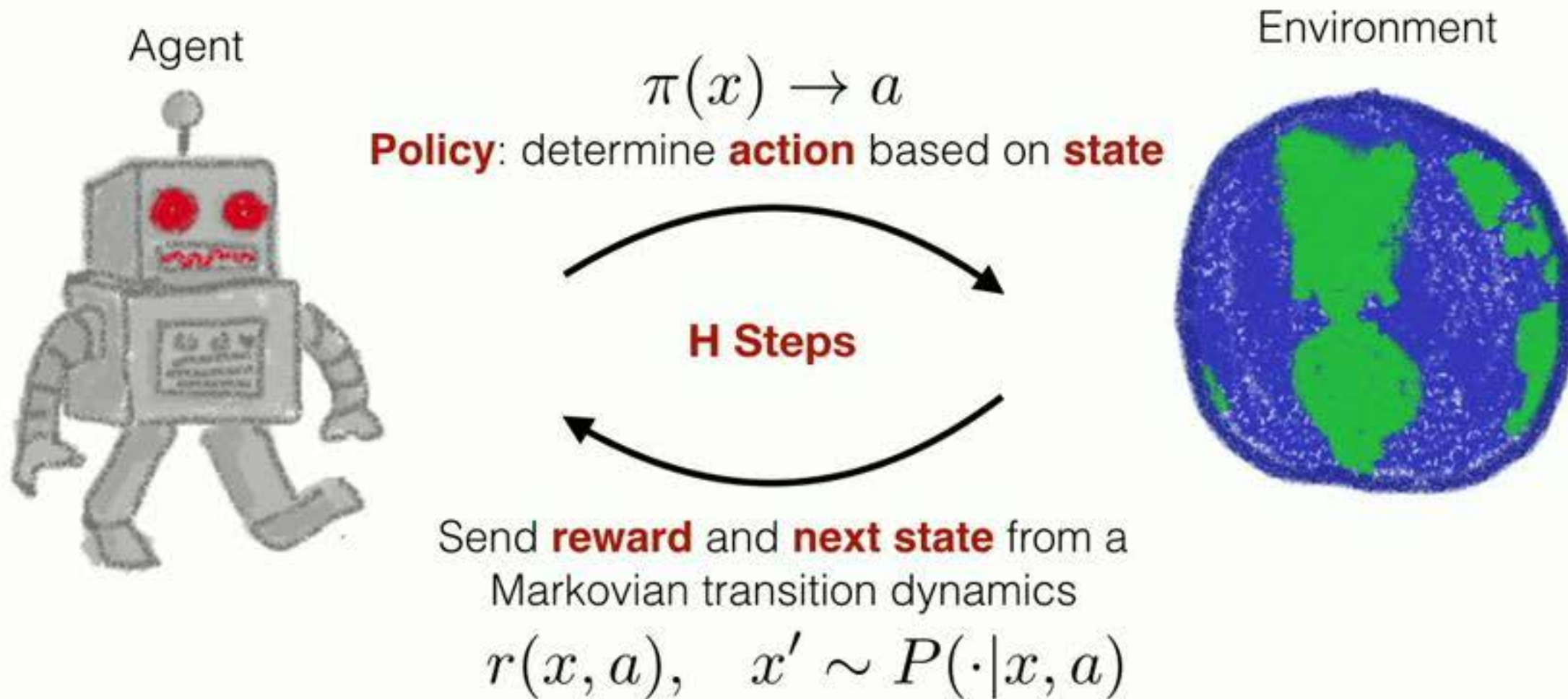
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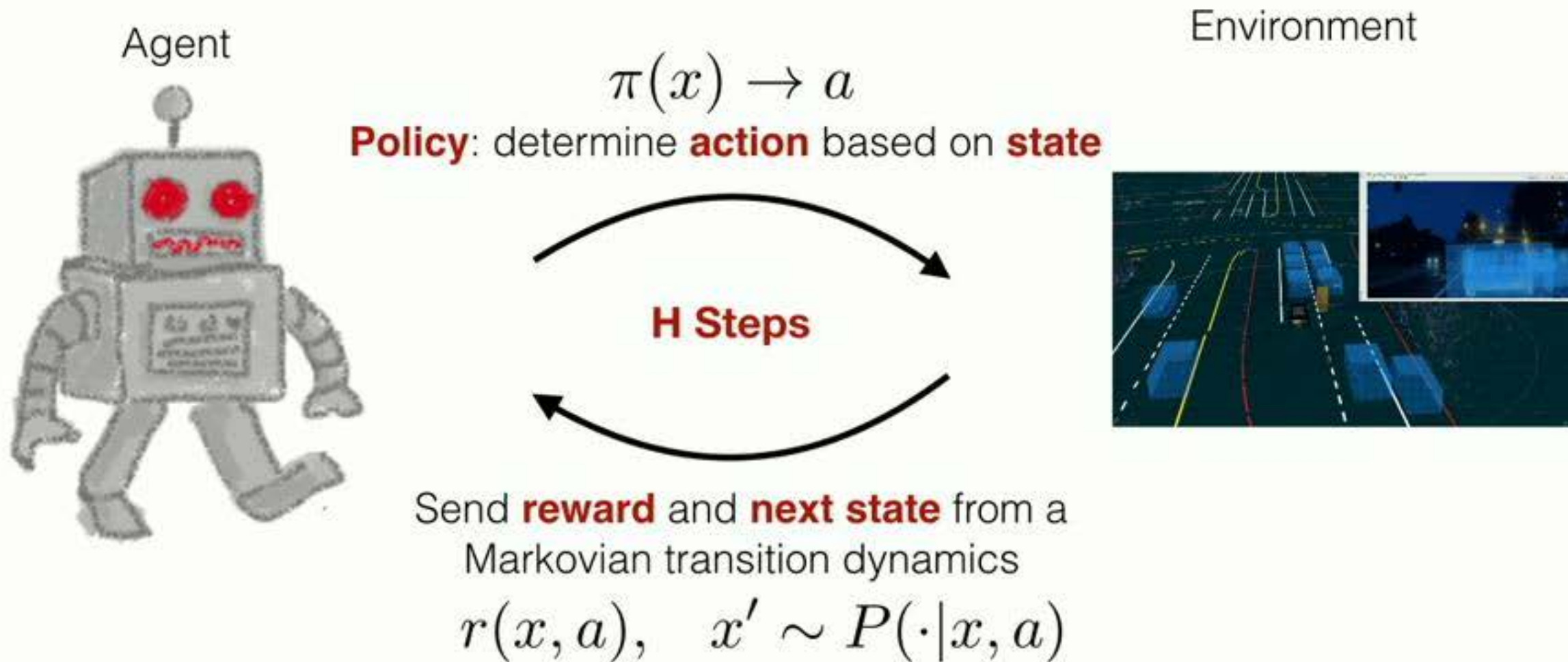
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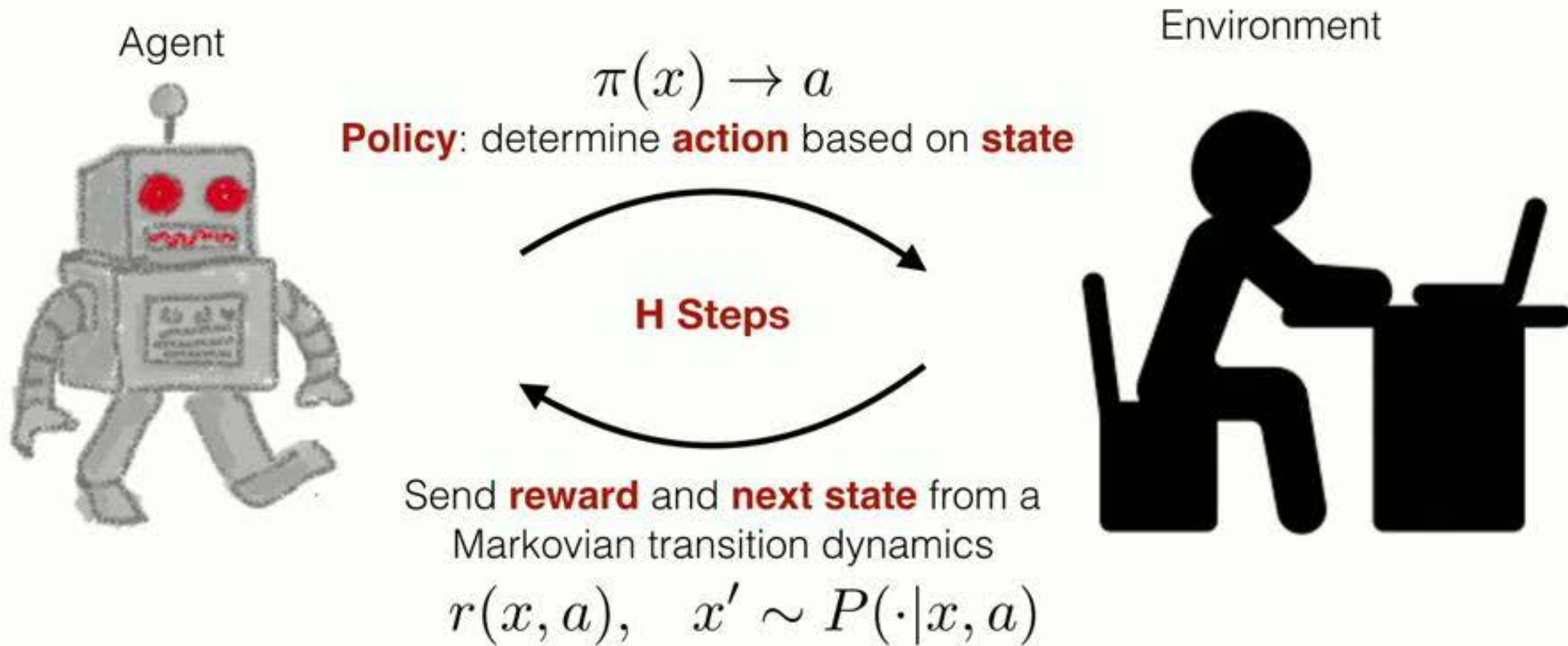
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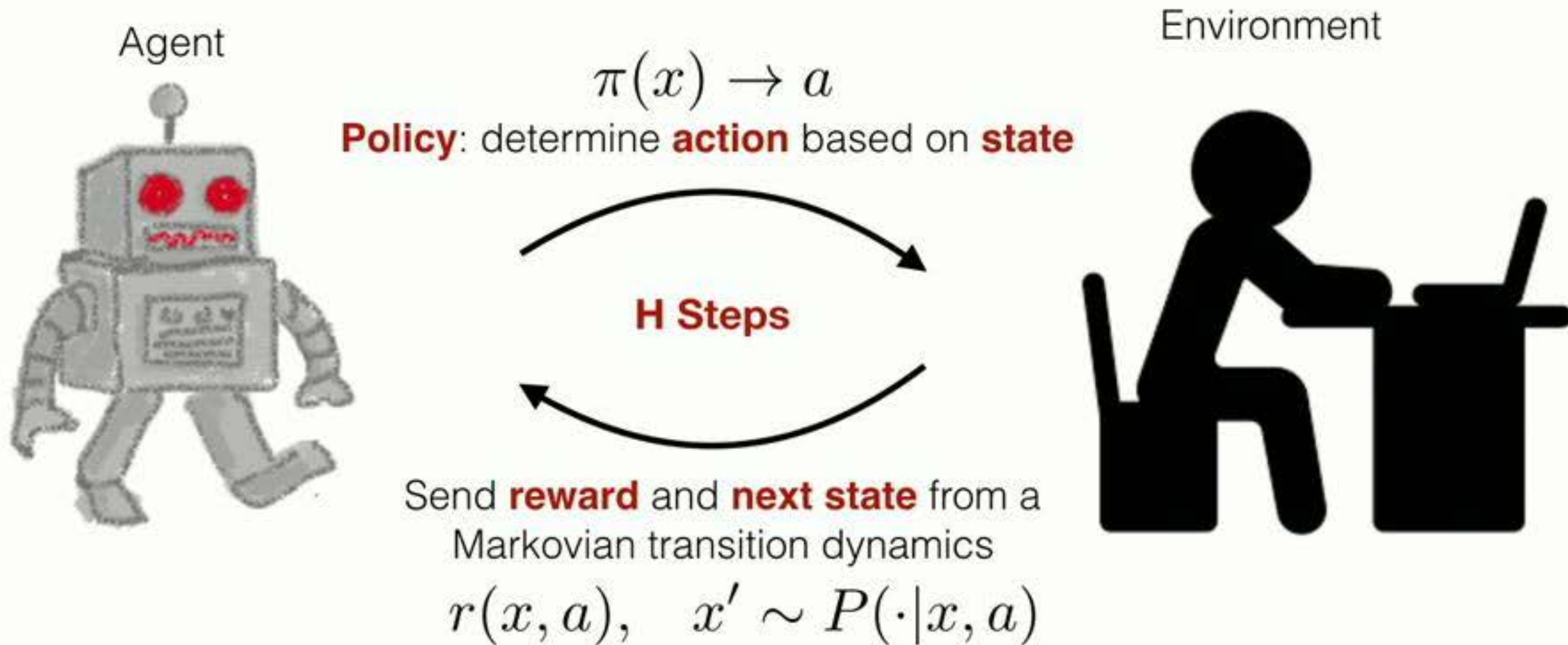
Reinforcement Learning

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Reinforcement Learning

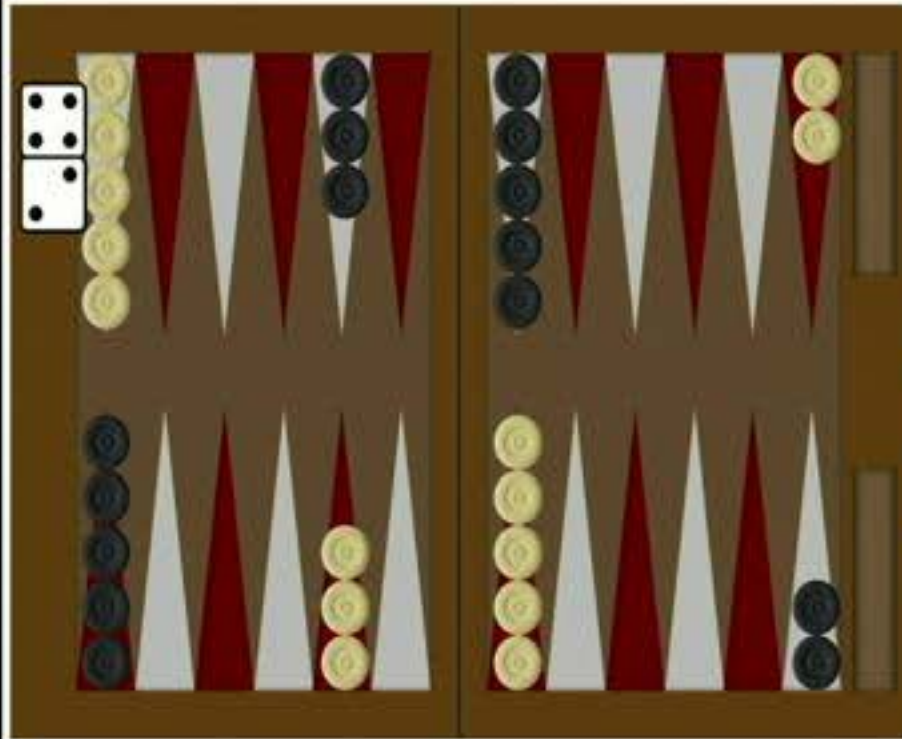
Markov Decision Process



Maximize expected total reward:

$$J(\pi) = \mathbb{E}[r_1 + r_2 + \cdots + r_H | \pi]$$

Progress of RL in Practice



TD GAMMON [Tesauro 95]



[AlphaZero, Silver et.al, 17]



[OpenAI Five, 18]

Progress of RL in Practice

*OpenAI Five plays 180 years worth of games against itself every day....running on 256 GPUs and **128,000 CPU cores***

— Open AI Five Blog



[OpenAI Five]

Progress of RL in Practice



[OpenAI Five]

Inefficient Exploration



Random Trial and error via
massive simulation
(i.e., **128,000** CPUs)

Inefficient Exploration



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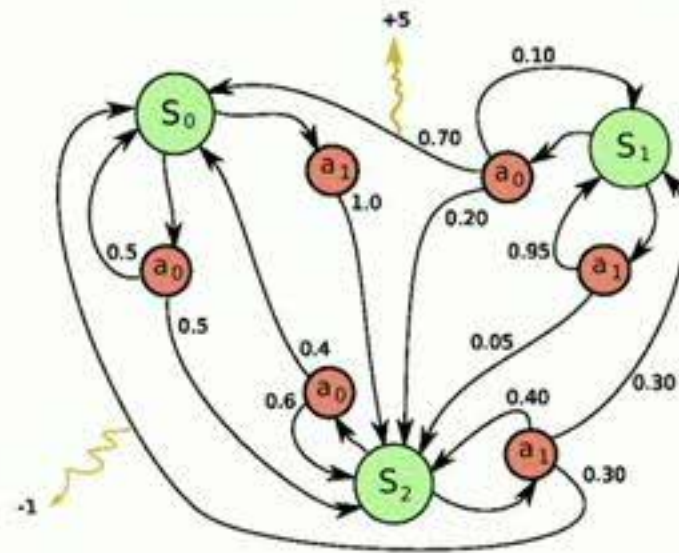
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Sample Efficiency

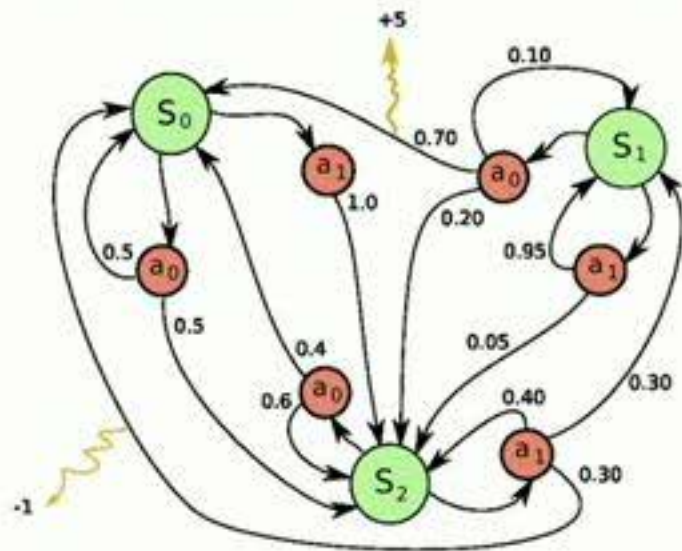
Progress of RL in Theory

Sample Efficiency in Small Discrete MDPs



Progress of RL in Theory

Sample Efficiency in Small Discrete MDPs



Sample Complexity:

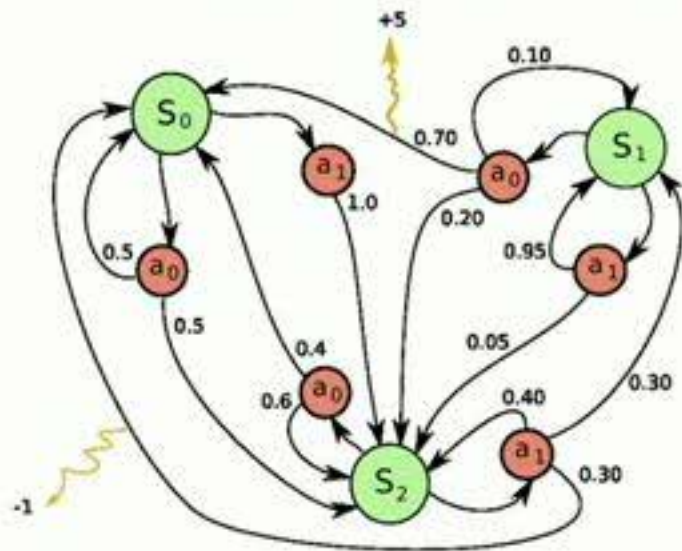
To achieve ϵ near-optimal policy,
need at most

$\text{poly}(\# \text{ of states, } \# \text{ of actions, Horizon, } 1/\epsilon)$
many interactions

[e.g., Kearns & Singh 02, Dann & Brunskill, 15, Azar et.al, 17]

Progress of RL in Theory

Sample Efficiency in Small Discrete MDPs



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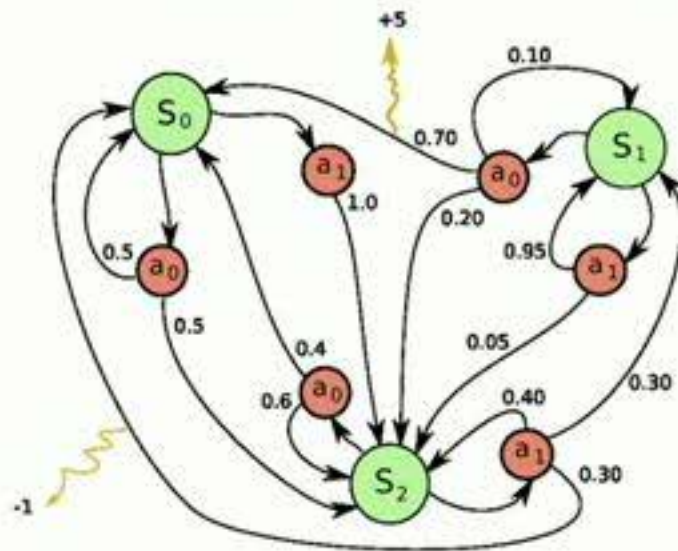
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Progress of RL in Theory

Large-Scale Decision Making Problems

Sample Efficiency in Small Discrete MDPs



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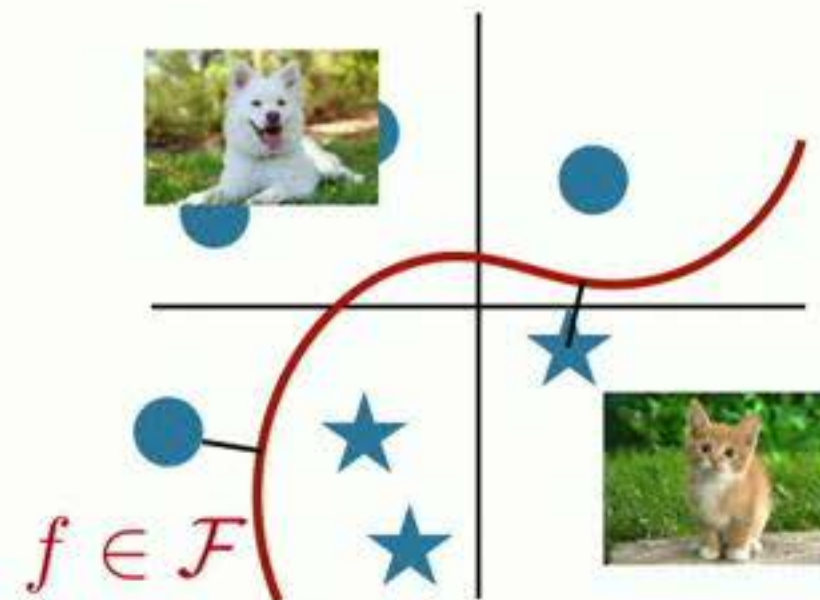
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What We Understand: Supervised Learning



[ImageNet]



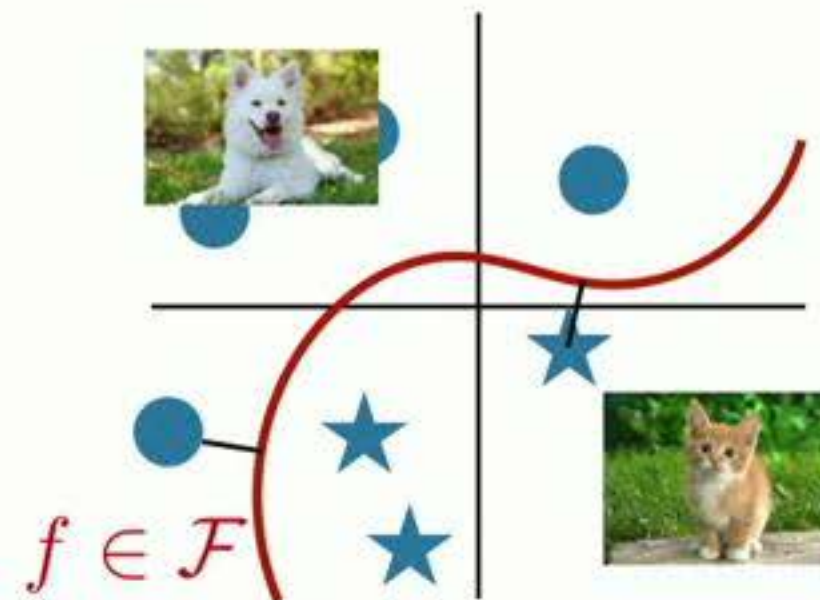
Polynomial Dependency of # of unique images



What We Understand: Supervised Learning



[ImageNet]

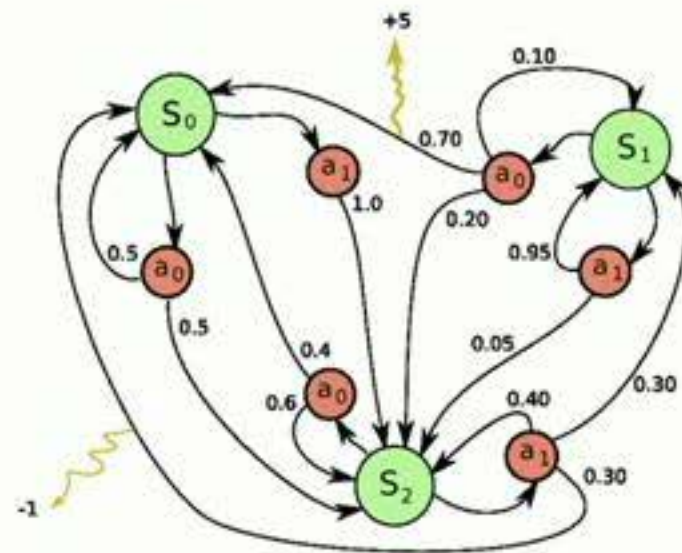


Polynomial Dependency of # of unique images

**Generalization via
Function Approximation**

What We Want: Generalization in Large-Scale MDPs

Sample Efficiency



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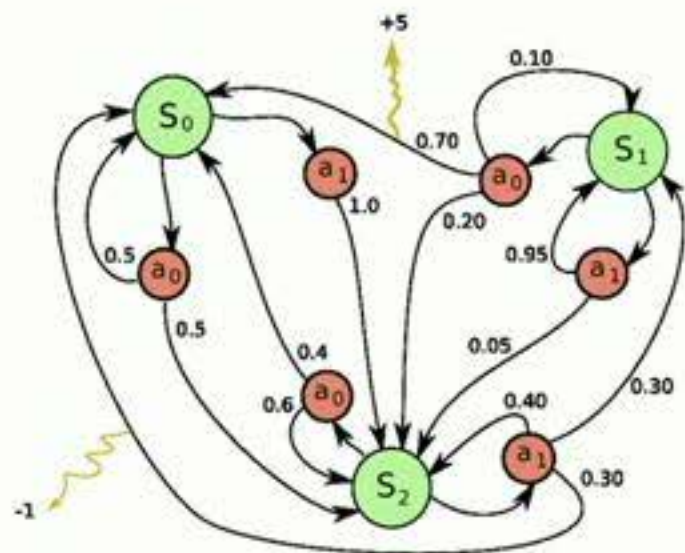
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What We Want: Generalization in Large-Scale MDPs

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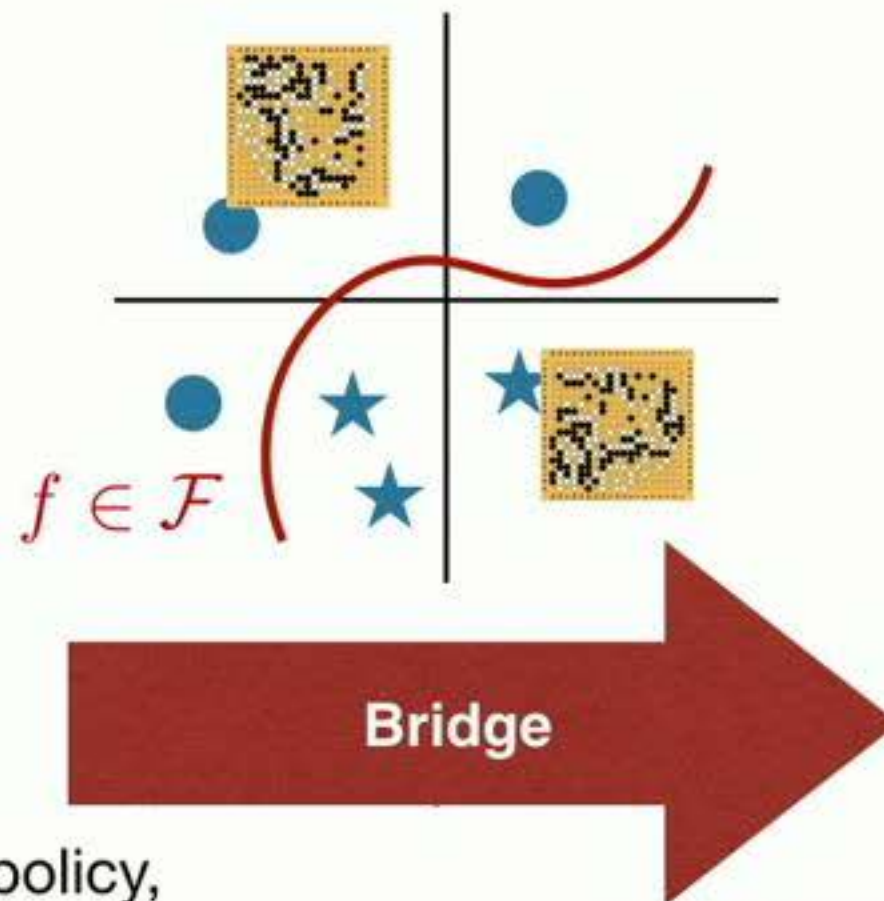


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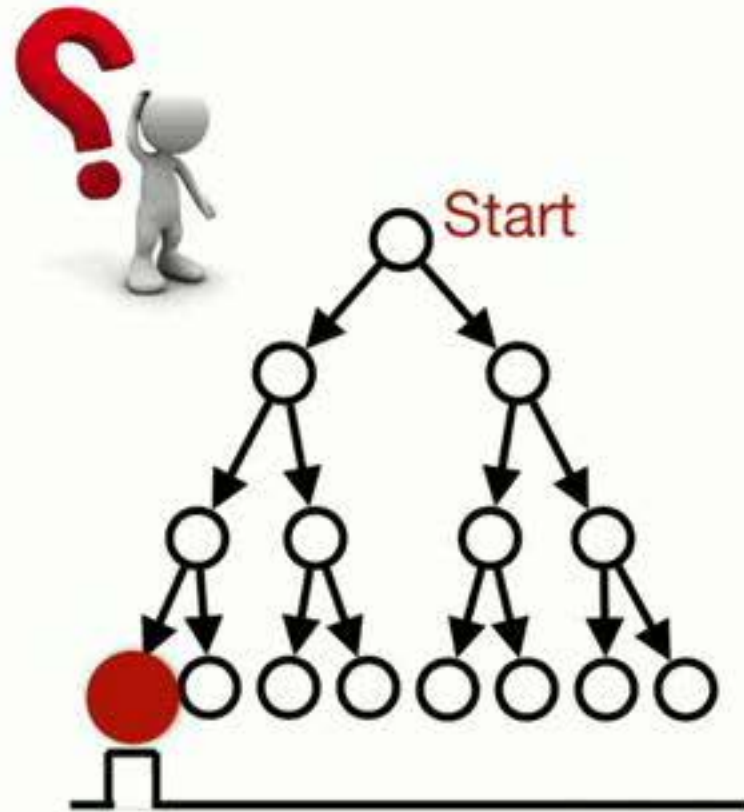
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BUT...



Reward only at one leaf

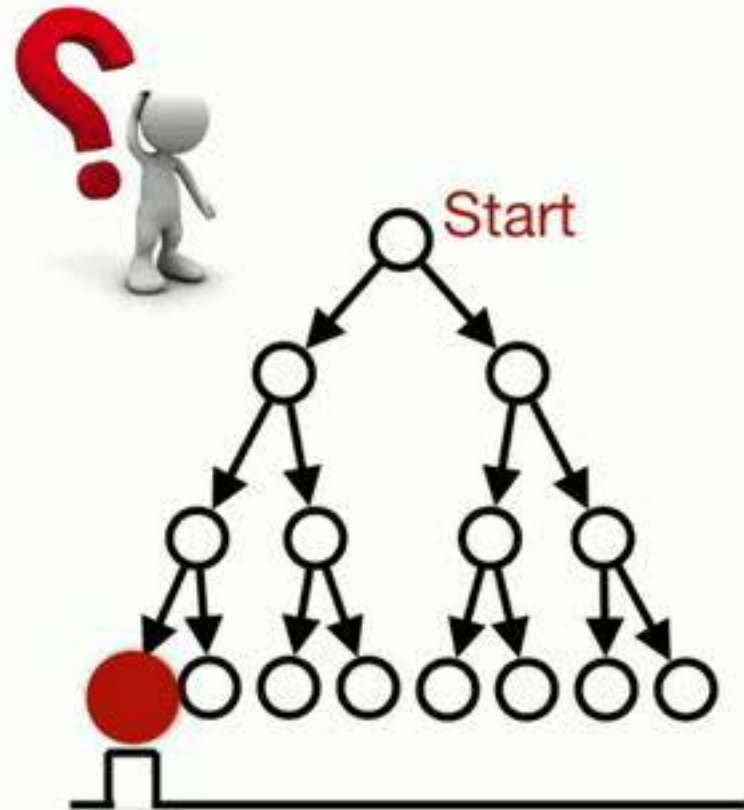
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Needle in a haystack

Discrete MDPs

H: horizon, S: # of states, A: # of actions

BUT...



Reward only at one leaf

[e.g., Krishnamurthy et.al 16, Jiang et.al 17]

Discrete MDPs

H: horizon, S: # of states, A: # of actions

of Interactions
with environment $> \Omega(S)$

[e.g., Dann & Brunskill, 15]

Needle in a haystack

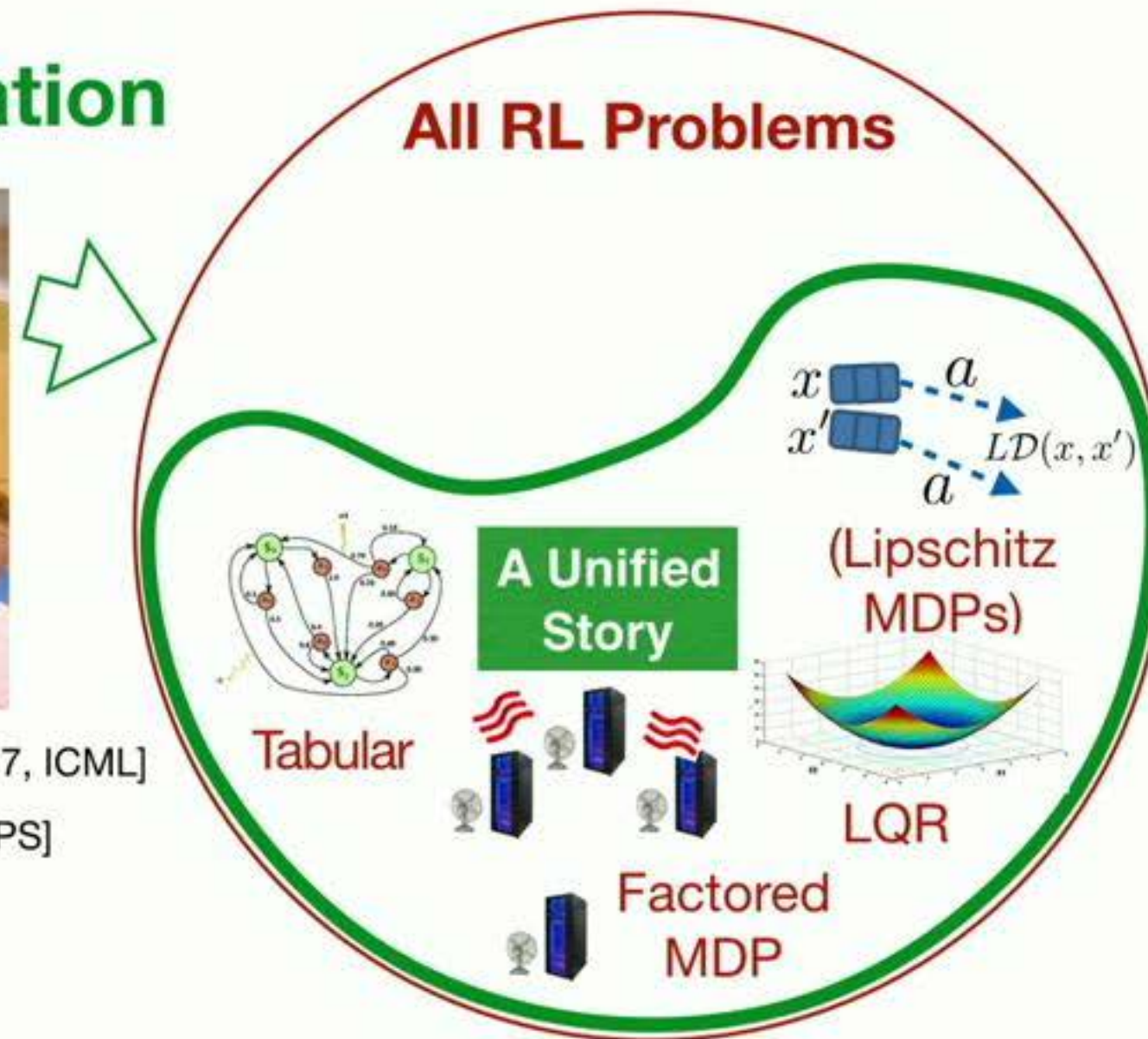
Generalization & Sample Efficiency via...

1. Expert Demonstration



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Generalization & Sample Efficiency via...

1. Expert Demonstration



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[Sun,, Gordon, Boots, Bagnell, 18, NeurIPS]

- **Why** IL (i.e., IL VS RL)
- **How** to reduce RL to **Supervised Learning**
- **Generalize** from **Local** Experts

All RL Problems

Imitation Learning



- SVM
- Gaussian Process
- Deep Networks

Maps states
to actions

Apprenticeship Learning [Abbeel & Ng 05, Syed & Schapire 08]

Inverse Optimal Control [Ziebart & Bagnell, 10]

Interactive Imitation Learning [Ross & Bagnell, 11; Chang et.al., 15]

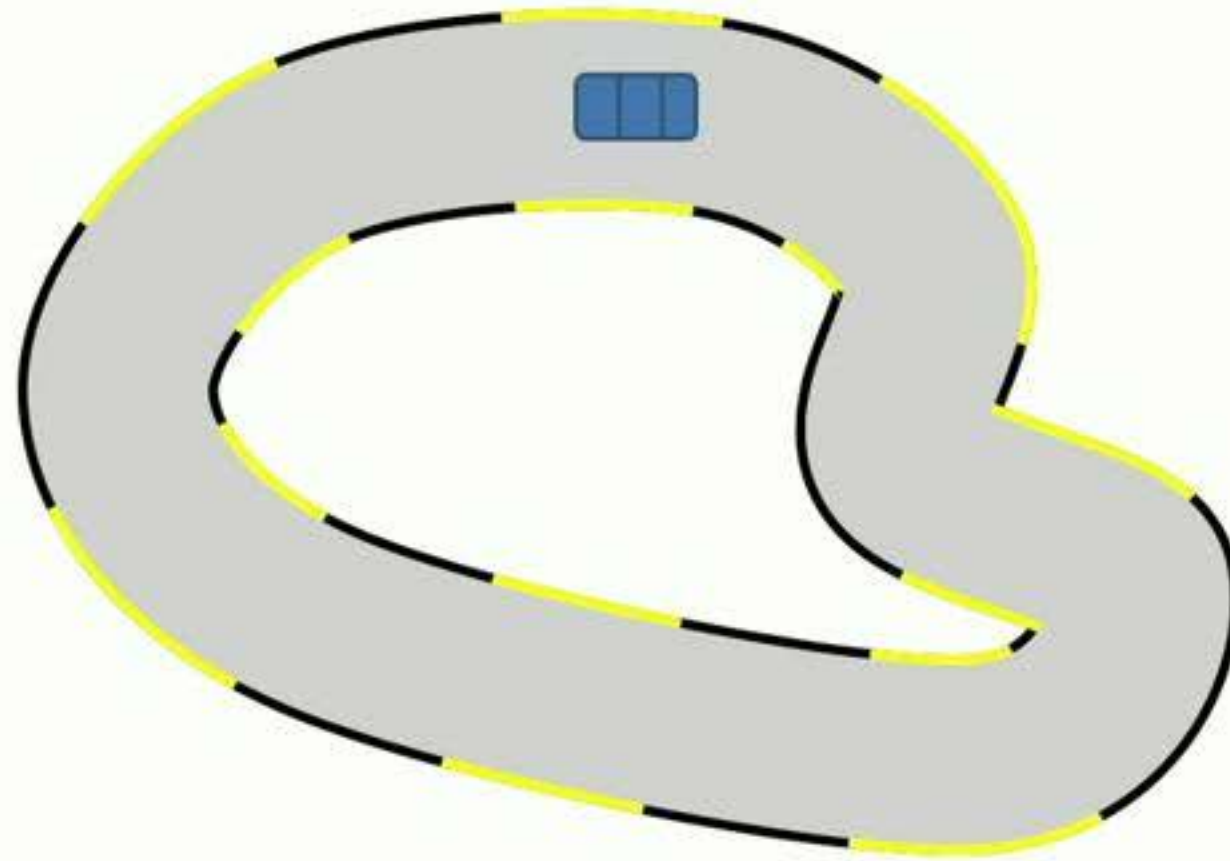
Generative Adversarial Imitation Learning [Ho & Ermon 16]

Interactive Imitation Learning w/ Reward

A global expert is available during training

Interactive Imitation Learning w/ Reward

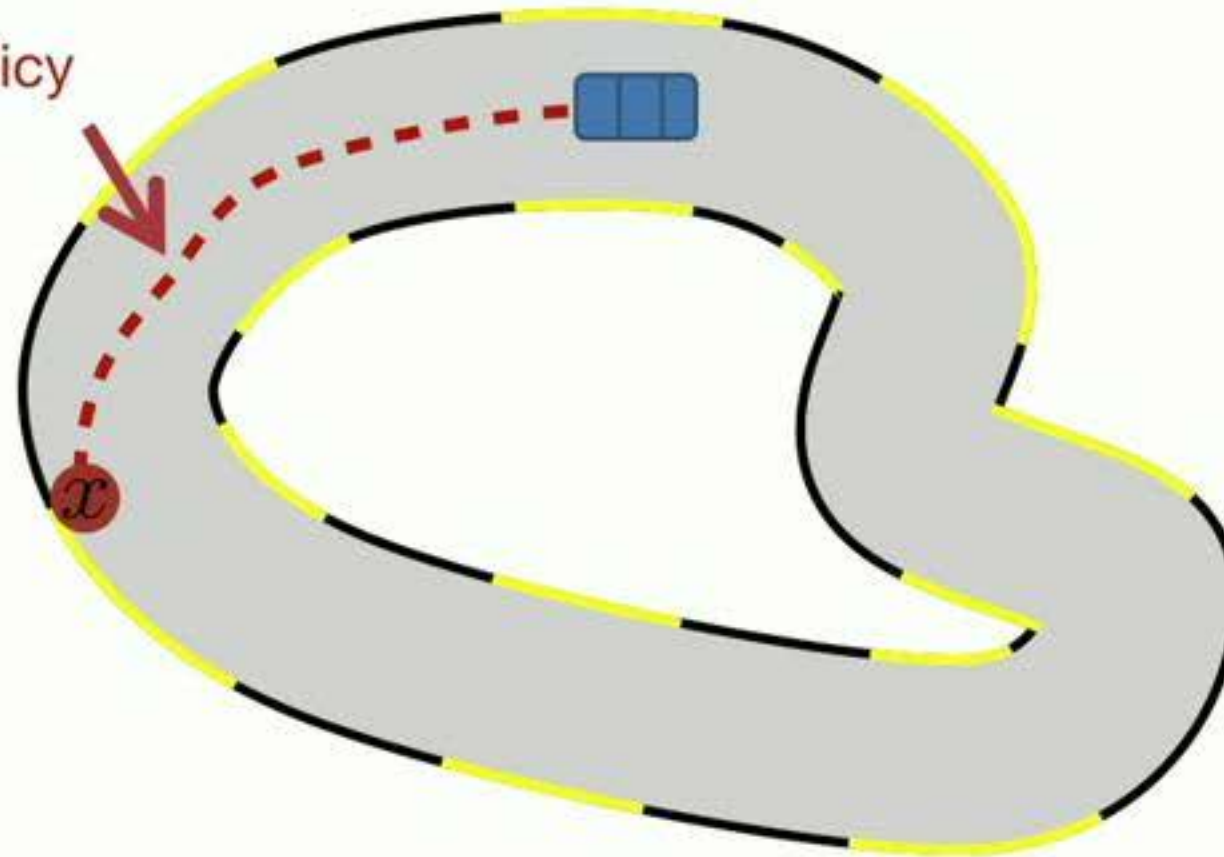
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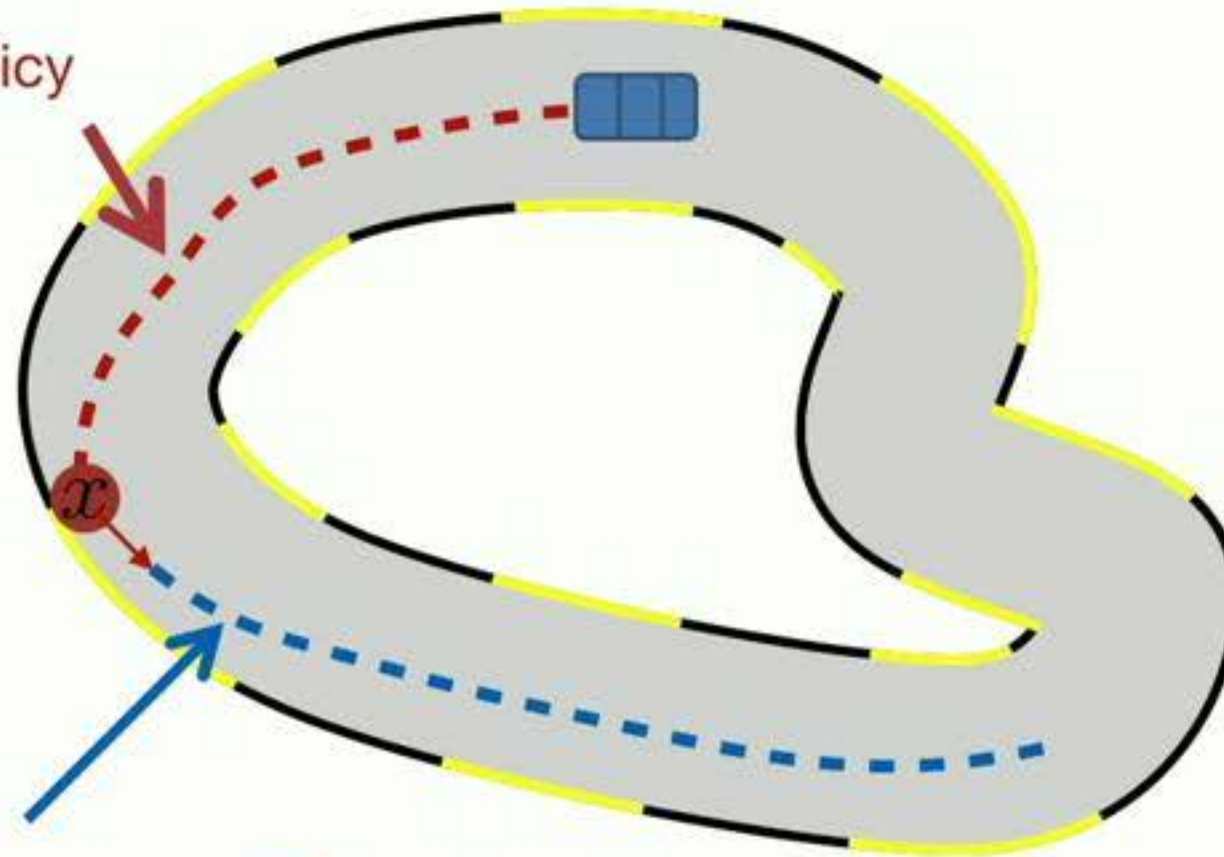
Execute Learned Policy



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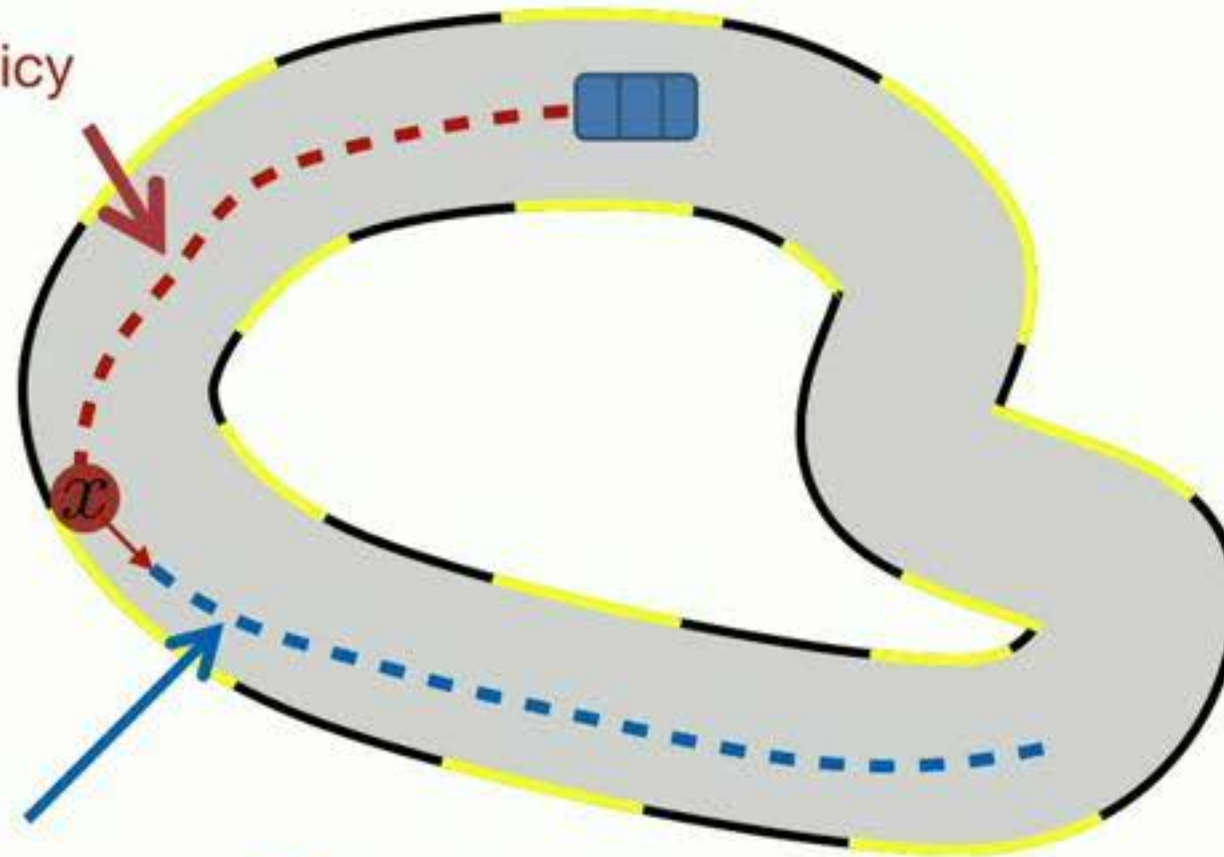


Ask a globally optimal **Expert**
to Take Over

Interactive Imitation Learning w/ Reward

A global expert is available during training

Execute **Learned Policy**



Ask a globally optimal **Expert**
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Record: Expert trajectory's total cost

How easy to recover from the learner's mistake

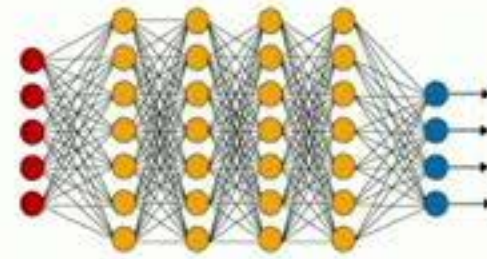
Examples of Interactive Experts

Examples of Interactive Experts

1. Planner/Control (e.g., Robotics)



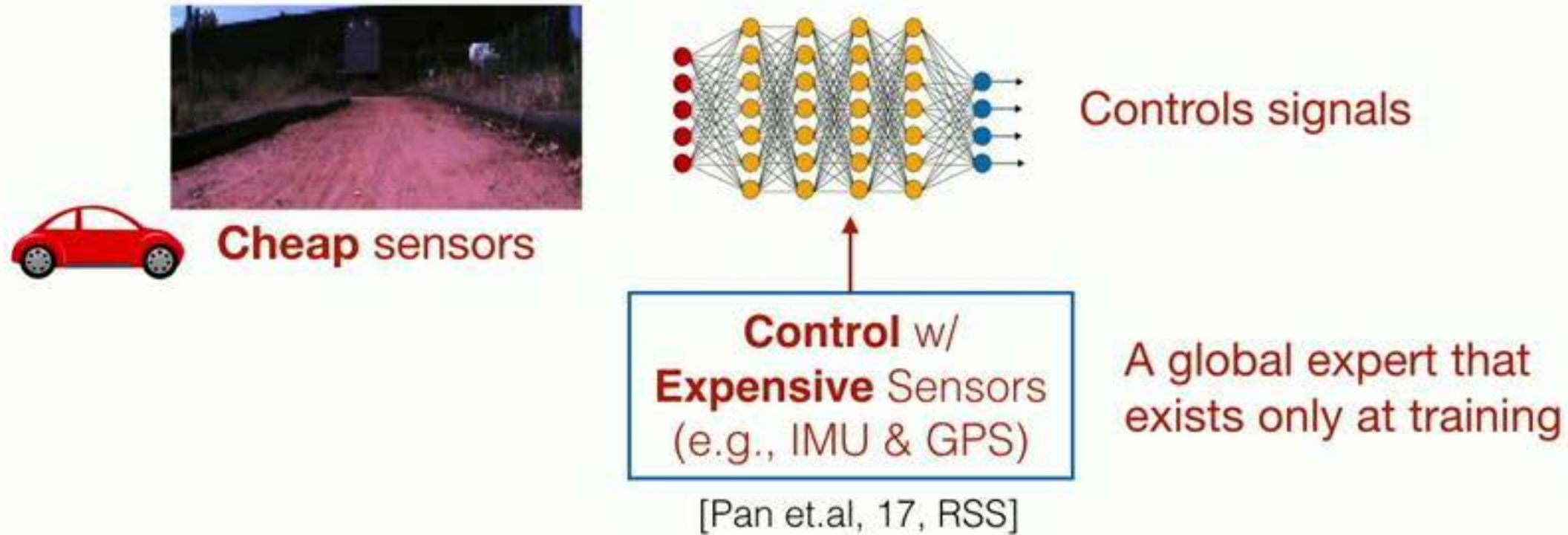
Cheap sensors



Controls signals

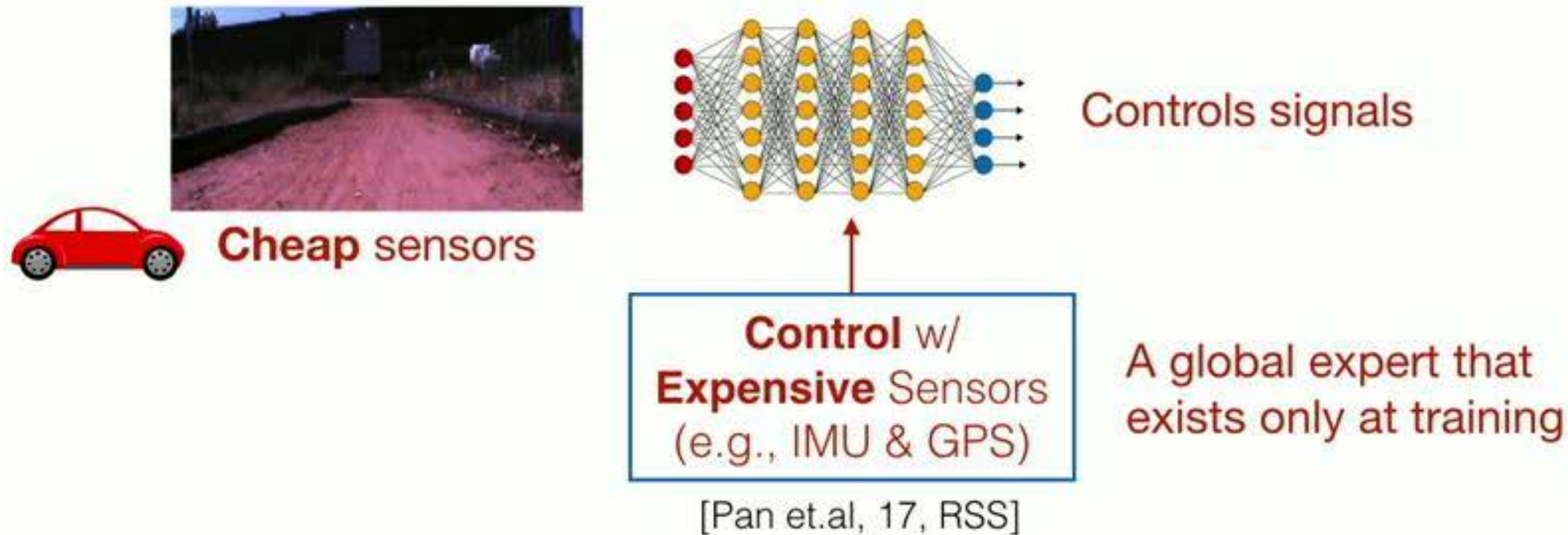
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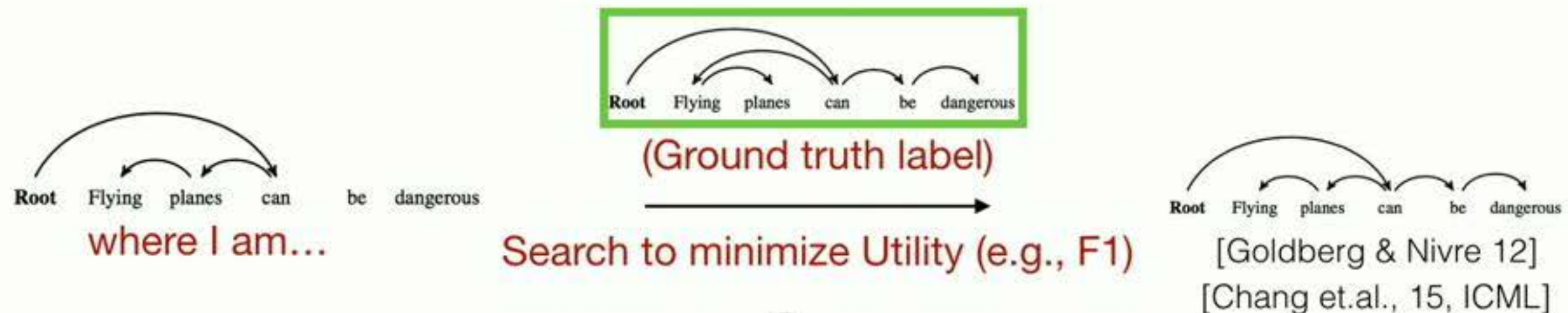


Examples of Interactive Experts

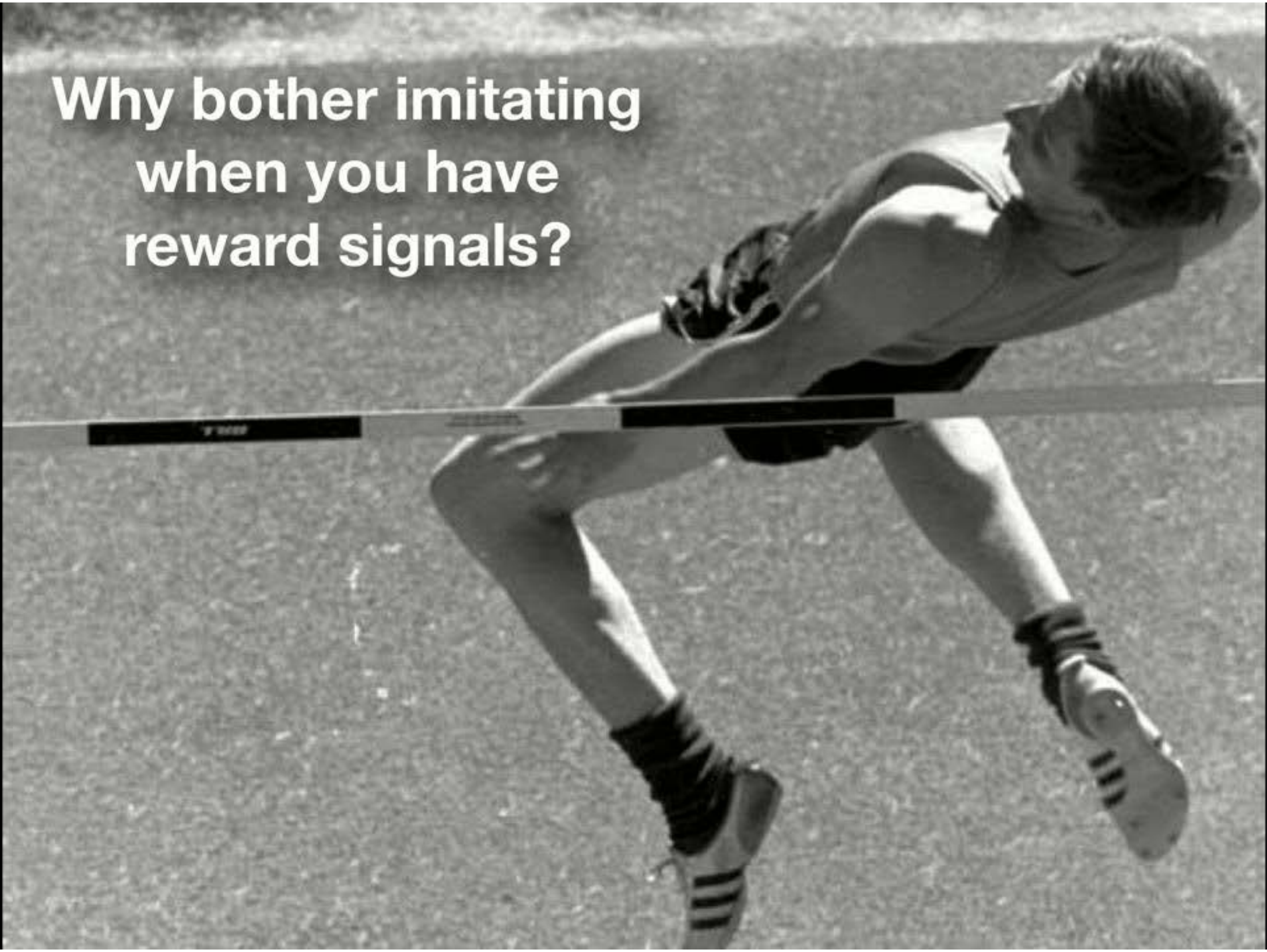
1. Planner/Control (e.g., Robotics)



2. Search Algorithms (e.g., NLP)



**Why bother imitating
when you have
reward signals?**



Why IL: Formalizing Advantages

1. Global Optimality

Global Optimal Expert: π^*

AggreVaTe (Aggregate with Values) [Ross&Bagnell14]

$$J(\hat{\pi}) \approx J(\pi^*)$$

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2. Sample Efficiency (i.e., Learns faster)

There exist MDPs, s.t. with global optimal expert, to learn near-optimal solution,

IL (e.g., AggreVaTe)

vs

ANY RL

$$O(\log(S))$$

$$\Omega(S)$$

Deeply AggreVaTeD: Differential Imitation Learning for Sequential Prediction
Sun, Venkatraman, Gordon, Boots, Bagnell, ICML, 17

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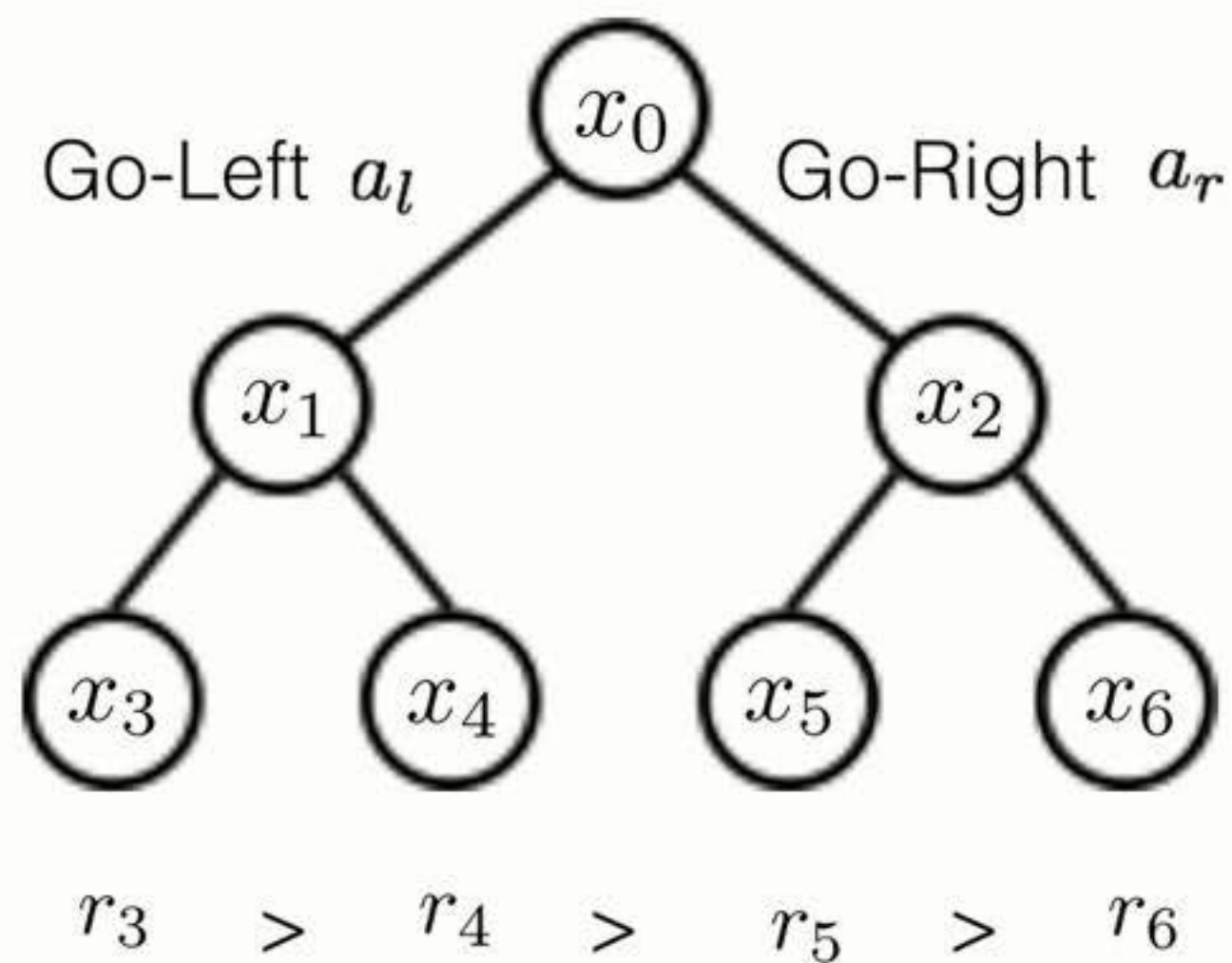
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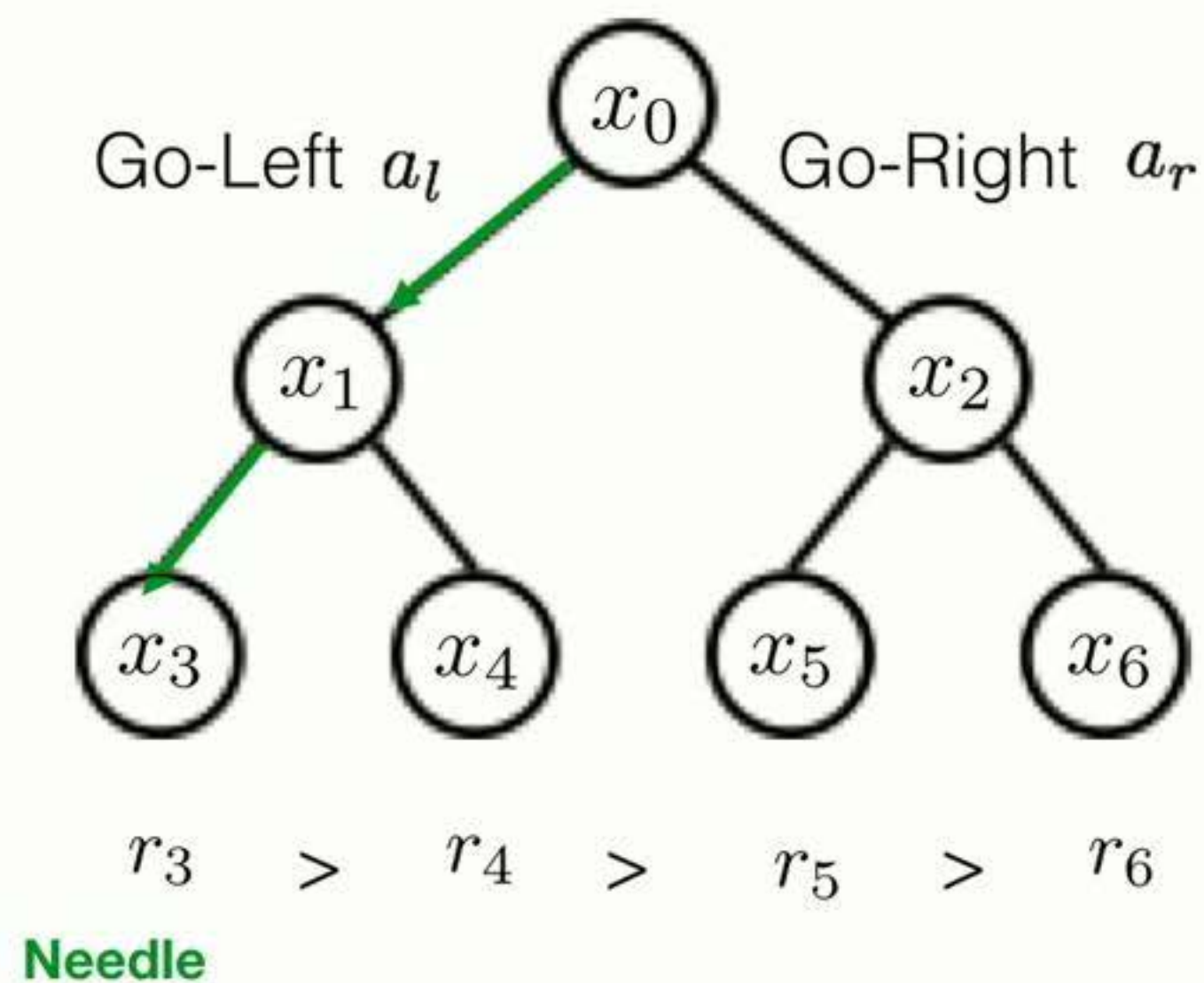
Deterministic MDP

Global Optimal Expert: An Optimal Planner



Deterministic MDP

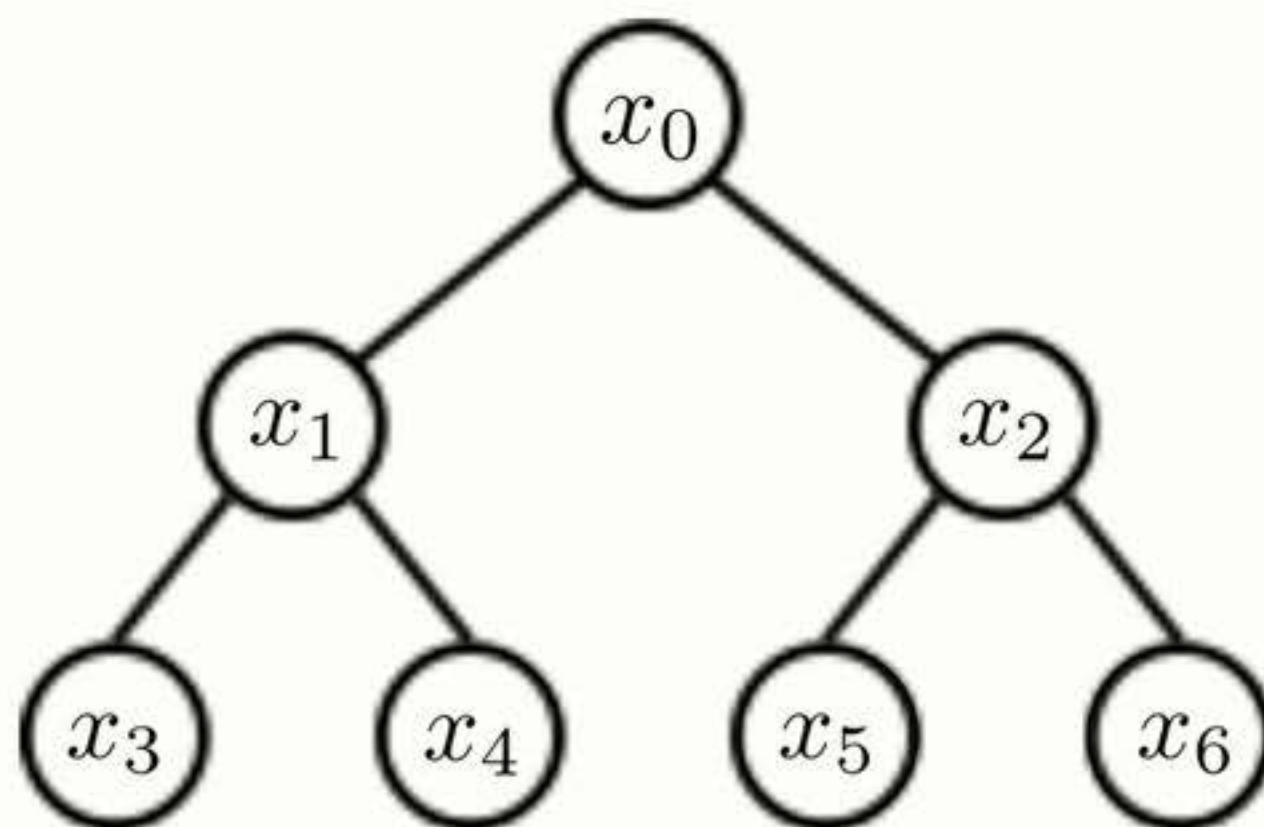
Global Optimal Expert: An Optimal Planner



Reduction to Supervised Learning

Easy Credit Assignment

Global Optimal Expert: An Optimal Planner



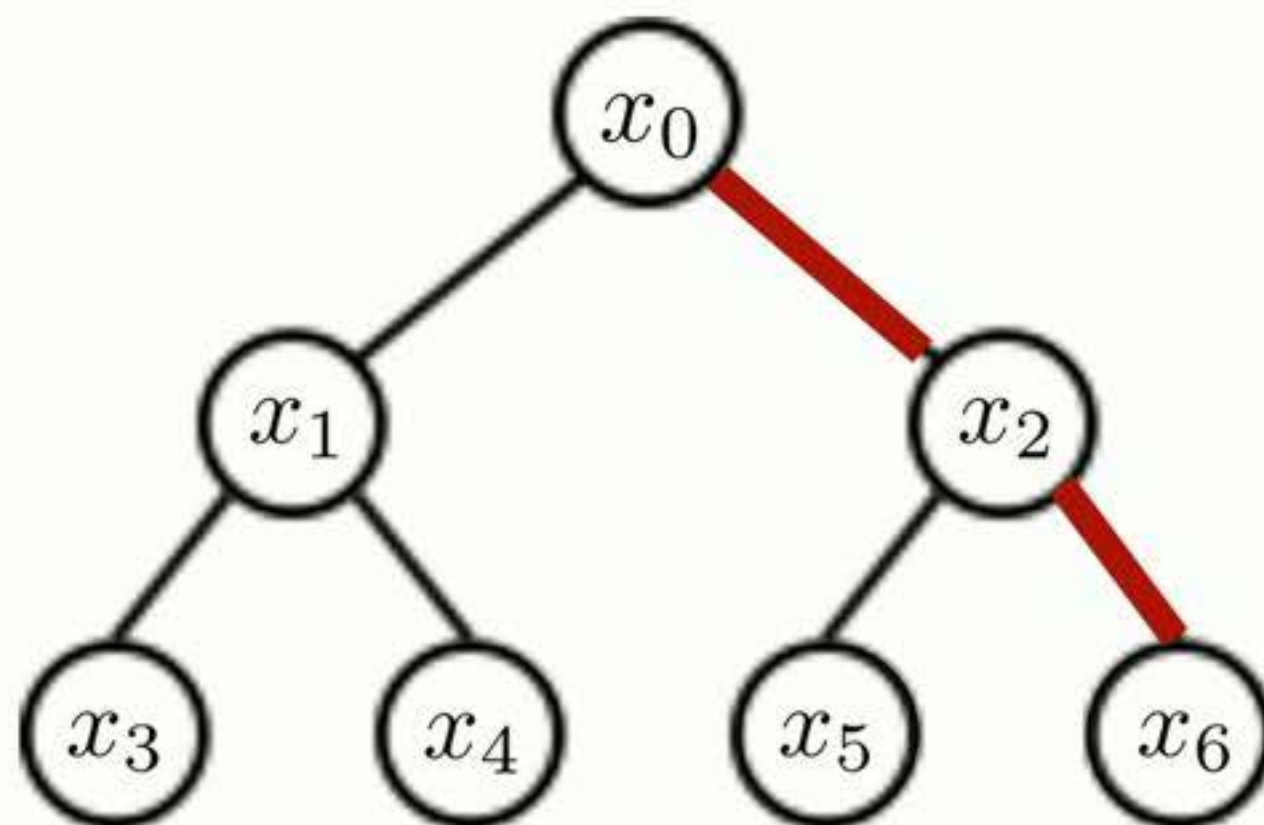
$$r_3 > r_4 > r_5 > r_6$$

Halving: Eliminate half of the nodes each round

Reduction to Supervised Learning

Easy Credit Assignment

Global Optimal Expert: An Optimal Planner



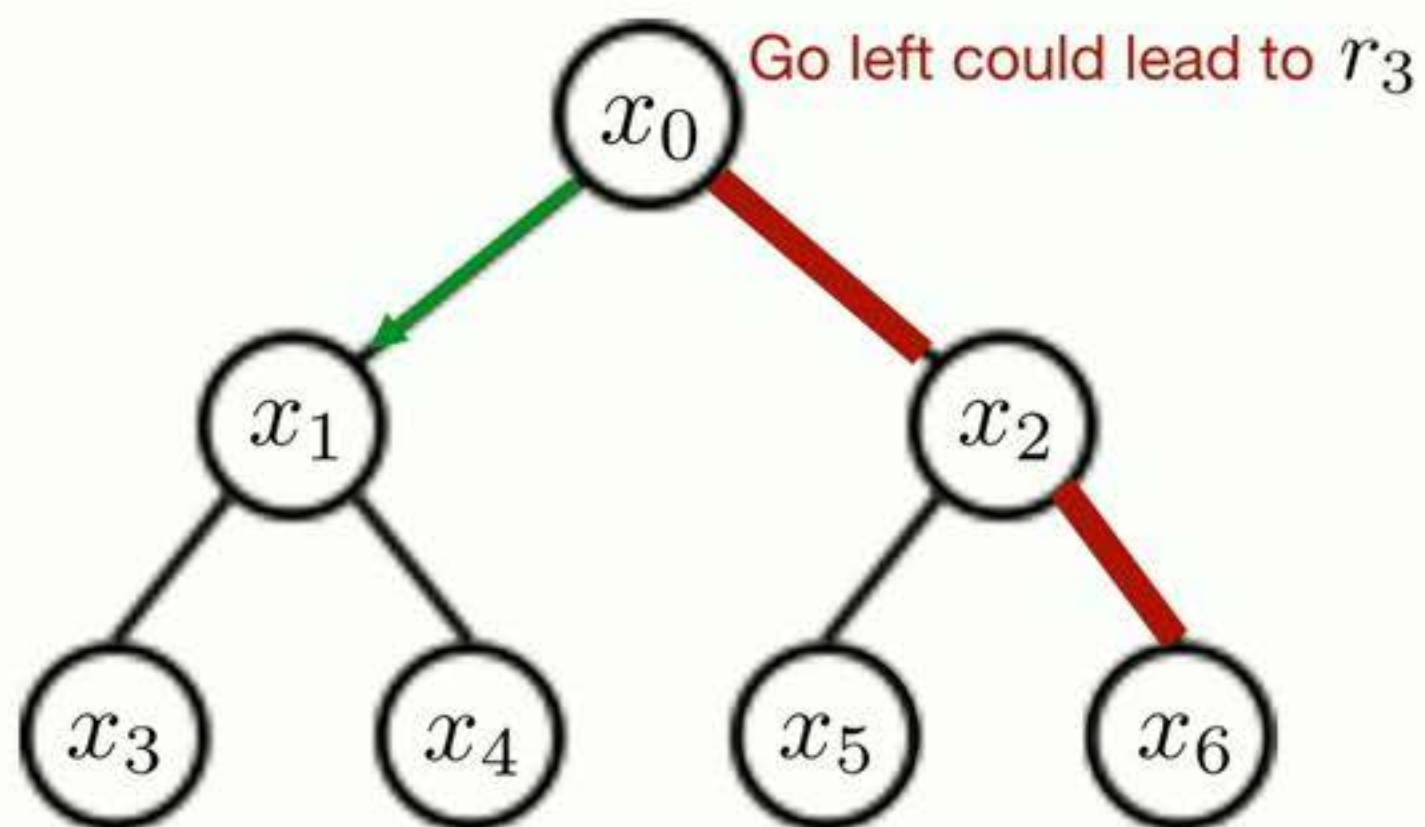
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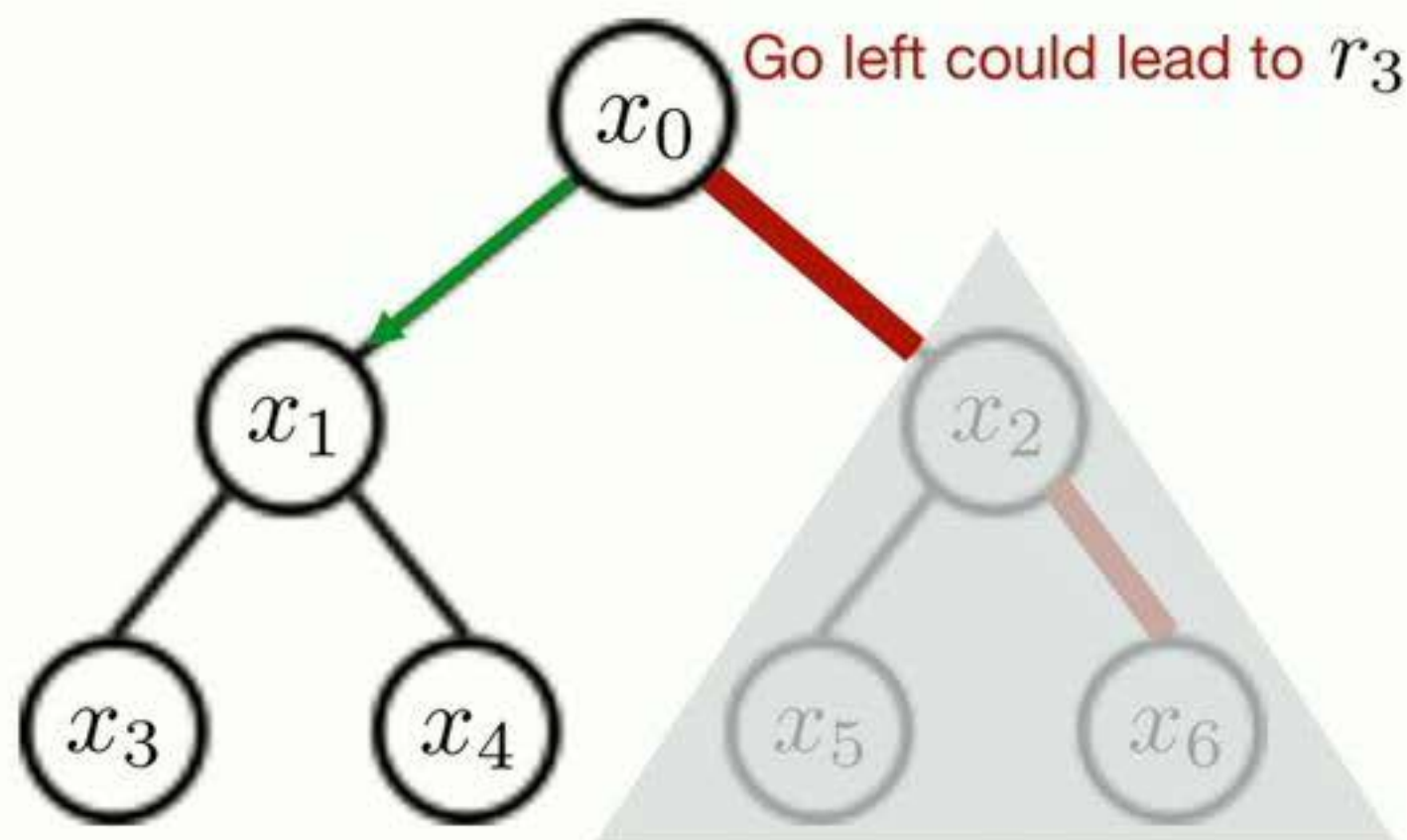


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Reduction to Supervised Learning

Easy Credit Assignment

Global Optimal Expert: An Optimal Planner



$$r_3 > r_4 > r_5 > r_6$$

Halving: Eliminate half of the nodes each round

Easy Credit Assignment

Go left could lead to r_3

A search tree diagram illustrating a search process. The root node is x_0 . It has two children: x_1 on the left and x_2 on the right. x_1 has two children: x_3 on the left and x_4 on the right. x_2 has two children: x_5 on the left and x_6 on the right. The subtree rooted at x_2 is shaded gray. A green arrow points from x_0 to x_1 , and a red arrow points from x_1 to x_4 . A red arrow also points from x_0 to x_2 . A red text label "Go left could lead to r_3 " is positioned near the top right of the tree.

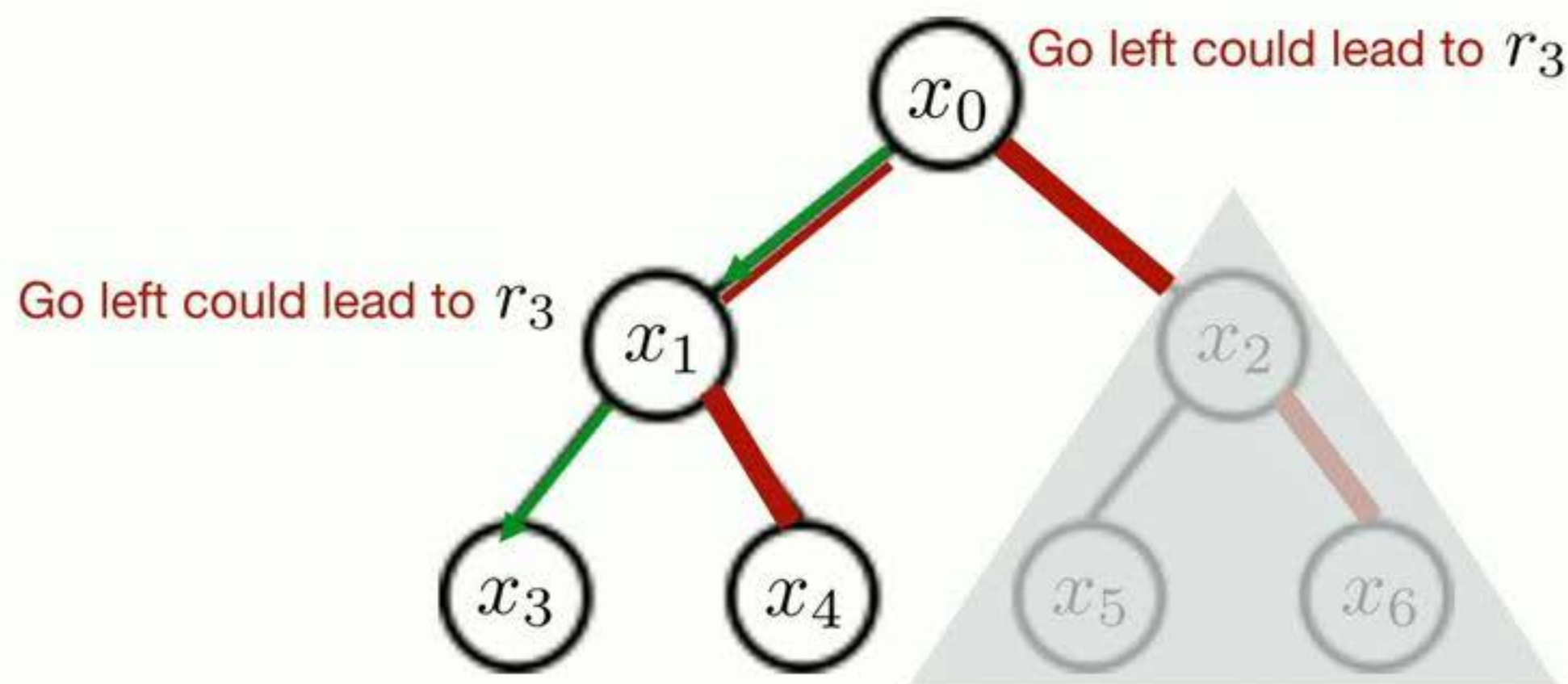
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Global Optimal Expert: An Optimal Planner



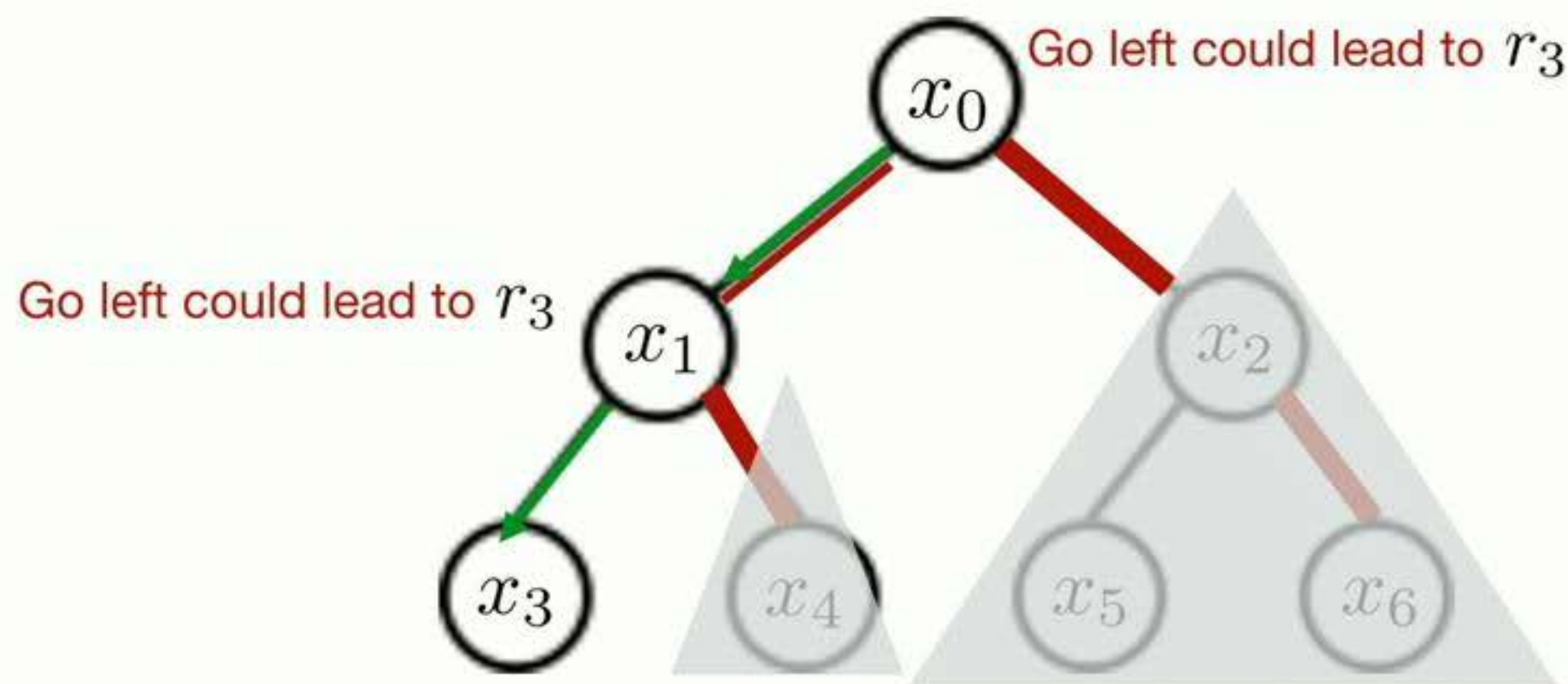
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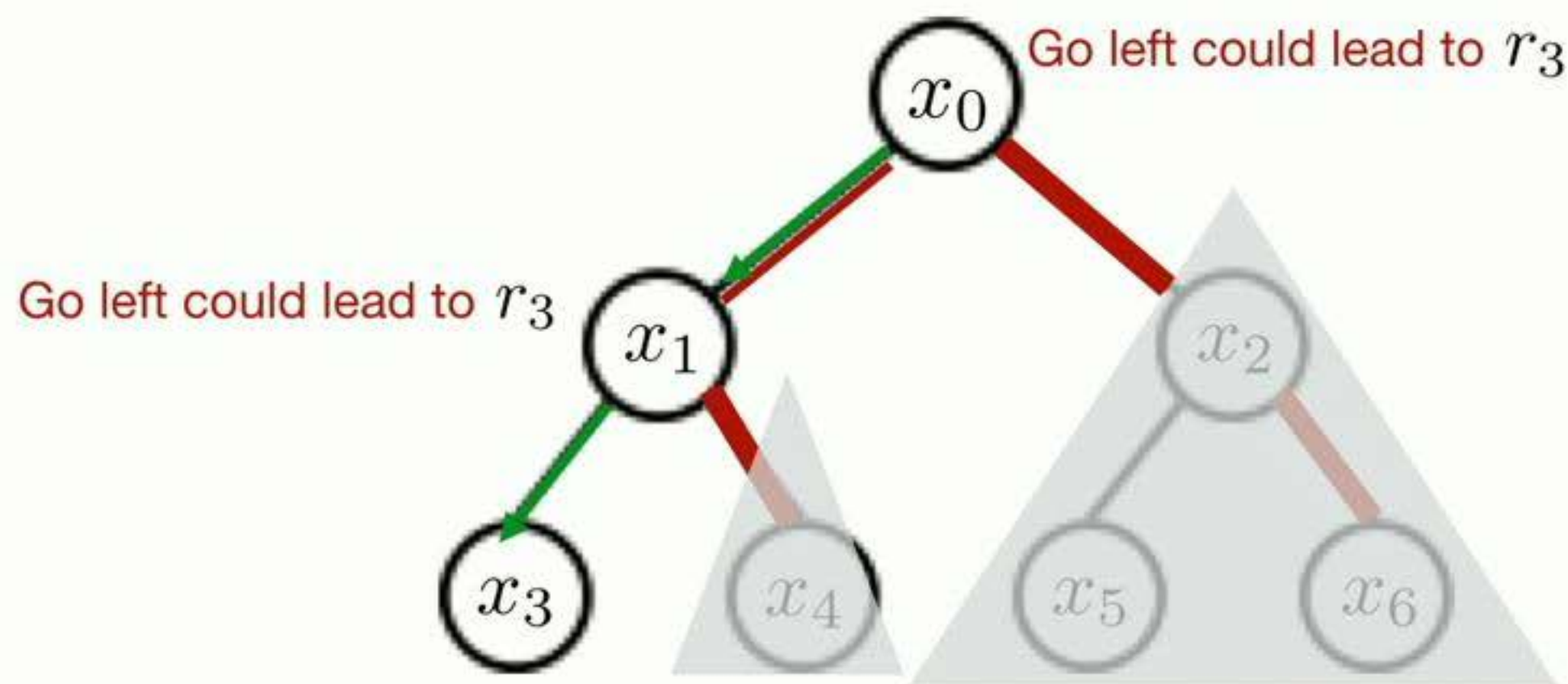
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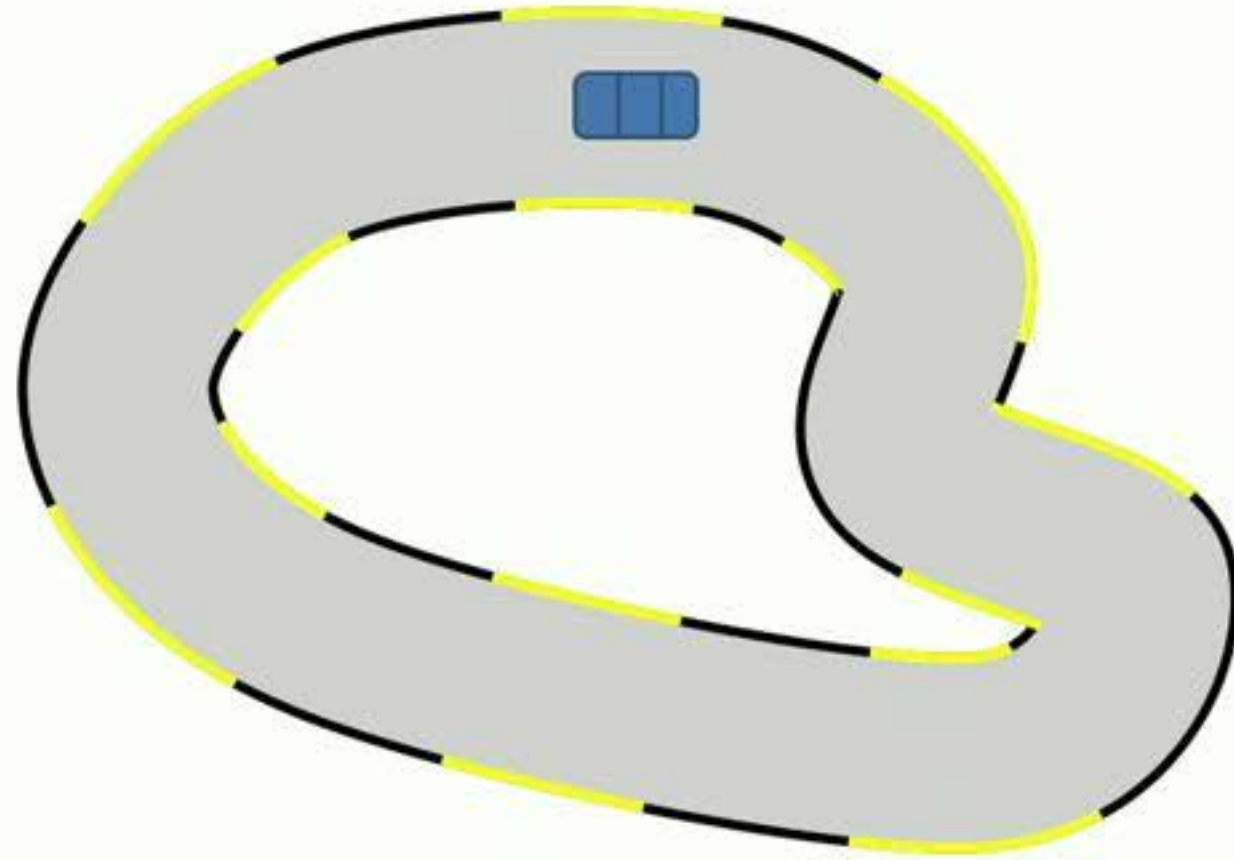
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$$\text{IL: } \log(S) \text{ vs RL: } \Omega(S)$$

Ex: AggreVaTe

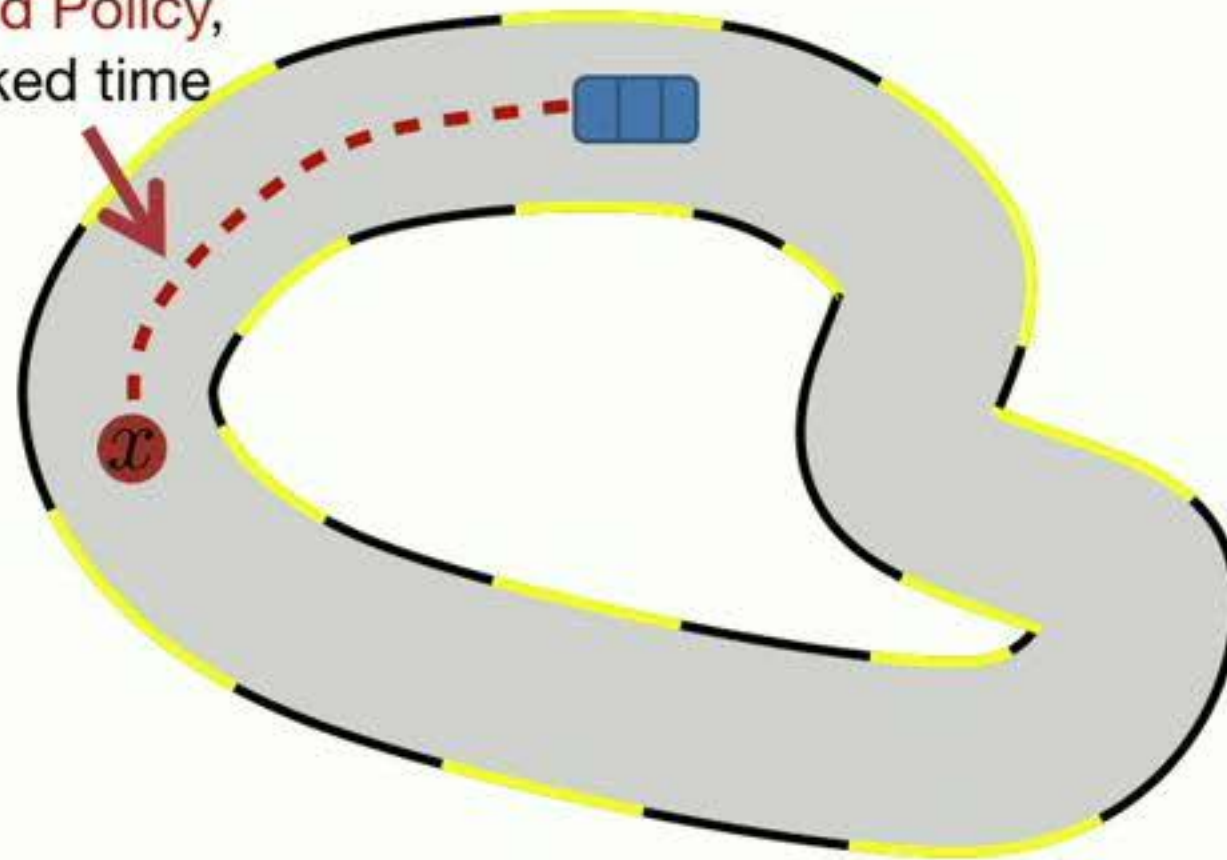
[Ross & Bagnell, 14]



Ex: AggreVaTe

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Rollin: Execute Learned Policy,
Stop at a randomly picked time
step

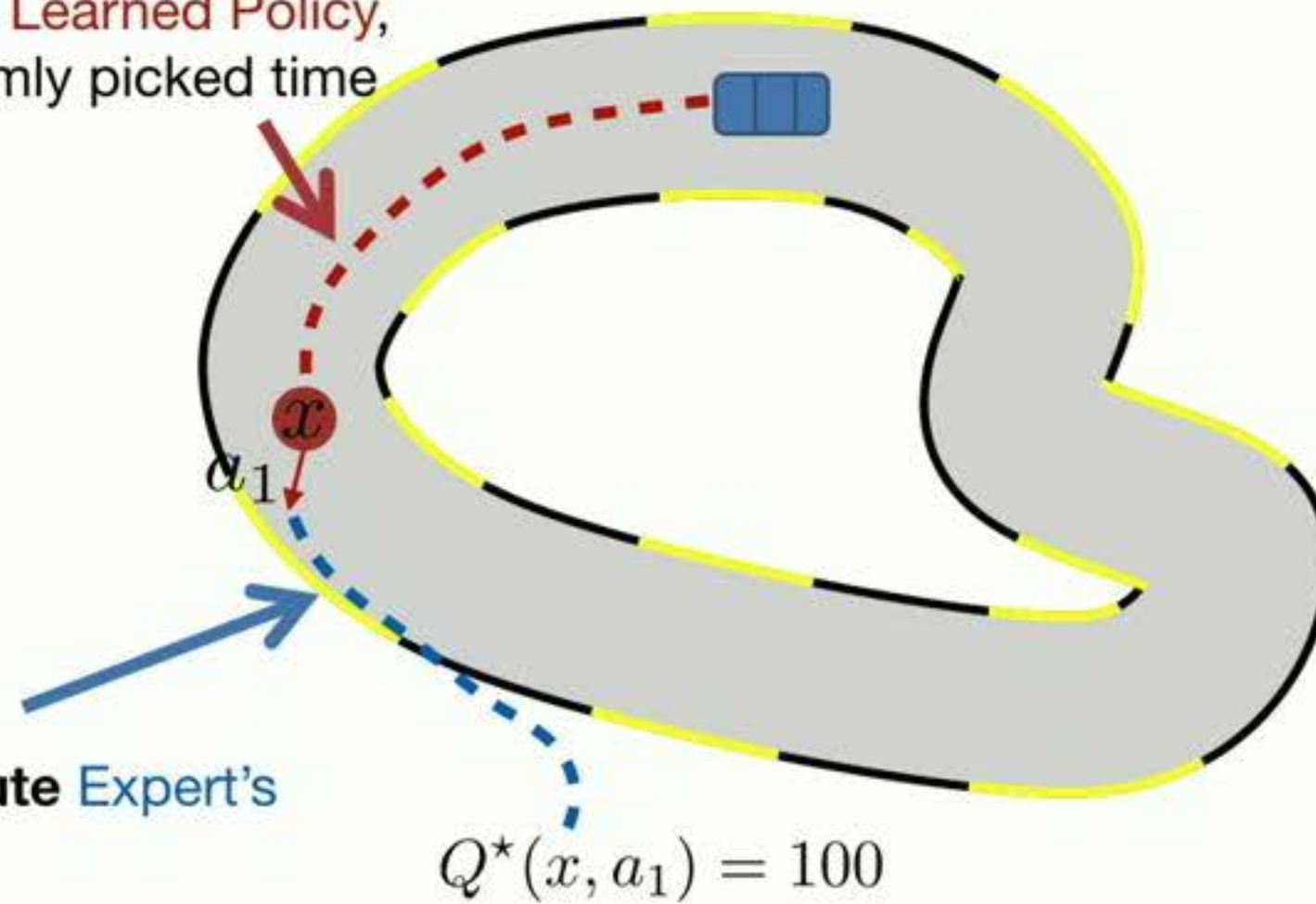


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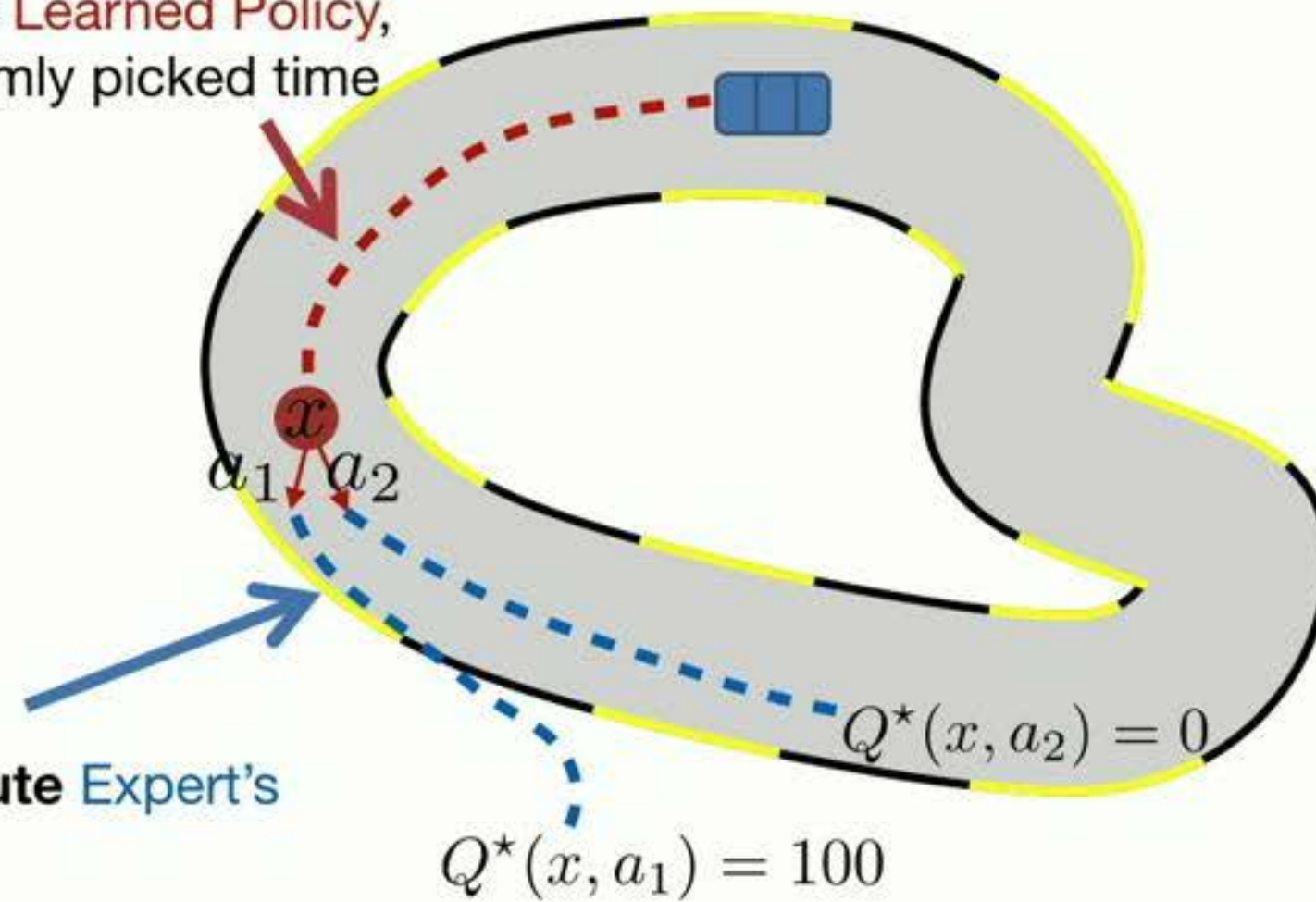


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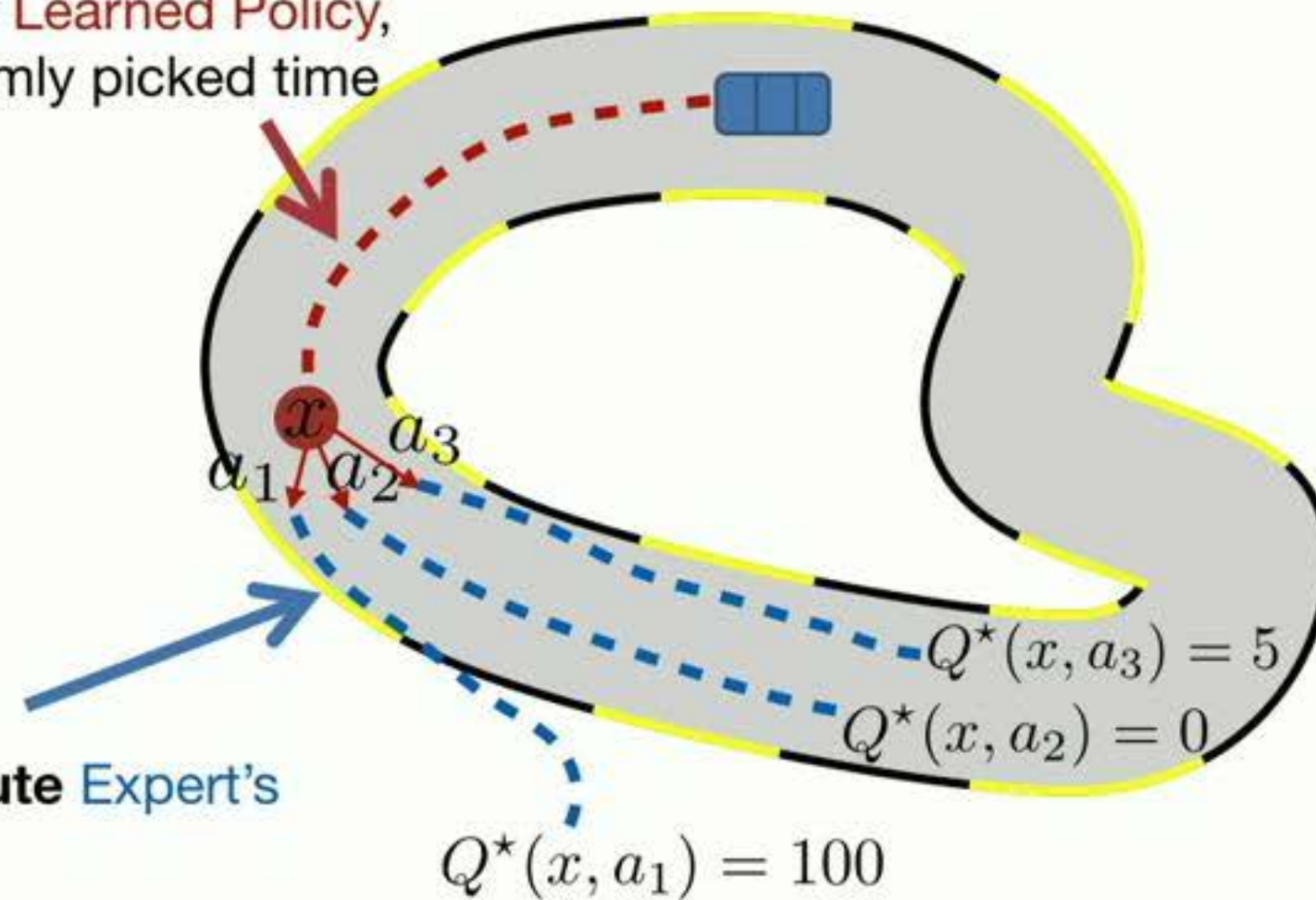


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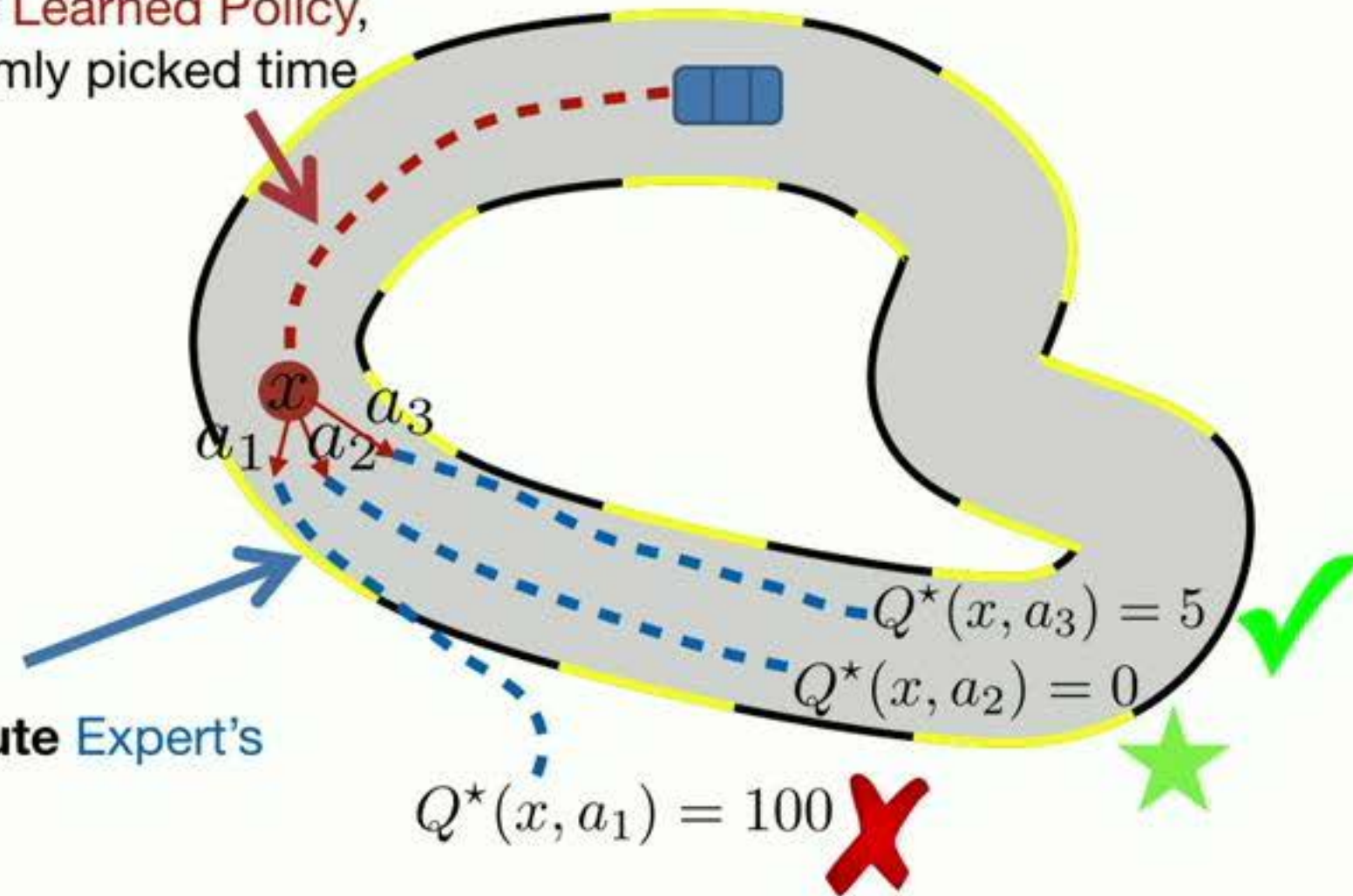


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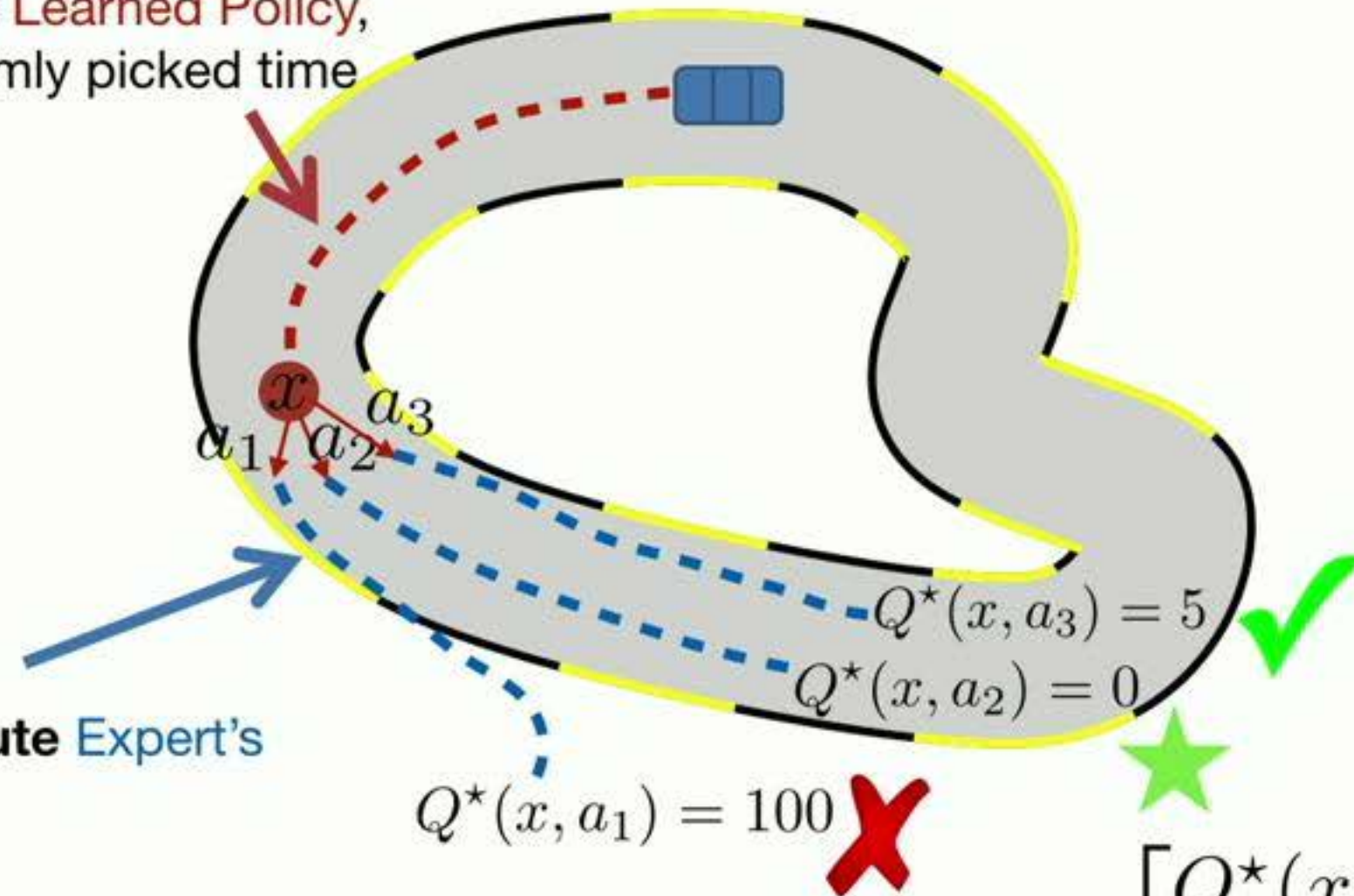


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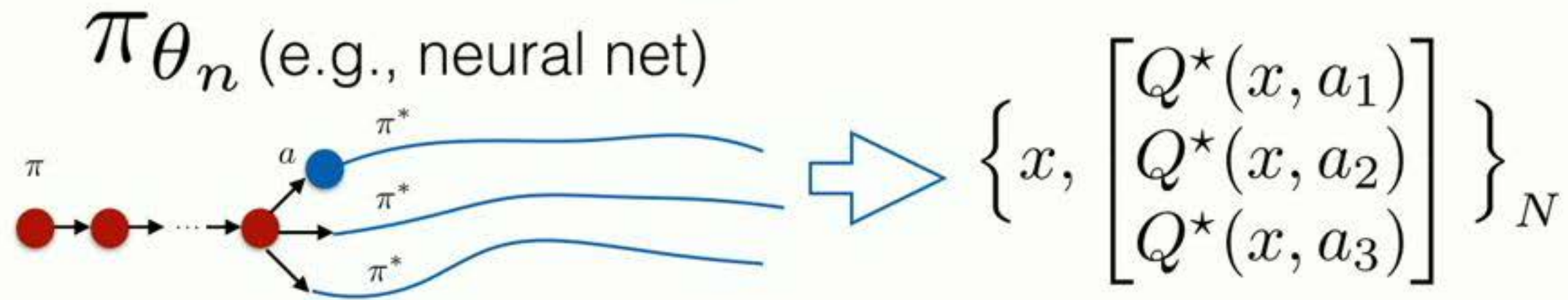
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$$\left\{ x, \begin{bmatrix} Q^*(x, a_1) \\ Q^*(x, a_2) \\ Q^*(x, a_3) \end{bmatrix} \right\}_N$$

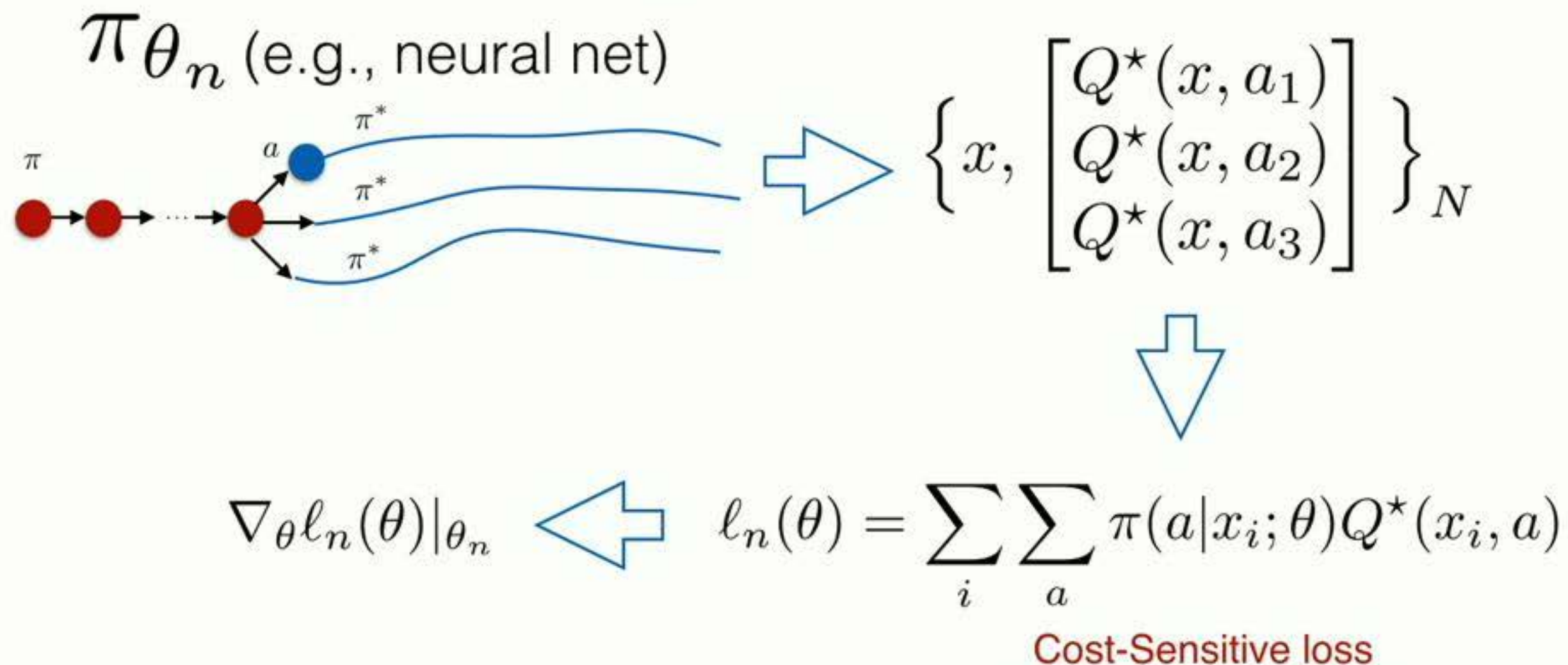
Cost-Sensitive Classification Dataset
(A **Supervised Learning** Dataset)

Differentiable AggreVaTe (AggreVaTeD) [Sun, et.al., 17, ICML]

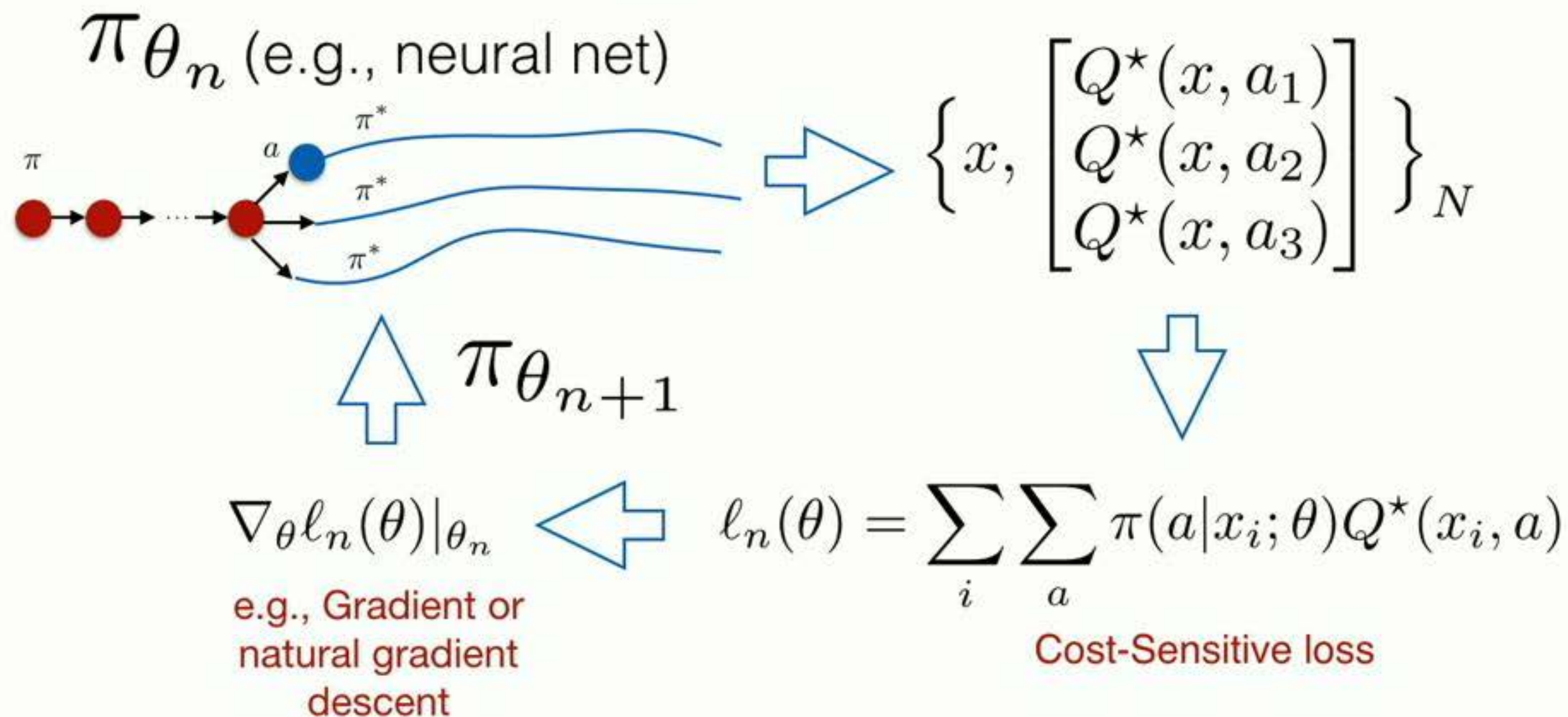


Differentiable AggreVaTe [Sun, et.al., 17, ICML]

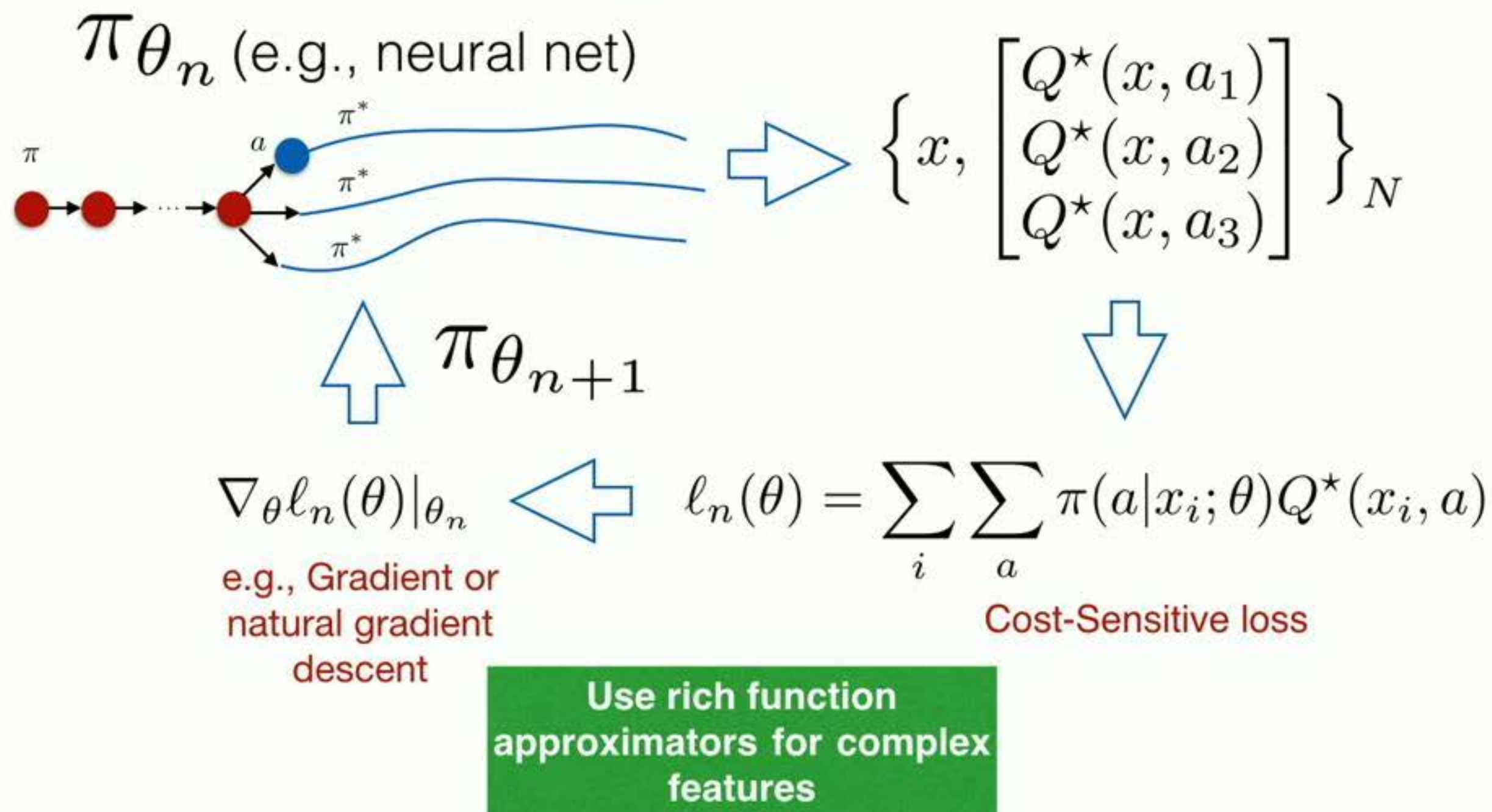
(AggreVaTeD)



Differentiable AggreVaTe (AggreVaTeD) [Sun, et.al., 17, ICML]



Differentiable AggreVaTe (AggreVaTeD) [Sun, et.al., 17, ICML]



Dependency Parsing

Handwritten Algebra Equations & Solutions

[Duyck & Gordon 15]

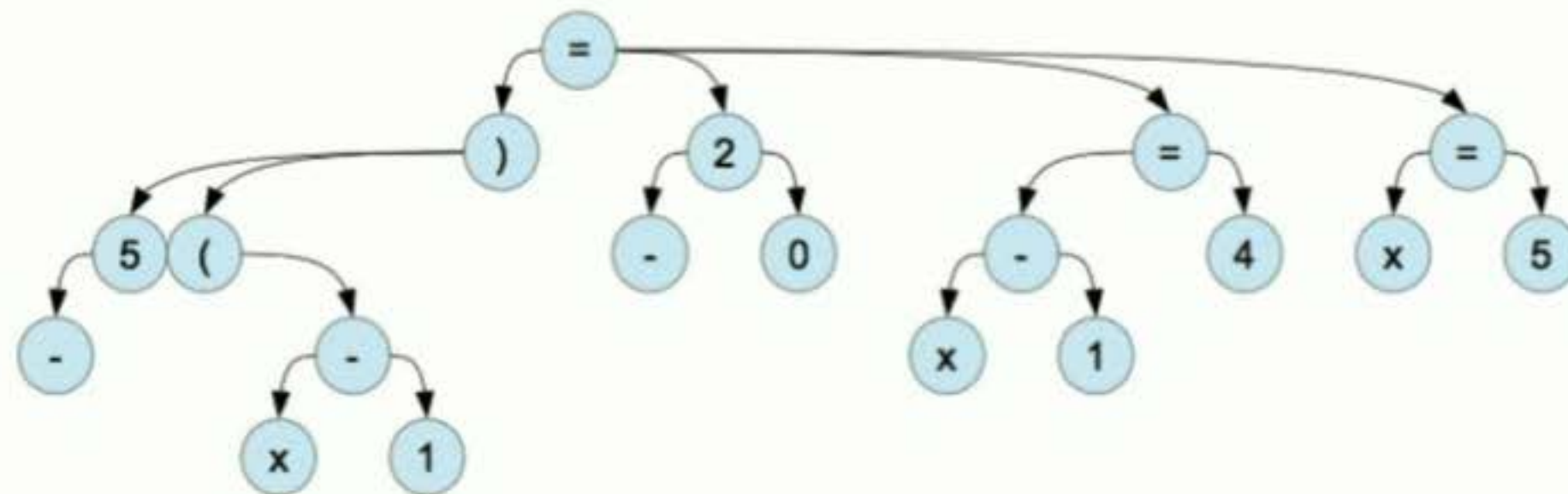
Input:

$$-5(x-1) = -20$$

$$x-1 = 4$$

$$x = 5$$

Output:



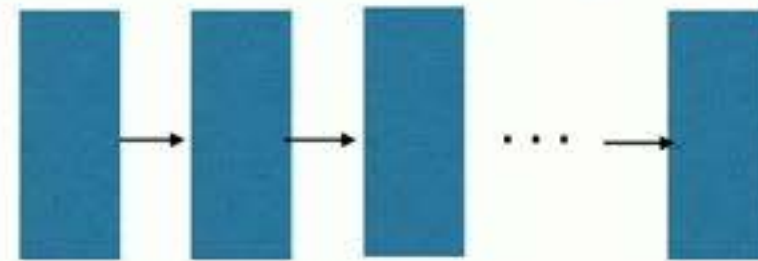
Dependency Parsing as Sequential Decision Making

[e.g., Chang, Krishnamurthy, Agarwal, Daume' III, Langford, 15, ICML]

Dependency Parsing as Sequential Decision Making

[e.g., Chang, Krishnamurthy, Agarwal, Daume' III, Langford, 15, ICML]

Encoder (LSTM)



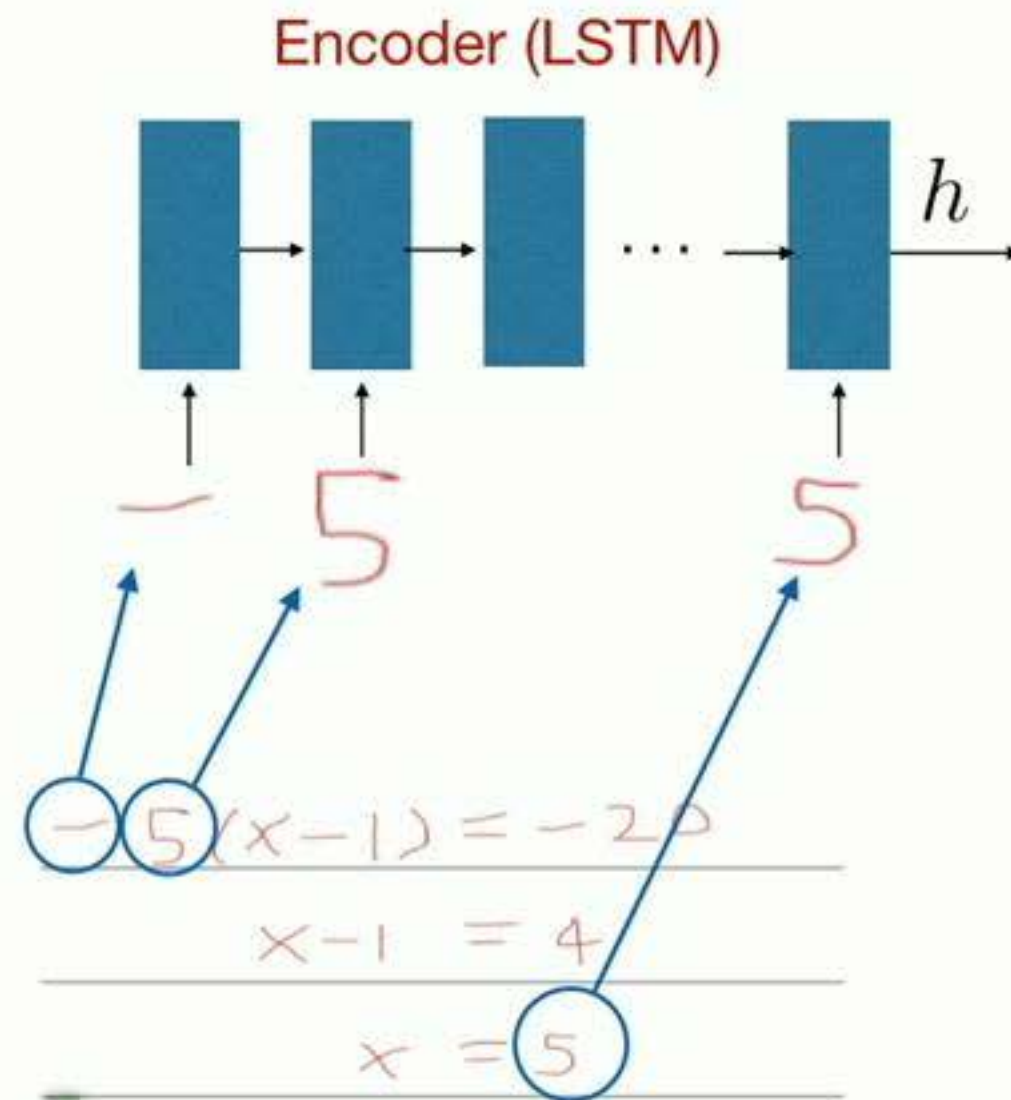
$$-5(x-1) = -20$$

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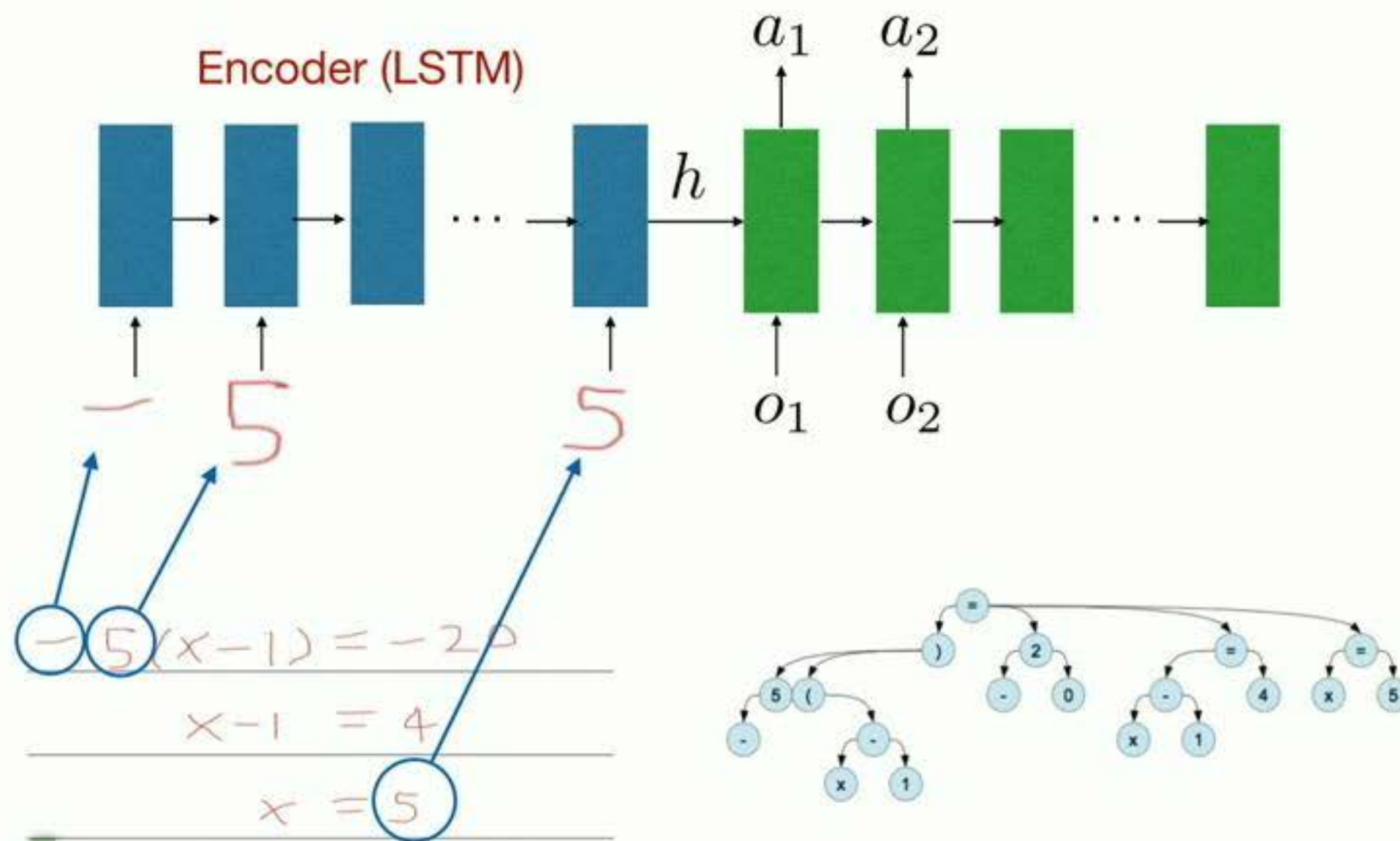
Dependency Parsing as Sequential Decision Making

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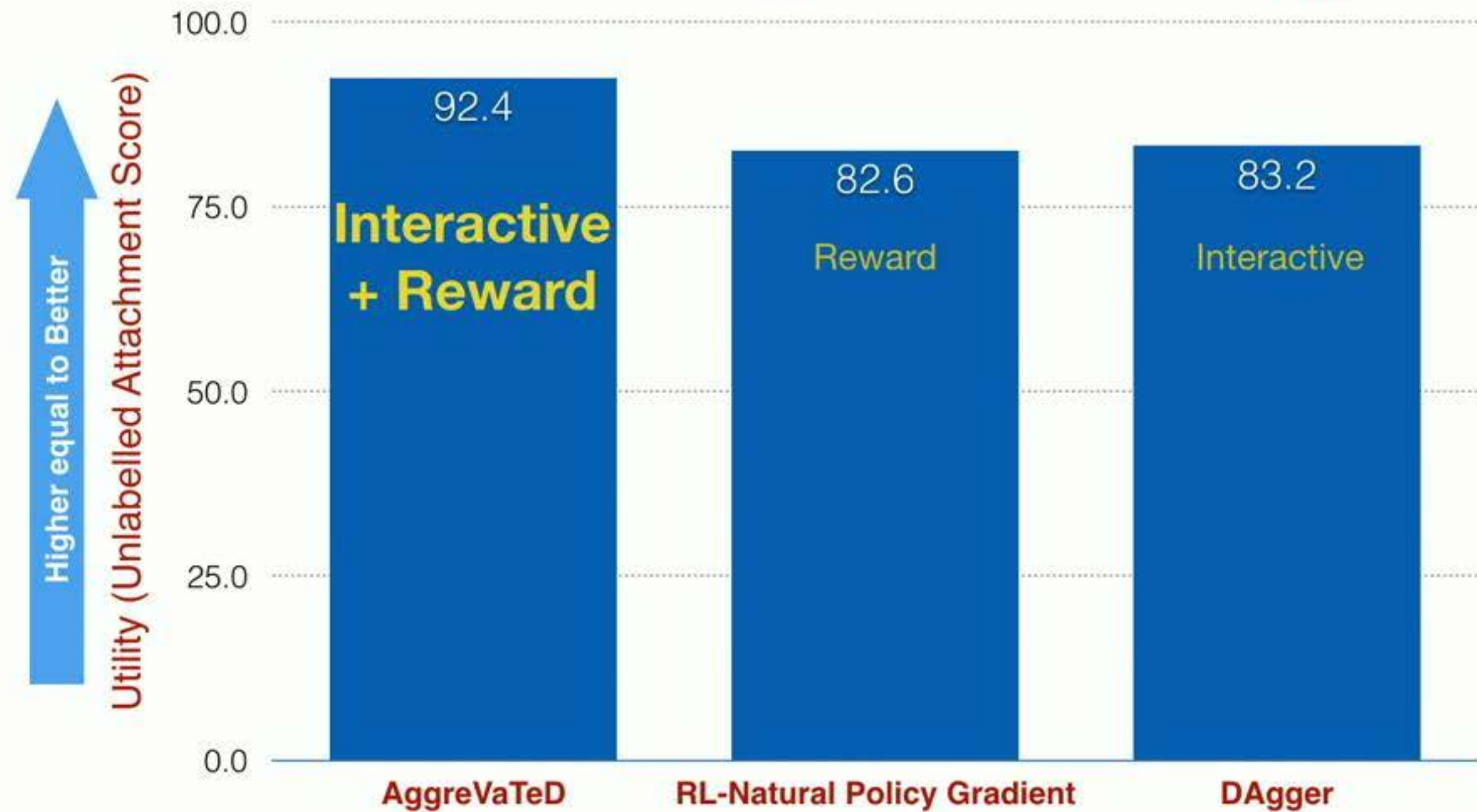


Dependency Parsing as Sequential Decision Making

[e.g., Chang, Krishnamurthy, Agarwal, Daume' III, Langford, 15, ICML]



Performance of AggreVaTeD, RL, and DAgger



RL: Natural Policy Gradient [Kakade 02, NIPS, Bagnell, 04, IJCAI]
DAgger results from Duyck & Gordon, 15

What if we *do not* have a Globally Optimal Expert?

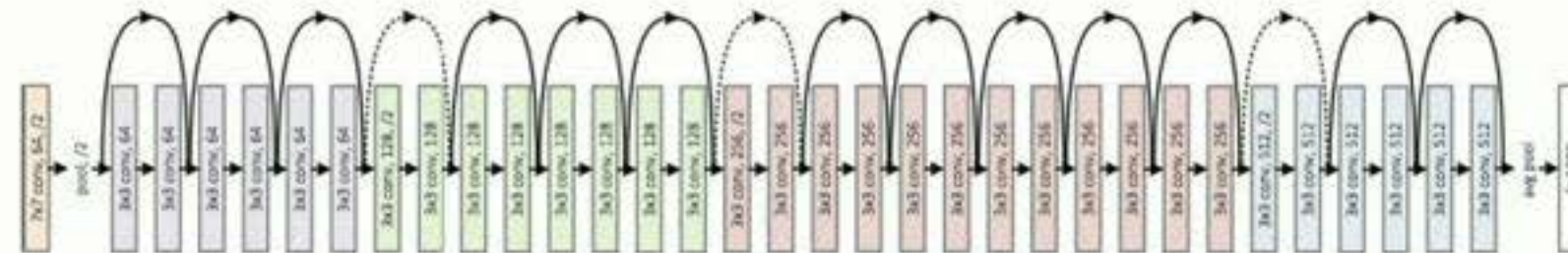
...we can learn from Local Experts!

Example: AlphaGo-Zero

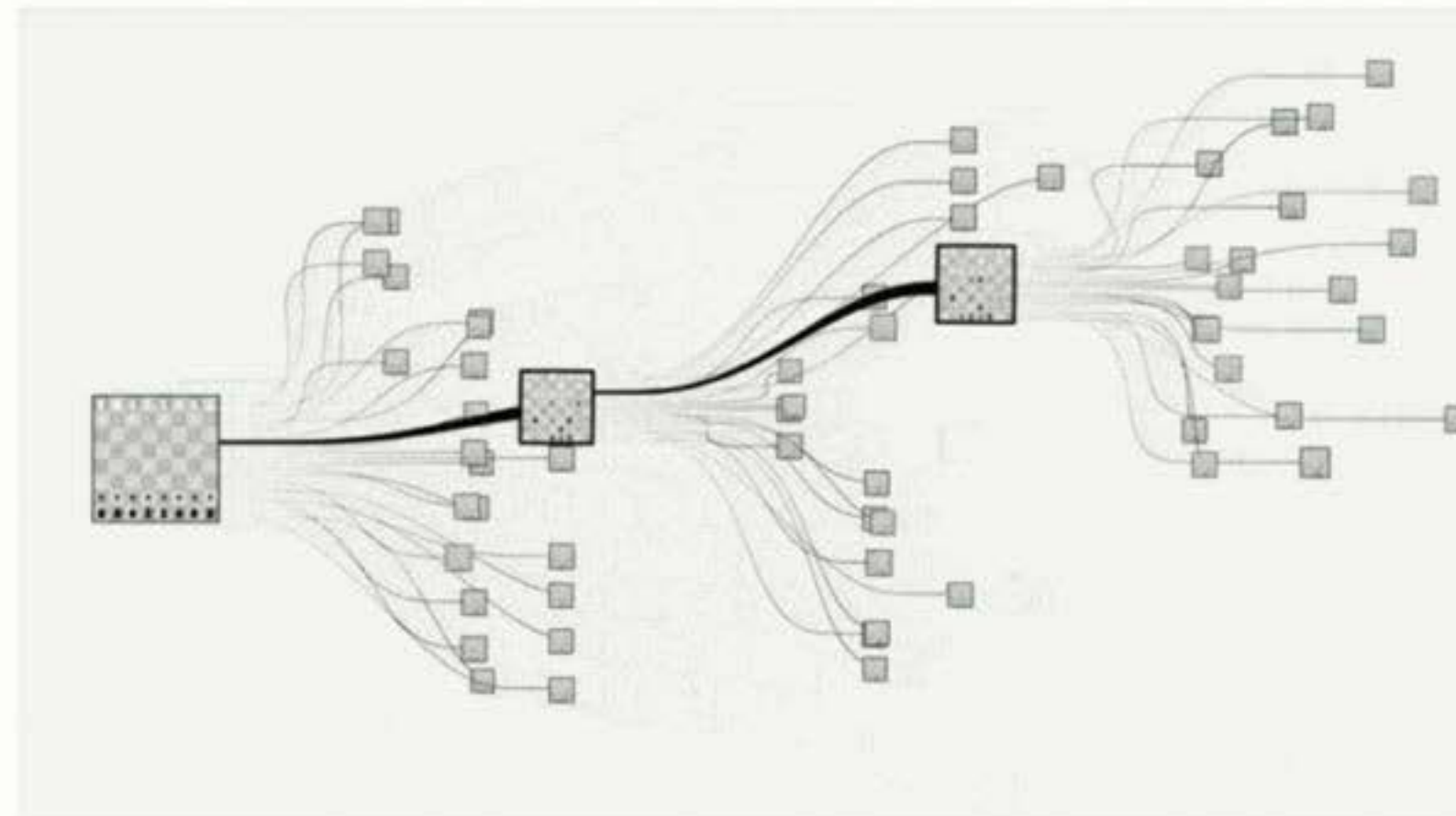
[Silver, et.al, 17, Nature]

Known & Deterministic Transition Dynamics

Fast
Reactive
Policy π



Slow
Policy η
(MCTS)

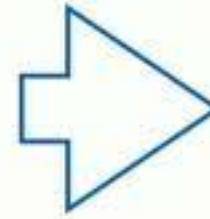
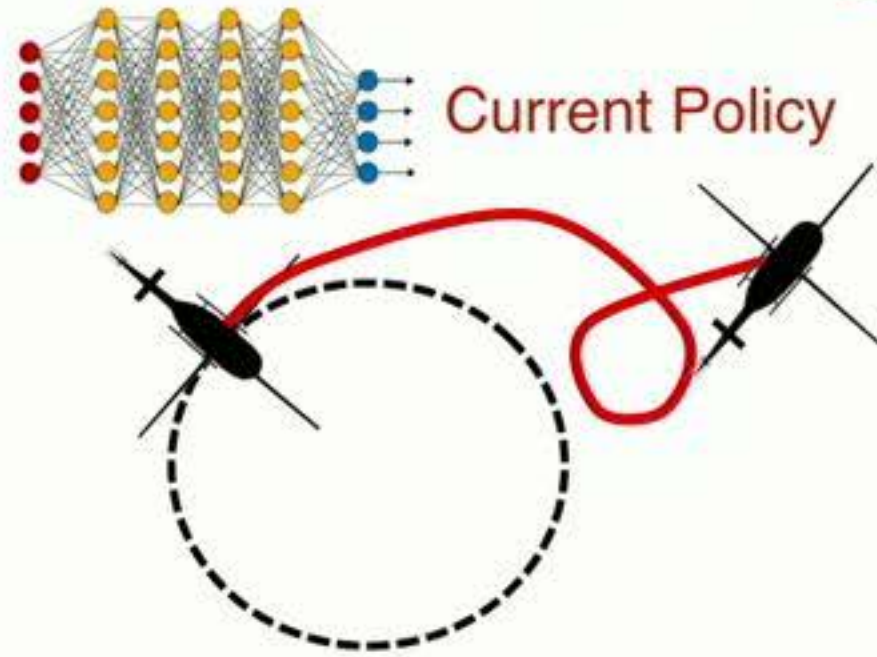


AlphaZero leverages transition dynamics to build local experts







**What if we *do not* have any prior
knowledge of transition dynamics?**

Dual Policy Iteration [Sun et.al., 18, NeurIPS]

Imitating a Locally Optimal Control

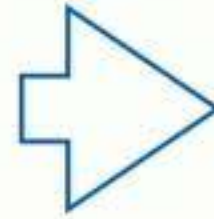
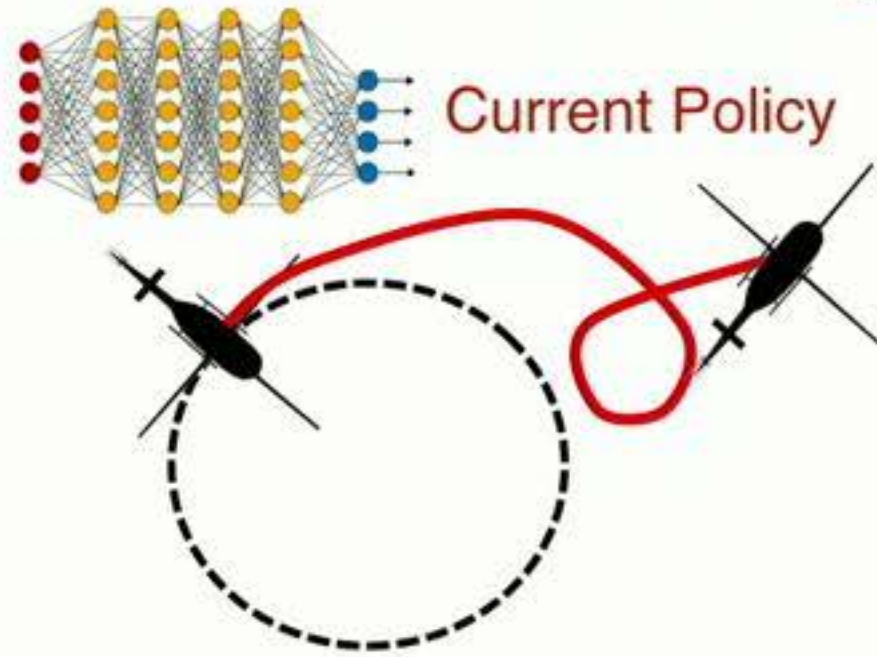


New Transitions

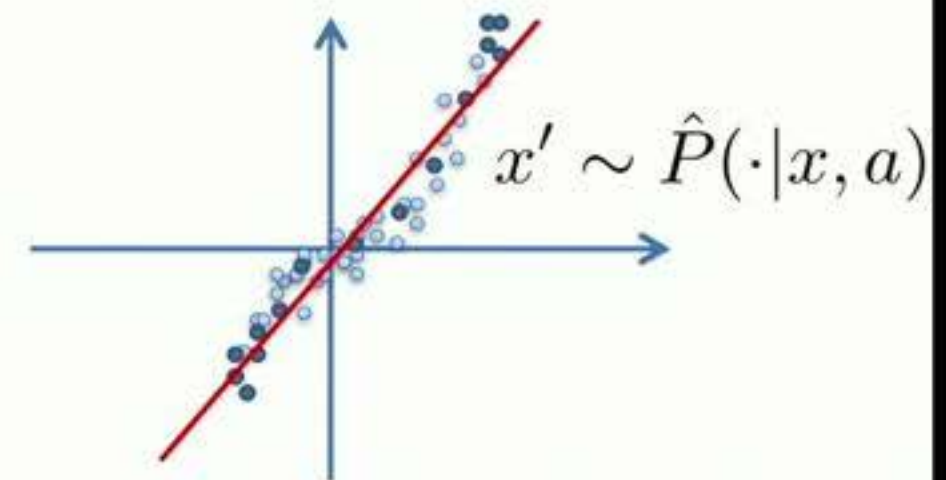
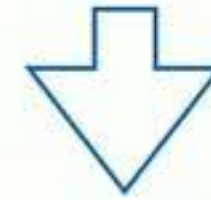
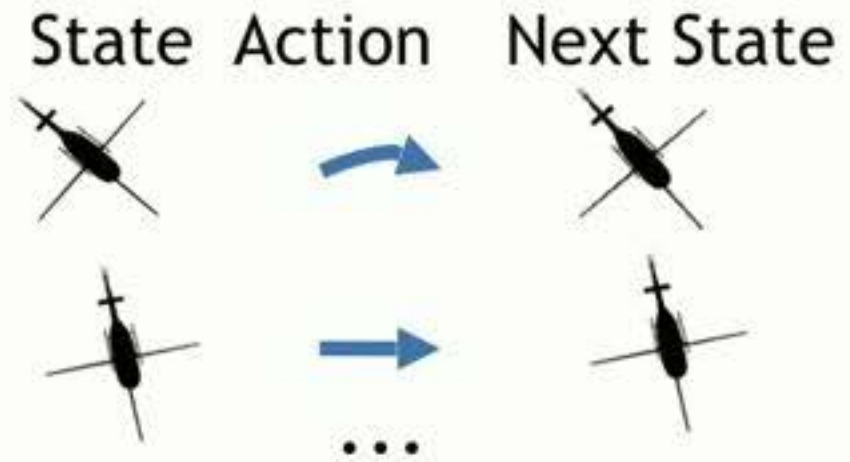
State	Action	Next State
		
		
	...	

Dual Policy Iteration [Sun et.al., 18, NeurIPS]

Imitating a Locally Optimal Control



New Transitions

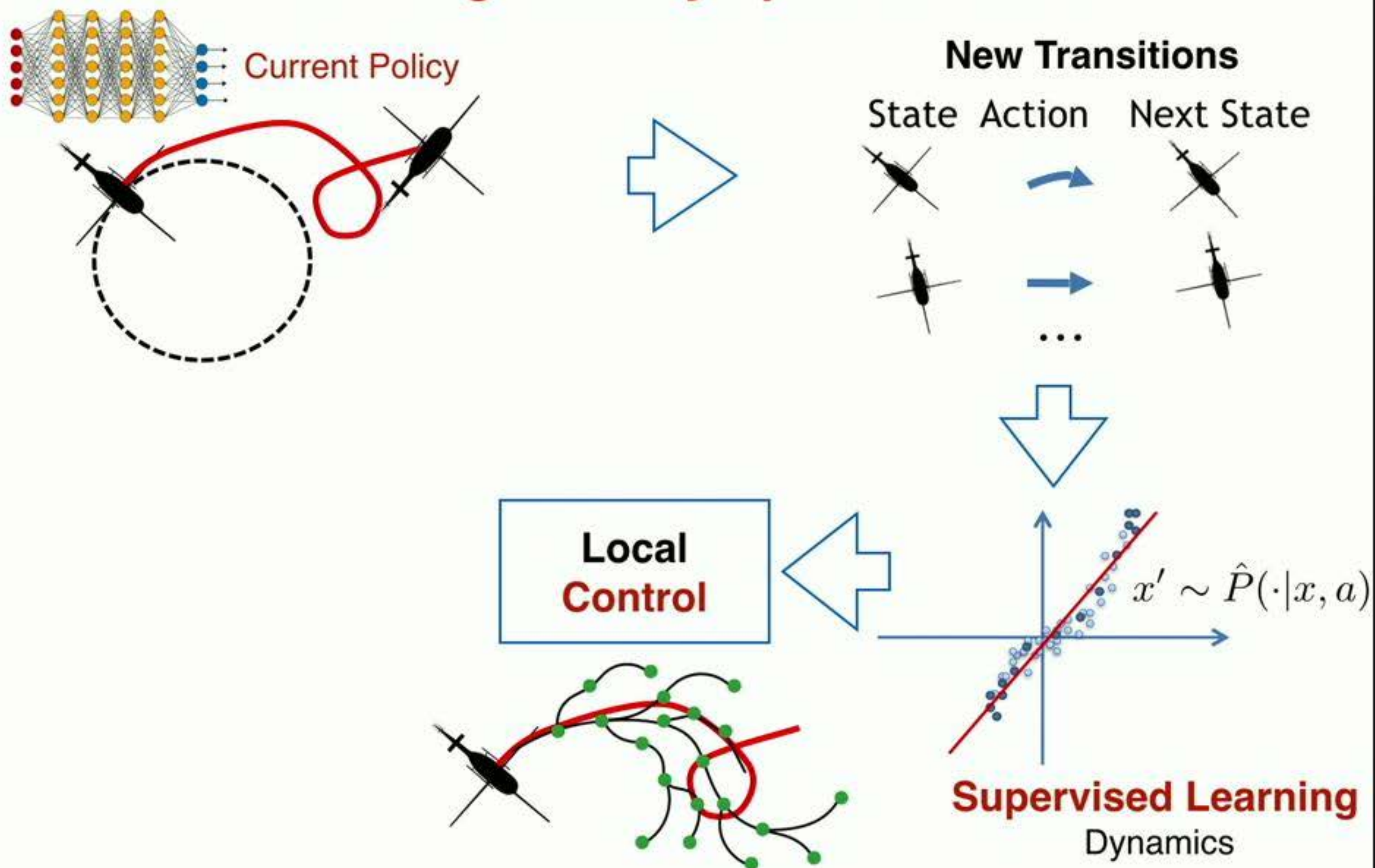


Supervised Learning
Dynamics

Dual Policy Iteration

[Sun et.al., 18, NeurIPS]

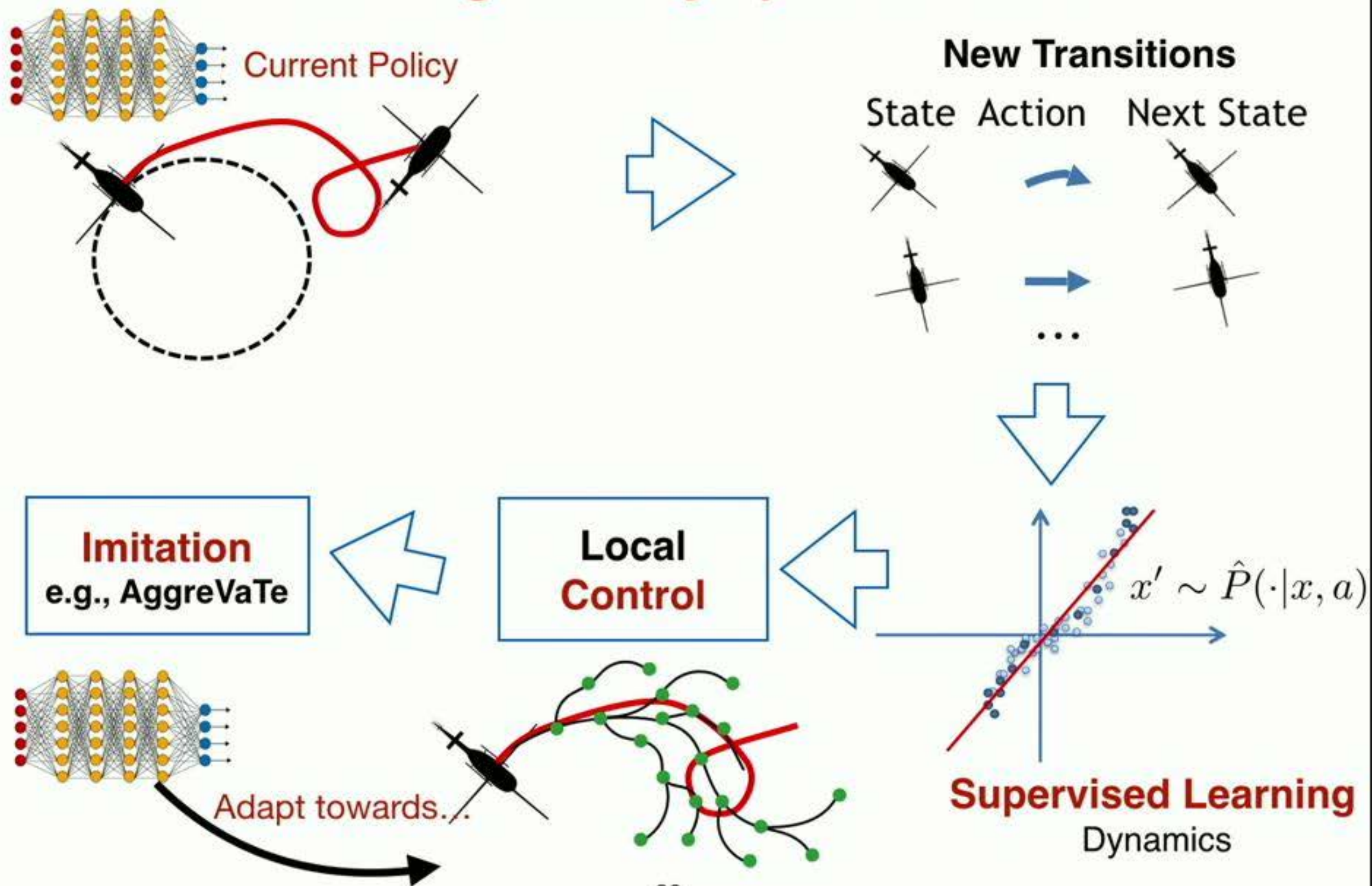
Imitating a Locally Optimal Control



Dual Policy Iteration

[Sun et.al., 18, NeurIPS]

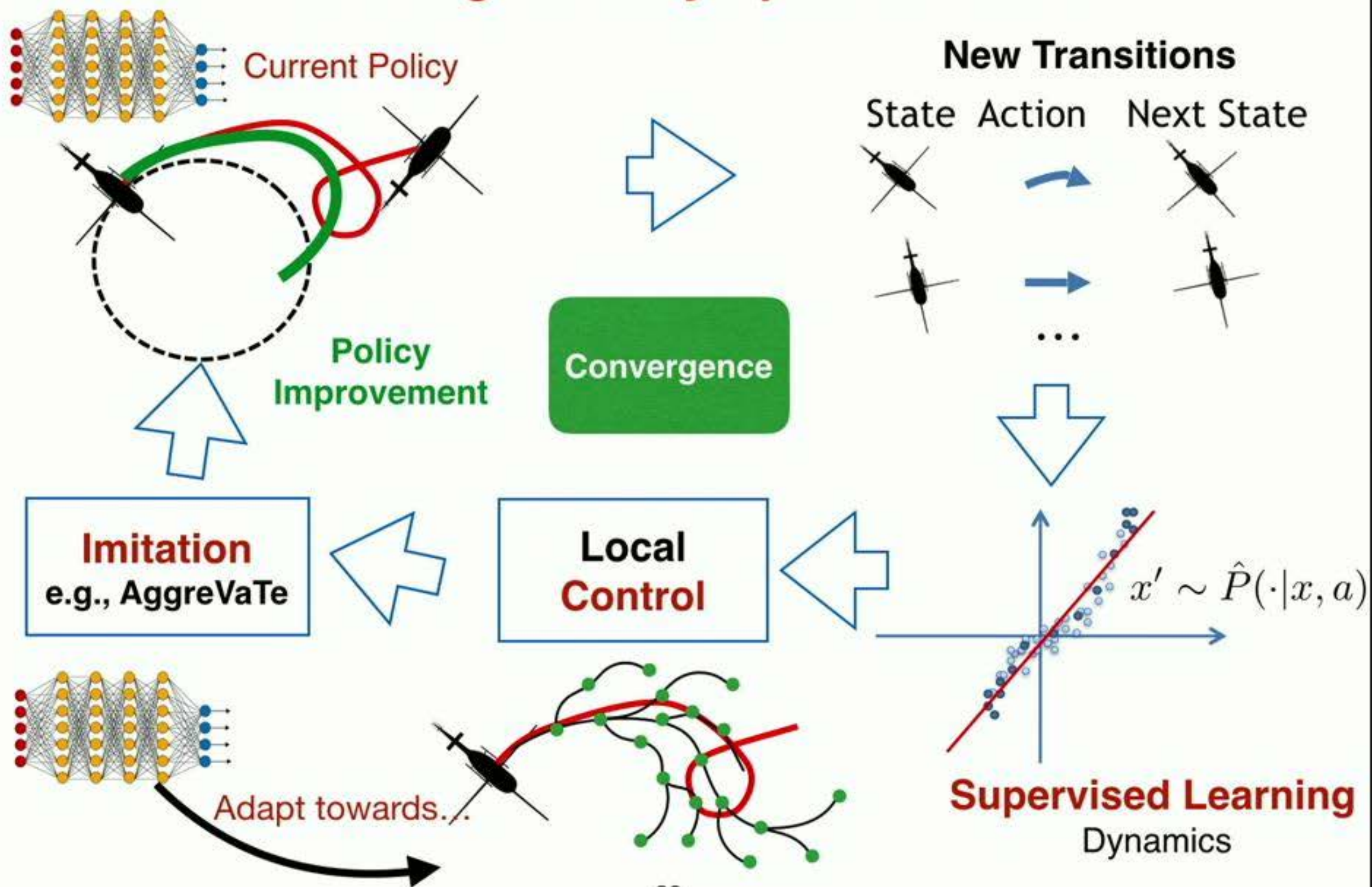
Imitating a Locally Optimal Control



Dual Policy Iteration

[Sun et.al., 18, NeurIPS]

Imitating a Locally Optimal Control



Helicopter Funnel

[Sun et.al., 18, NeurIPS]

Instantiation 1:

Linear Regressors + iLQR + AggreVaTeD w/ Natural Gradient

iLQR: [Li & Todorov, 05] AggreVaTeD: [Sun, 17, ICML]

Helicopter Funnel

[Sun et.al., 18, NeurIPS]



Learned Policy from DPI
(Simulator from Abbeel et.al, 06)

Instantiation 1:

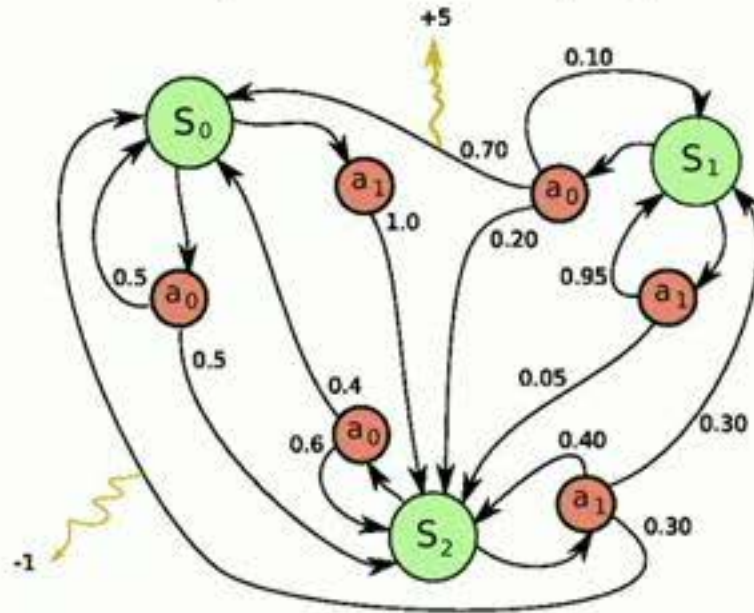
Linear Regressors + iLQR + AggreVaTeD w/ Natural Gradient

iLQR: [Li & Todorov, 05] AggreVaTeD: [Sun, 17, ICML]

Synthetic Discrete MDPs ^[Sun et.al., 18, NeurIPS]

Randomly Generated
Discrete MDPs

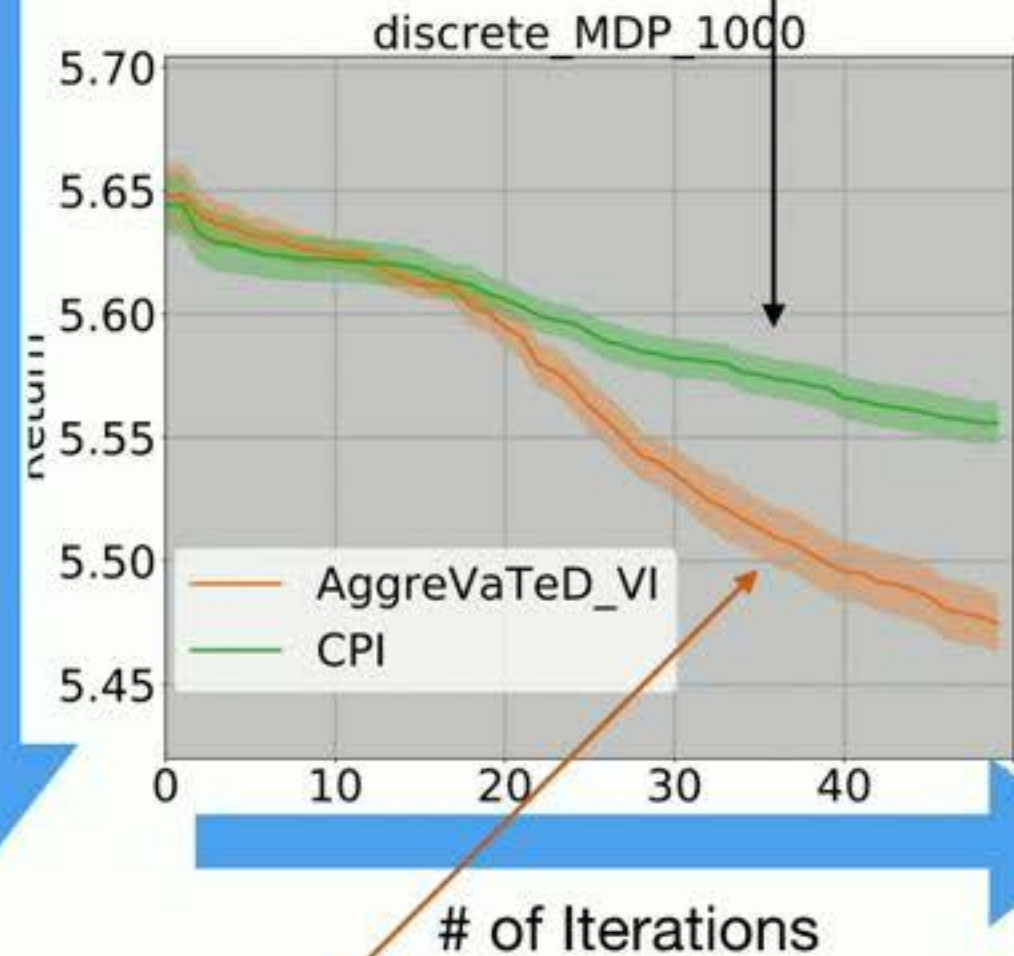
[Archibald et.al., 95]



Lower equal to better (log-scale)

Conservative Policy Iteration

[Kakade & Langford, 02]



Instantiation 2:

Maximum Likelihood Estimation + Value Iteration + AggreVaTeD

AggreVaTeD: [Sun, 17, ICML]

Generalization & Sample Efficiency via...

1. Expert Demonstration

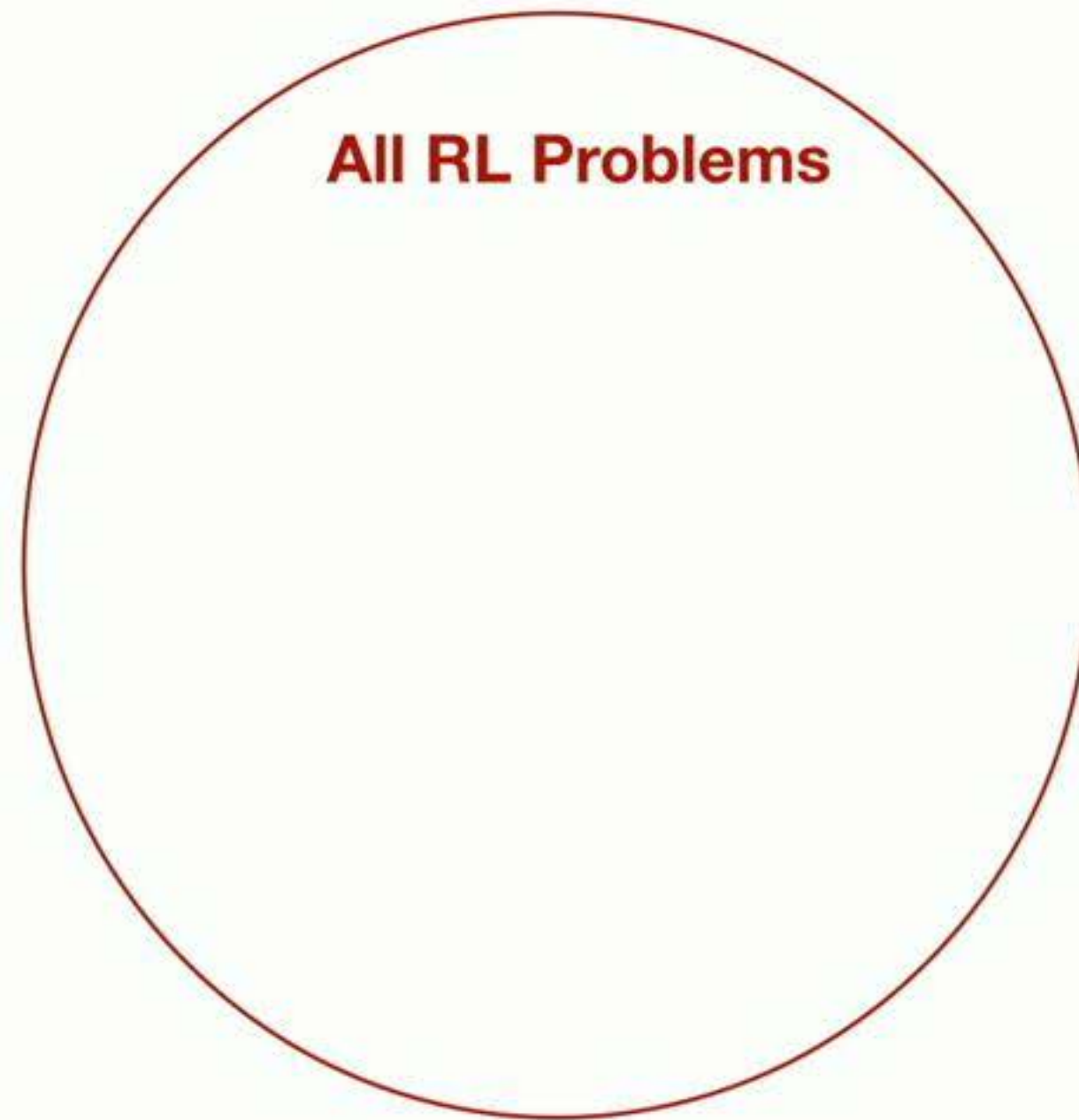


All RL Problems

[Sun, Venkatraman, Gordon, Boots, Bagnell, 17, ICML]

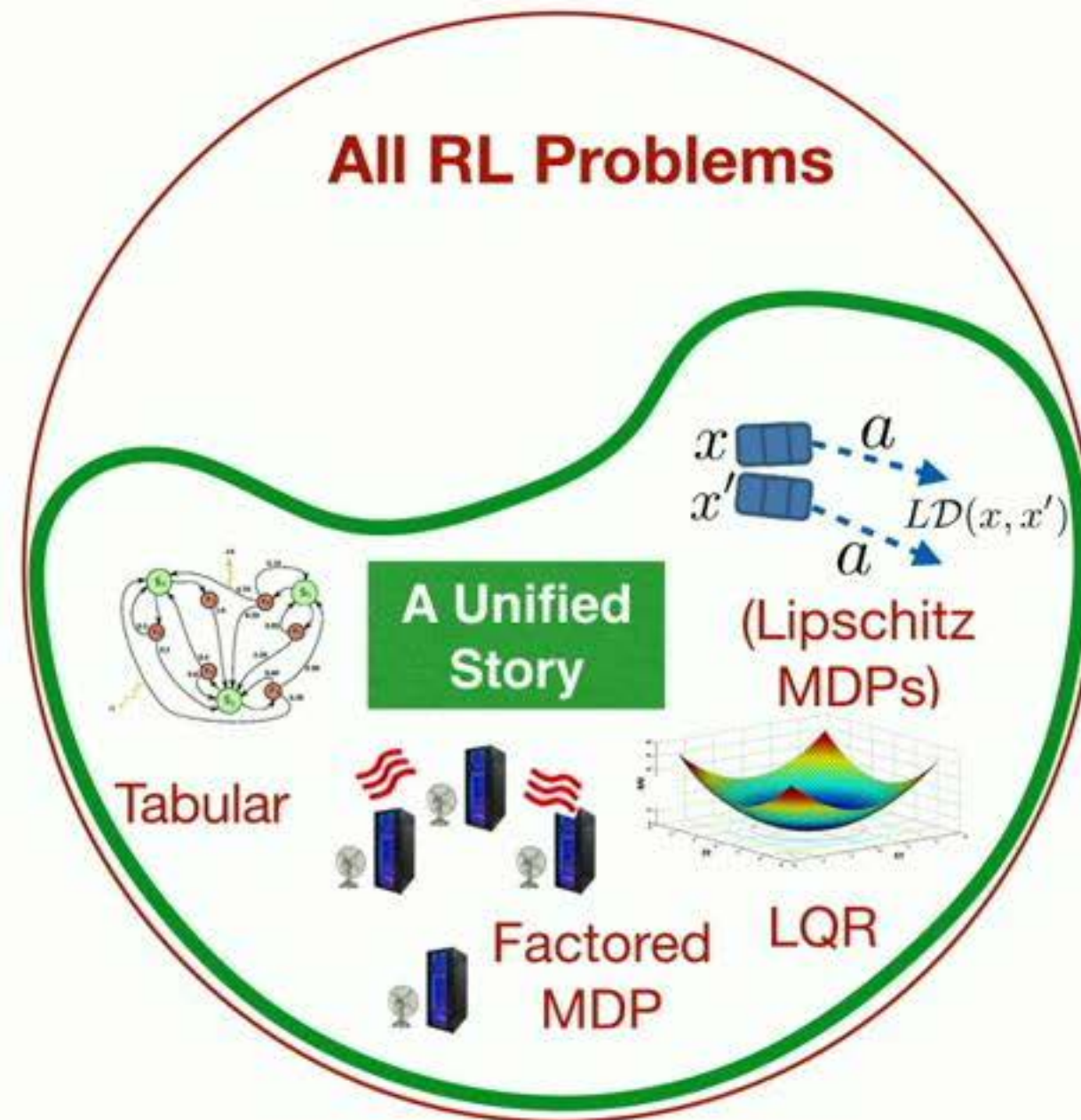
[Sun, Gordon, Boots, Bagnell, 18, NeurIPS]

Generalization & Sample Efficiency via...



Generalization & Sample Efficiency via...

- Why Model-Based RL?
- A Unified Measure

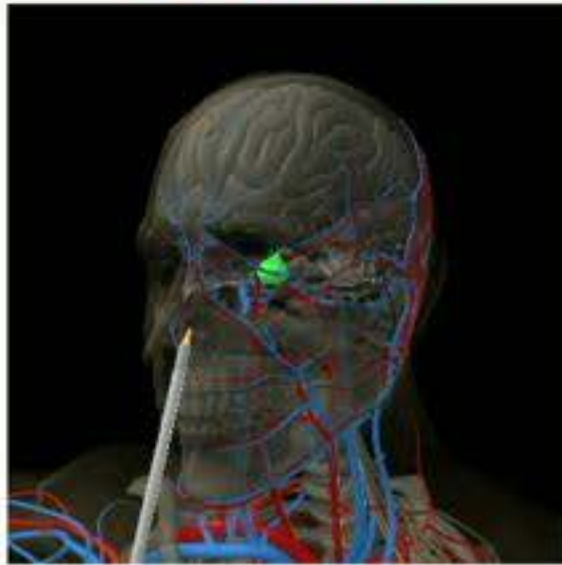


2. Exploiting Structures

[Sun, Jiang, Krishnamurthy, Agarwal, Langford, arXiv, 18]

Modeling Dynamics

Known



[Sun et.al, ISRR 13]

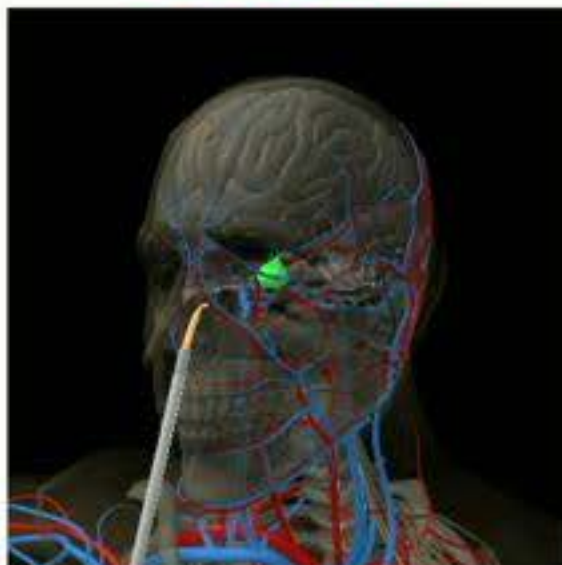
Control

e.g., iterative LQR

[Li & Todorov 03]

Modeling Dynamics

Known



[Sun et.al, ISRR 13]

Control

e.g., iterative LQR

[Li & Todorov 03]

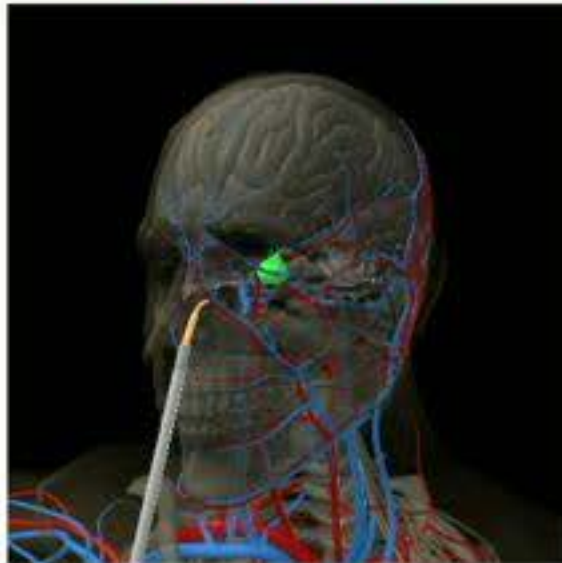
Learned



[Williams et.al, 17, ICRA]

Modeling Dynamics

Known



[Sun et.al, ISRR 13]

Control

e.g., iterative LQR

[Li & Todorov 03]

Learned



[Williams et.al, 17, ICRA]

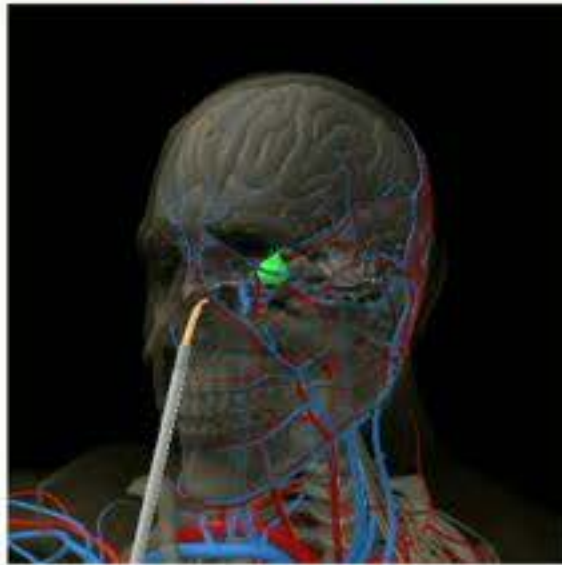
Model-Based RL

$$\hat{P}(\cdot|x, a) \approx P^*(\cdot|x, a)$$

Approximator Real Transition

Modeling Dynamics

Known



[Sun et.al, ISRR 13]

Control

e.g., iterative LQR

[Li & Todorov 03]

Learned



[Williams et.al, 17, ICRA]

Model-Based RL

$$\hat{P}(\cdot|x, a) \approx P^*(\cdot|x, a)$$

Approximator Real Transition

Ignored



Directly learn policy

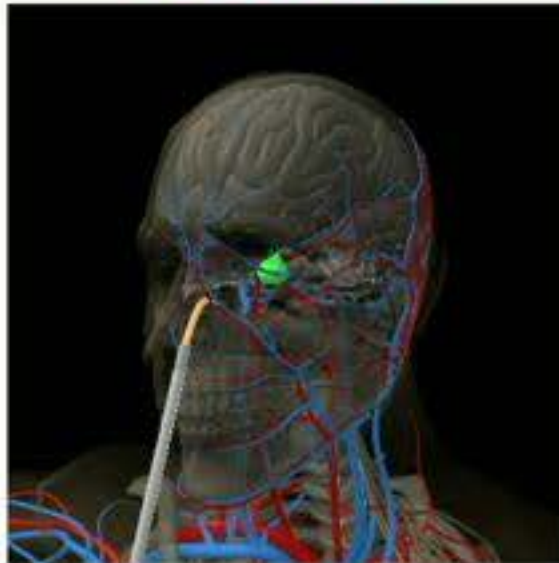
Model-Free RL

e.g., Q-Learning

[Watkins & Dayan, 92]

Modeling Dynamics

Known



[Sun et.al, ISRR 13]

Control

e.g., iterative LQR

[Li & Todorov 03]

Learned



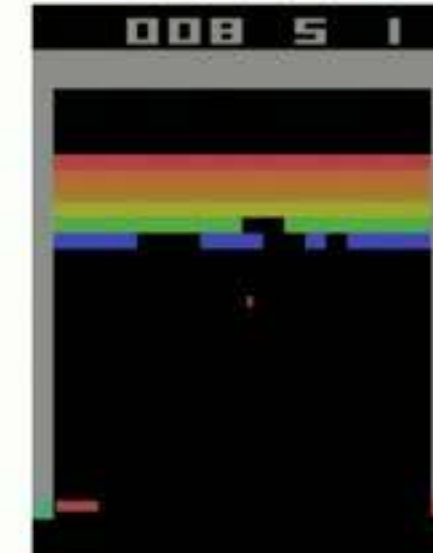
[Williams et.al, 17, ICRA]

Model-Based RL

$$\hat{P}(\cdot|x, a) \approx P^*(\cdot|x, a)$$

Approximator Real Transition

Ignored



Directly learn policy

Model-Free RL

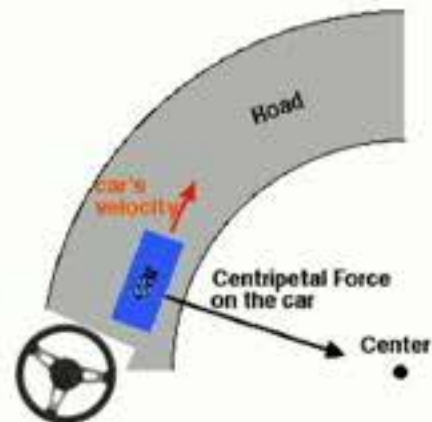
e.g., Q-Learning

[Watkins & Dayan, 92]

Setup of Model-Based RL

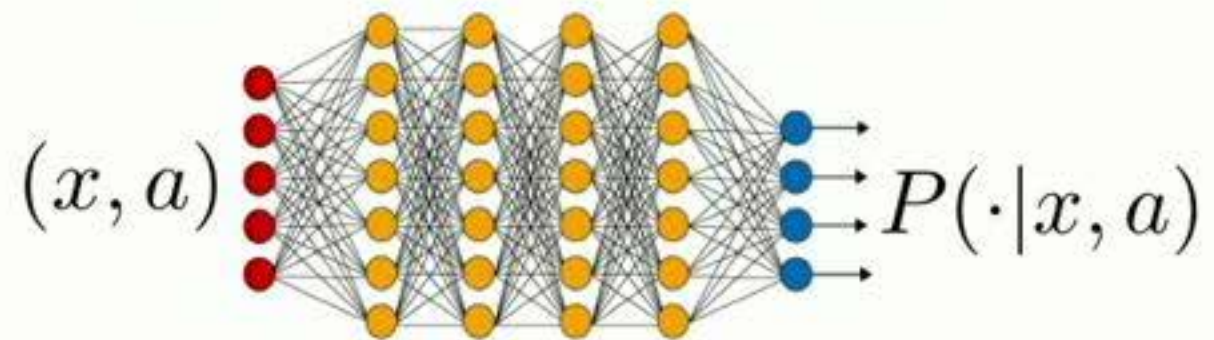
$$x' \sim P^*(\cdot|x, a)$$

Real Transition Dynamics



Setup of Model-Based RL

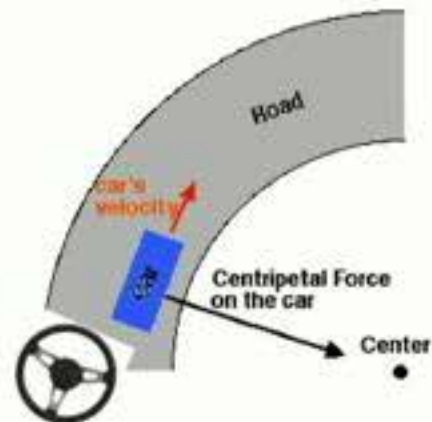
Function Approximators



$$\mathcal{P} = \{P : \mathcal{X} \times \mathcal{A} \rightarrow \Delta(\mathcal{X})\}$$

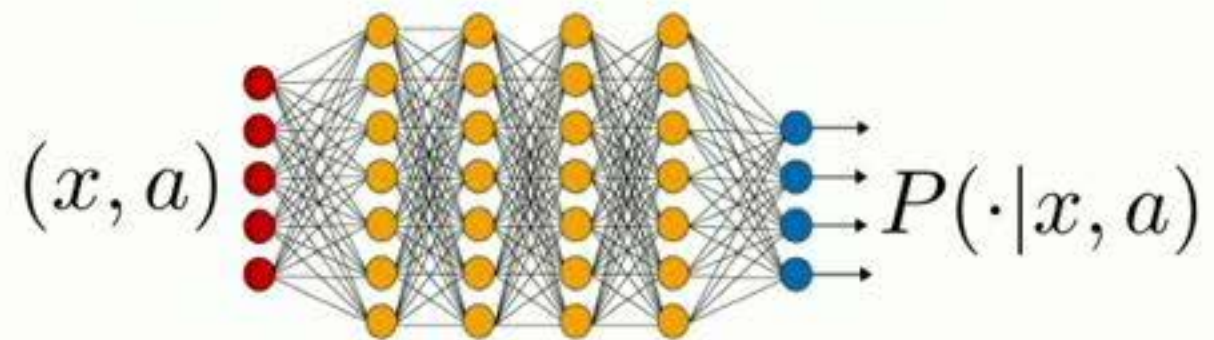
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Real Transition Dynamics



Setup of Model-Based RL

Function Approximators

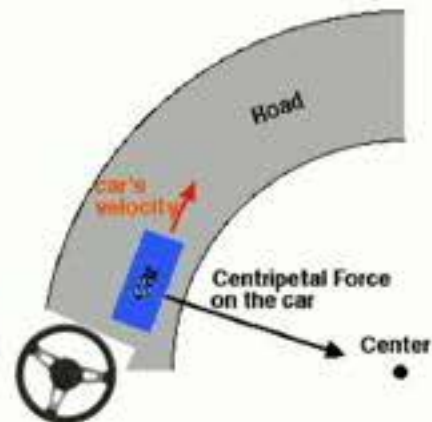


$$\mathcal{P} = \{P : \mathcal{X} \times \mathcal{A} \rightarrow \Delta(\mathcal{X})\}$$

Realizability: $P^* \in \mathcal{P}$

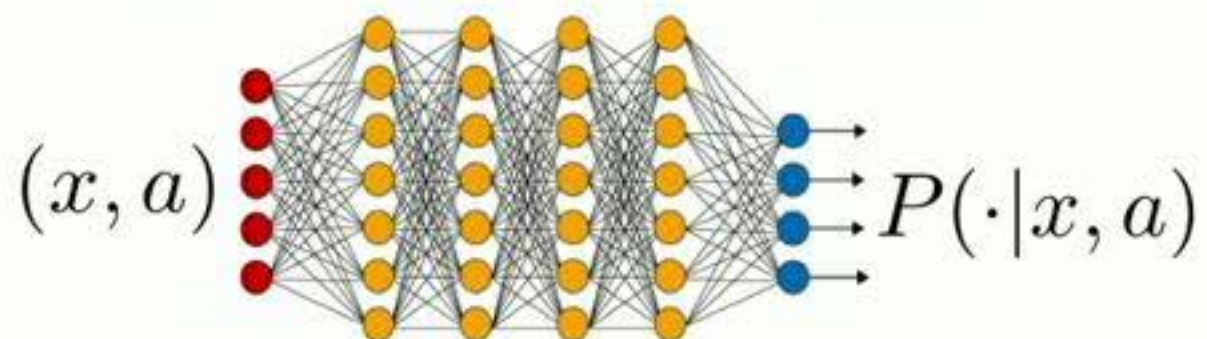
$$x' \sim P^*(\cdot|x, a)$$

Real Transition Dynamics



Setup of Model-Based RL

Function Approximators



Optimal Planner (OP)

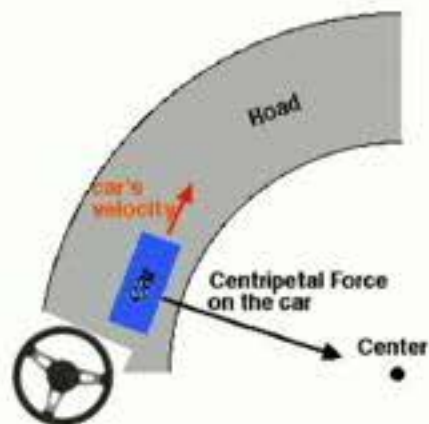
$$OP(P, r) \Rightarrow \pi_P$$

$$\mathcal{P} = \{P : \mathcal{X} \times \mathcal{A} \rightarrow \Delta(\mathcal{X})\}$$

Realizability: $P^* \in \mathcal{P}$

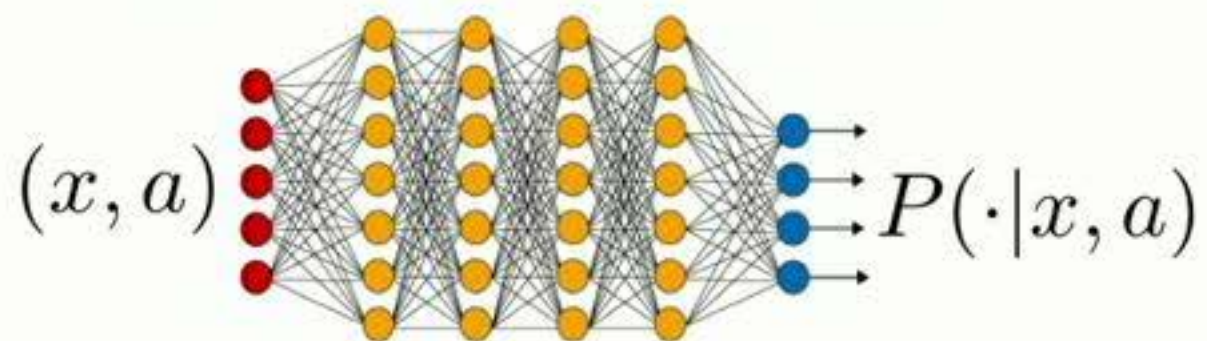
$$x' \sim P^*(\cdot|x, a)$$

Real Transition Dynamics



Setup of Model-Based RL

Function Approximators

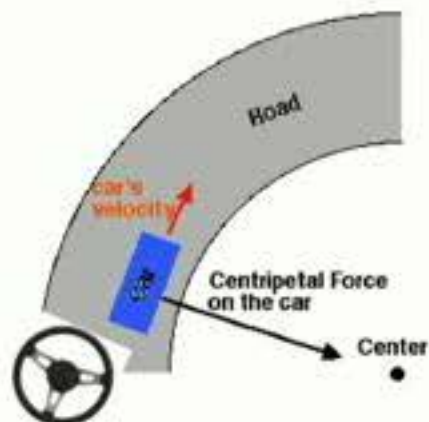


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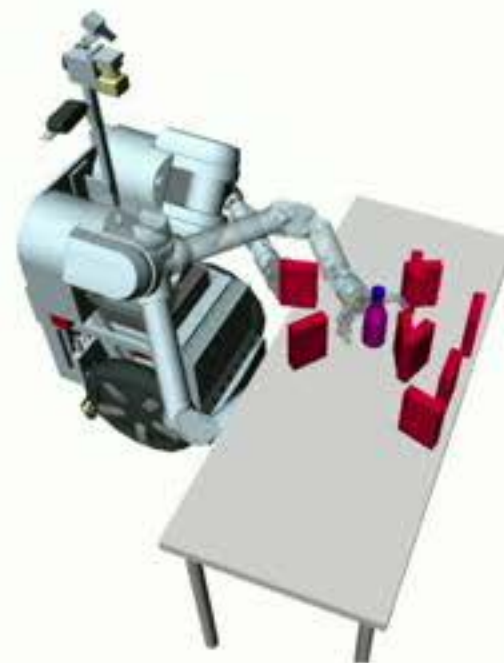
$$x' \sim P^*(\cdot|x, a)$$

Real Transition Dynamics

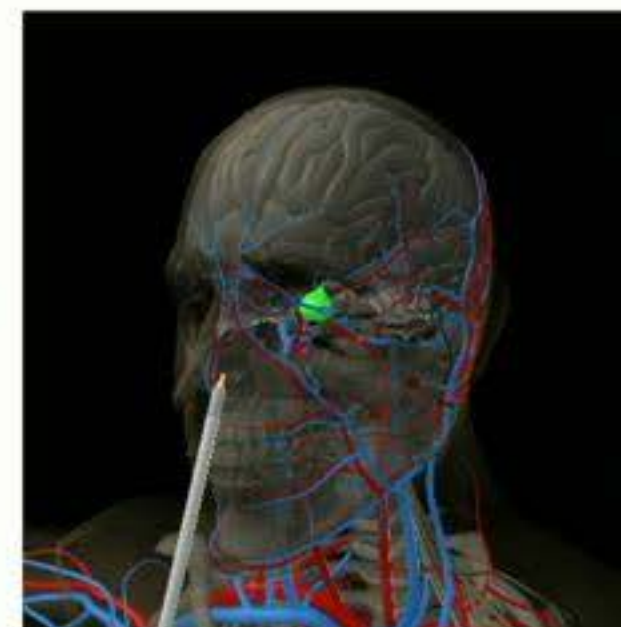


Optimal Planner (OP)

$$OP(P, r) \Rightarrow \pi_P$$



[Zucker et.al, IJRR 13]



[Sun et.al, ISRR 13]

e.g., iLQR [Li & Todorov 03]

CHOMP [Ratliff et.al, 09]

SE-LQR [Sun et.al, 16, TASE]

Why Model-Based RL?

Debate: Model-Based or Model-Free

Iterative Learning Control

(e.g., An & Atkeson & Hollerbach 88, Abbeel 06)

Nonparametric Model-based RL

(e.g., Atkeson 98, Deisenroth et.al., 11)

Guided Policy Search

(e.g., Levine & Abbeel 16)

Dual Policy Iteration

[Sun et.al, 18]

...

Why Model-Based RL?

Debate: Model-Based or Model-Free

Model-Based is often more sample **efficient**
than Model-Free **in practice**...

Iterative Learning Control

(e.g., An & Atkeson & Hollerbach 88, Abbeel 06)

Nonparametric Model-based RL

(e.g., Atkeson 98, Deisenroth et.al., 11)

Guided Policy Search

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Dual Policy Iteration

[Sun et.al, 18]

...

In Theory?

There exists MDPs (e.g., Factored MDPs), s.t., to learn near optimal policy,

Model-Based RL:

Polynomial Sample
Complexity

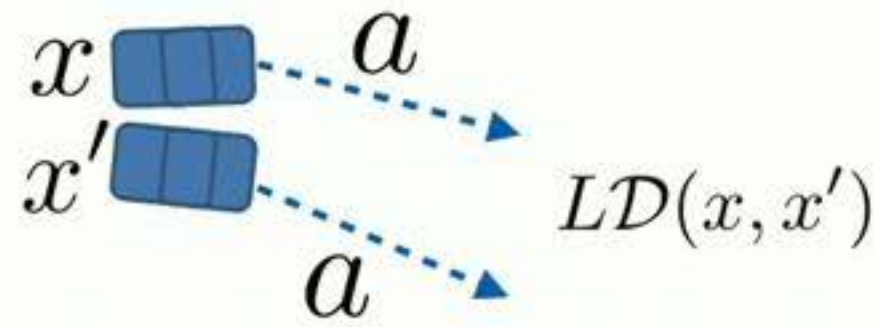
VS

Any Model-Free RL:

$\Omega(\exp(H))$

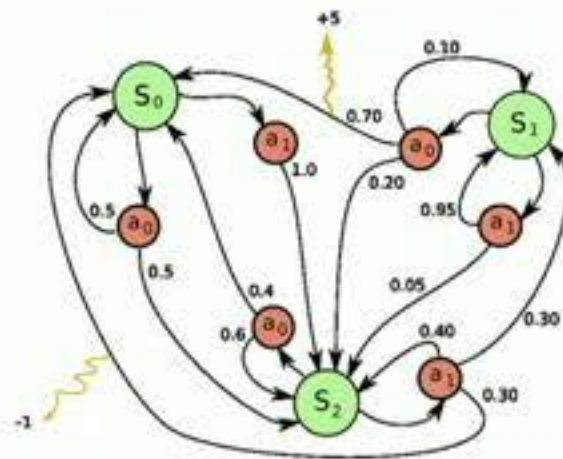
Model-based Reinforcement Learning in Contextual Decision Processes
Sun, Jiang, Krishnamurthy, Agarwal, Langford, arXiv, 18.

We have been exploiting the structures of models, BUT...



Lipschitz Continuous MDPs

[Kearns, Langford, Kakade, 03]



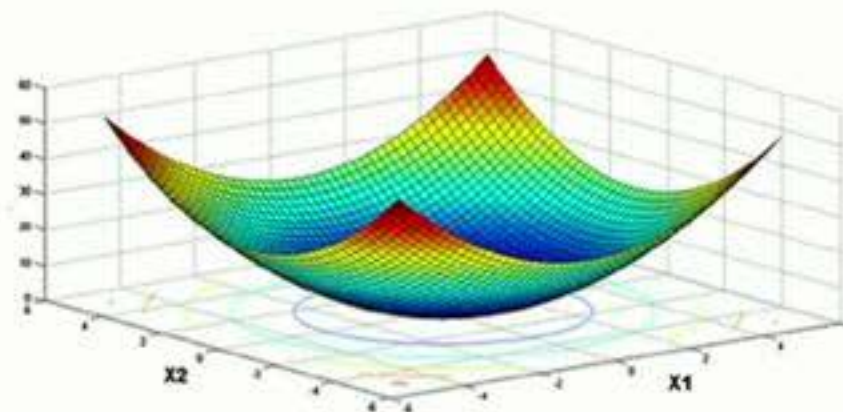
Small Tabular MDP

[Kearns & Singh, 02]



Factored MDPs

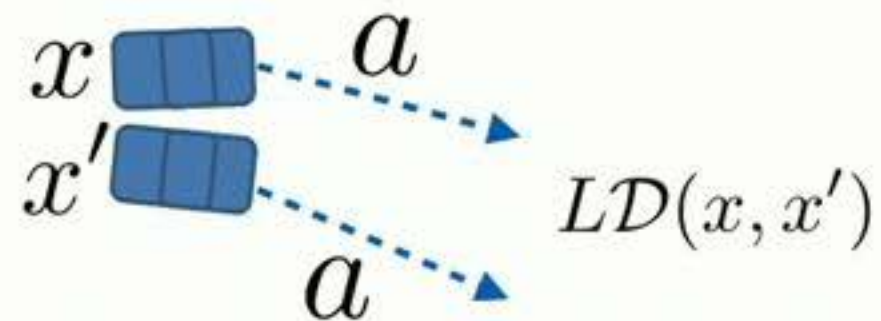
[Guestrin et.al, 03; Osband & Van Roy, 13]



Linear Quadratic Regulator (LQR)

[Dean et.al, 18]

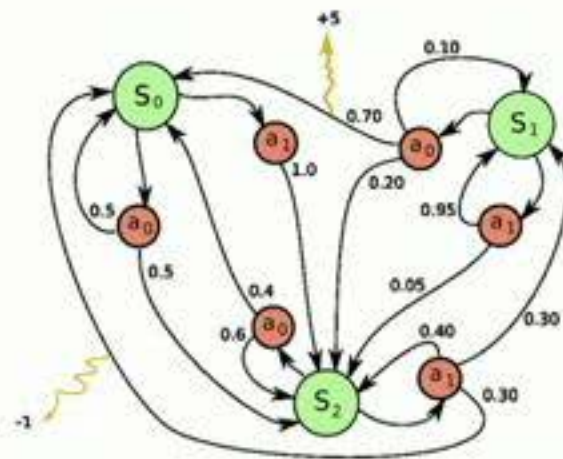
We have been exploiting the structures of models, BUT...



Lipschitz Continuous MDPs

[Kearns, Langford, Kakade, 03]

**A Unified
Algorithm?**



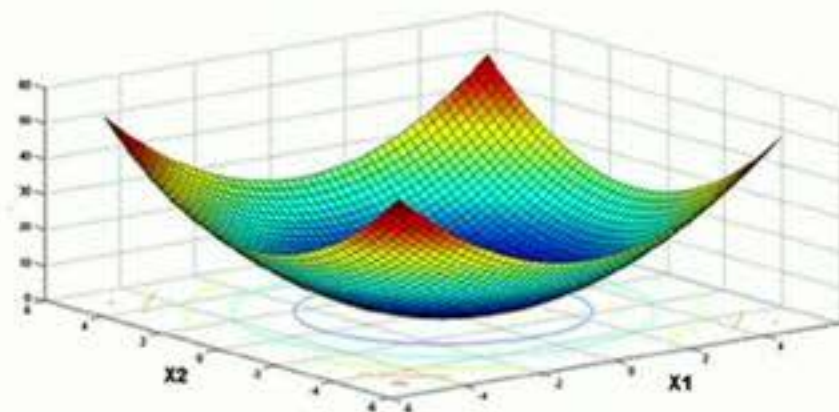
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Factored MDPs

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Linear Quadratic Regulator (LQR)

[Dean et.al, 18]

Distinguish Two Distributions:

Integral Probability Metric (IPM) [Muller et.al, 97]

Distinguish two distributions P, Q

Distinguish Two Distributions:

Integral Probability Metric (IPM) [Muller et.al, 97]

Distinguish two distributions P, Q



Real bedroom images
[LSUN dataset]



Imaginary samples from
a generative model
[e.g., Wasserstein GAN,17]

Distinguish Two Distributions:

Integral Probability Metric (IPM) [Muller et.al, 97]

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Real bedroom images
[LSUN dataset]



Imaginary samples from
a generative model
[e.g., Wasserstein GAN,17]

Discriminators $\max_{f \in \mathcal{F}} [\mathbb{E}_{x \sim P} f(x) - \mathbb{E}_{x \sim Q} f(x)]$

Distinguish Two Distributions:

Integral Probability Metric (IPM) [Muller et.al, 97]

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Real bedroom images
[LSUN dataset]



Imaginary samples from
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[e.g., Wasserstein GAN,17]

Discriminators $\max_{f \in \mathcal{F}} [\mathbb{E}_{x \sim P} f(x) - \mathbb{E}_{x \sim Q} f(x)]$

$$\mathcal{F} \triangleq \{f : \|f\|_{\infty} \leq 1\} \Rightarrow \|P - Q\|_1 \text{ Total Variation}$$

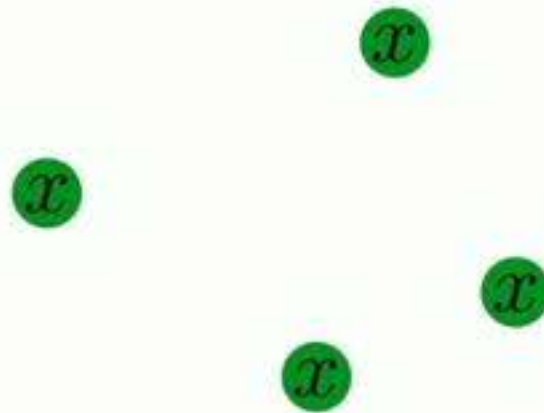
$$\mathcal{F} \triangleq \{f : \|f\|_L \leq 1\} \Rightarrow \text{Wasserstein Distance}$$

Distinguish a Candidate from the Real

Candidate: $P(\cdot|x, a)$  Real: $P^*(\cdot|x, a)$

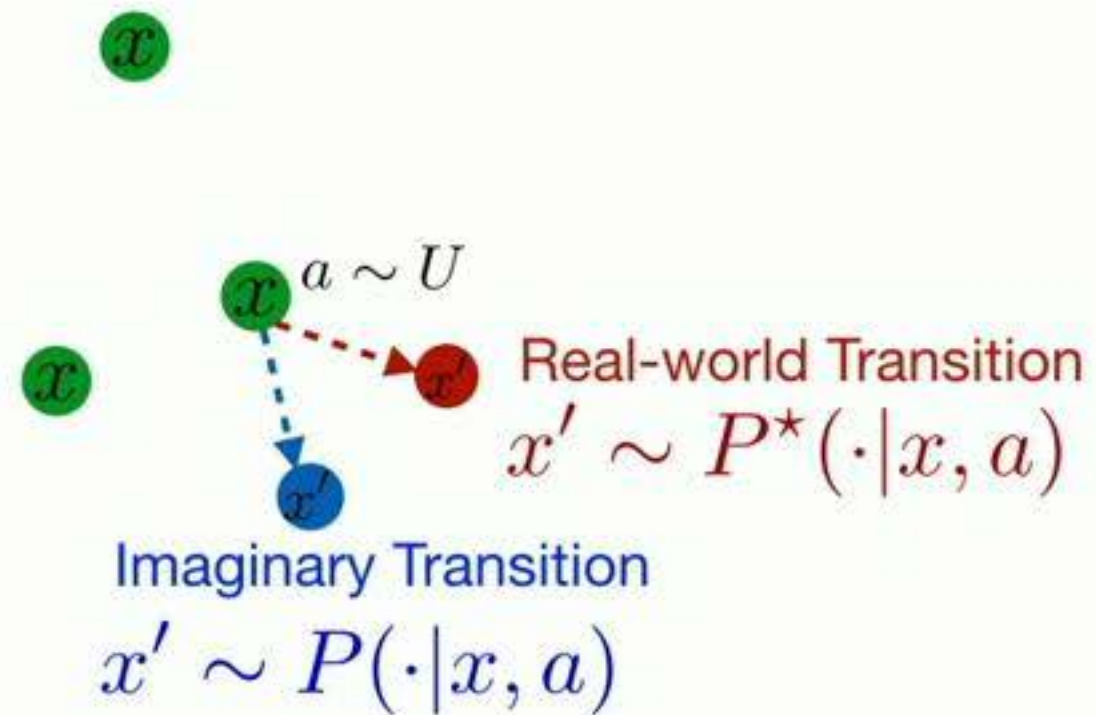
Distinguish a Candidate from the Real

Candidate: $P(\cdot|x, a)$ ~~?~~ Real: $P^*(\cdot|x, a)$



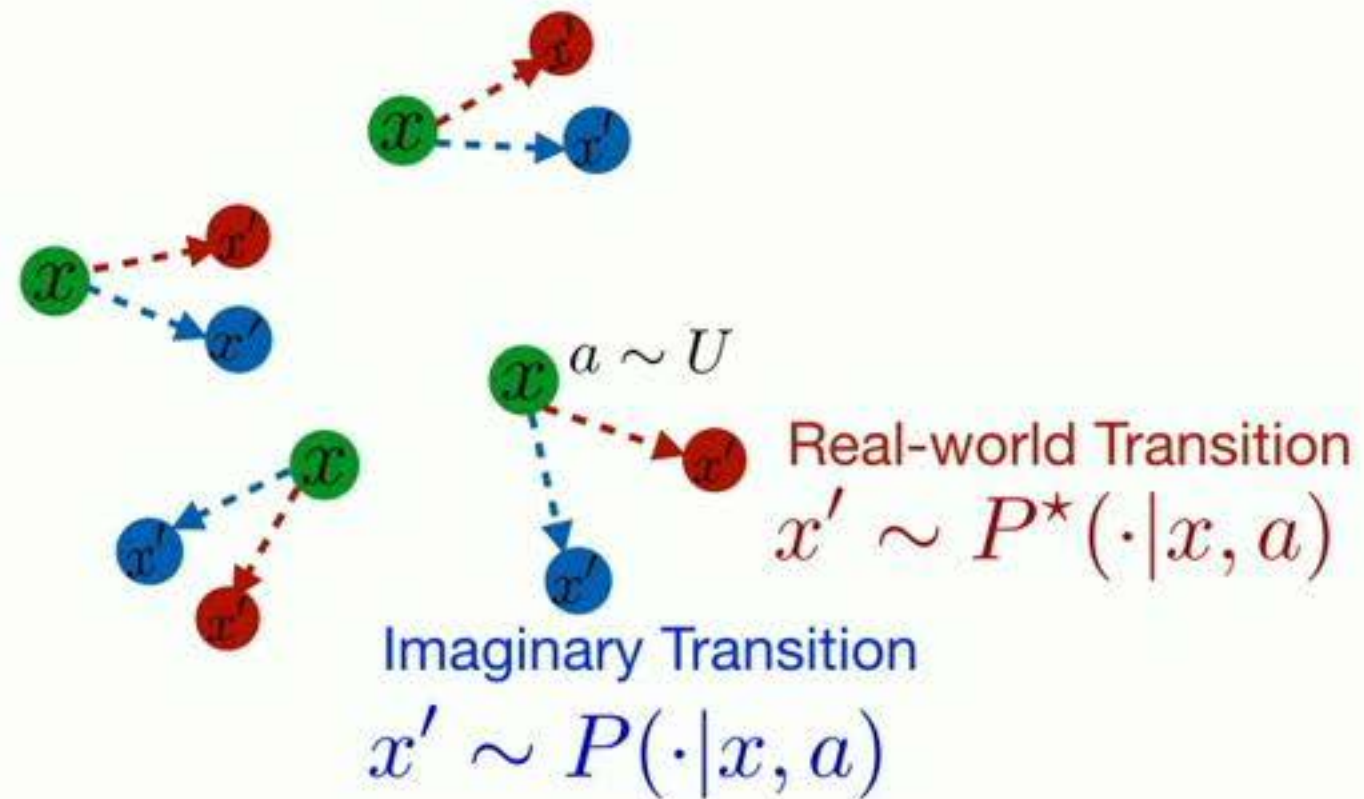
Distinguish a Candidate from the Real

Candidate: $P(\cdot|x, a)$  Real: $P^*(\cdot|x, a)$



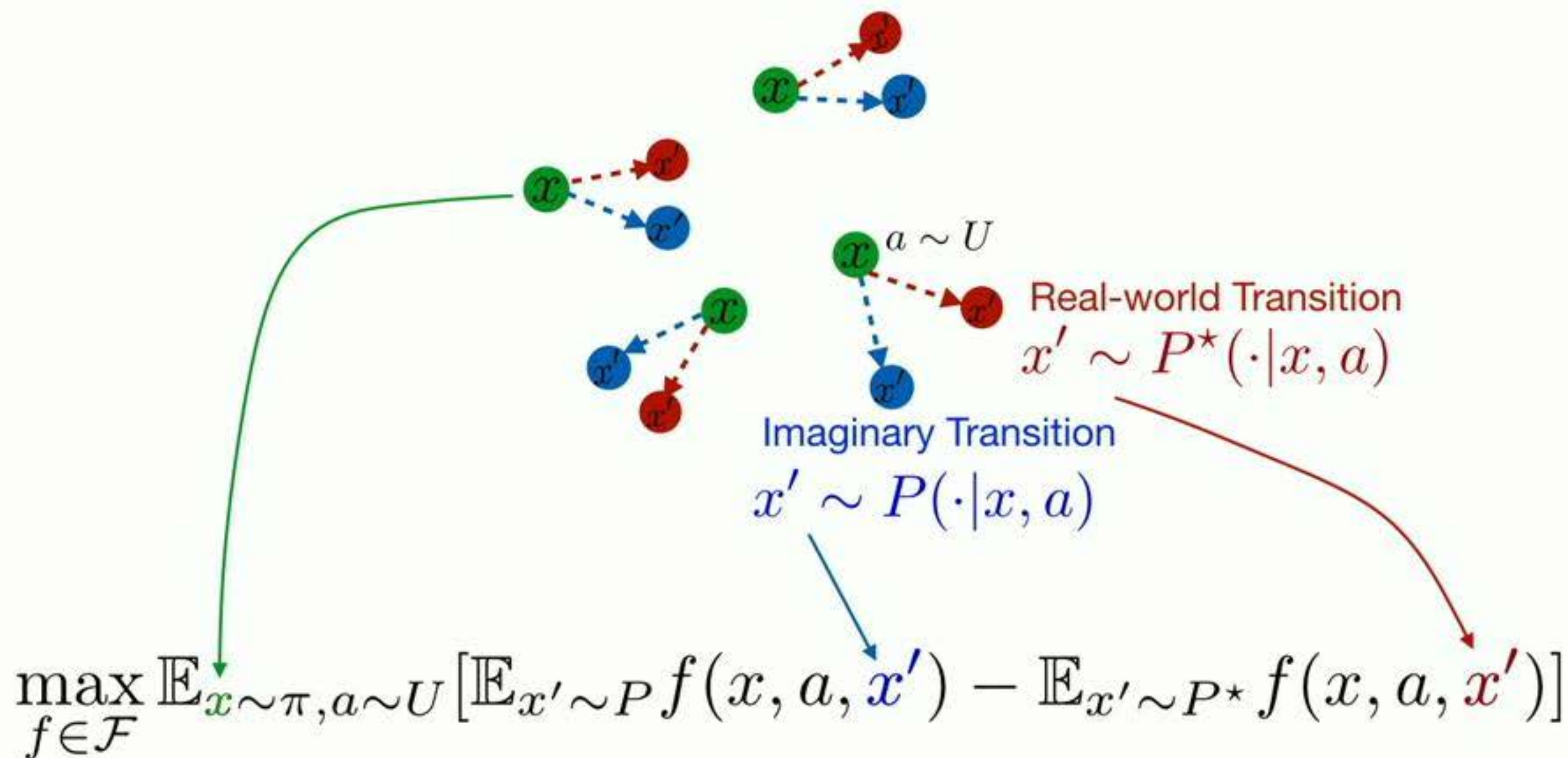
Distinguish a Candidate from the Real

Candidate: $P(\cdot|x, a)$  Real: $P^*(\cdot|x, a)$



Distinguish a Candidate from the Real

Candidate: $P(\cdot|x, a)$ ~~?~~ Real: $P^*(\cdot|x, a)$



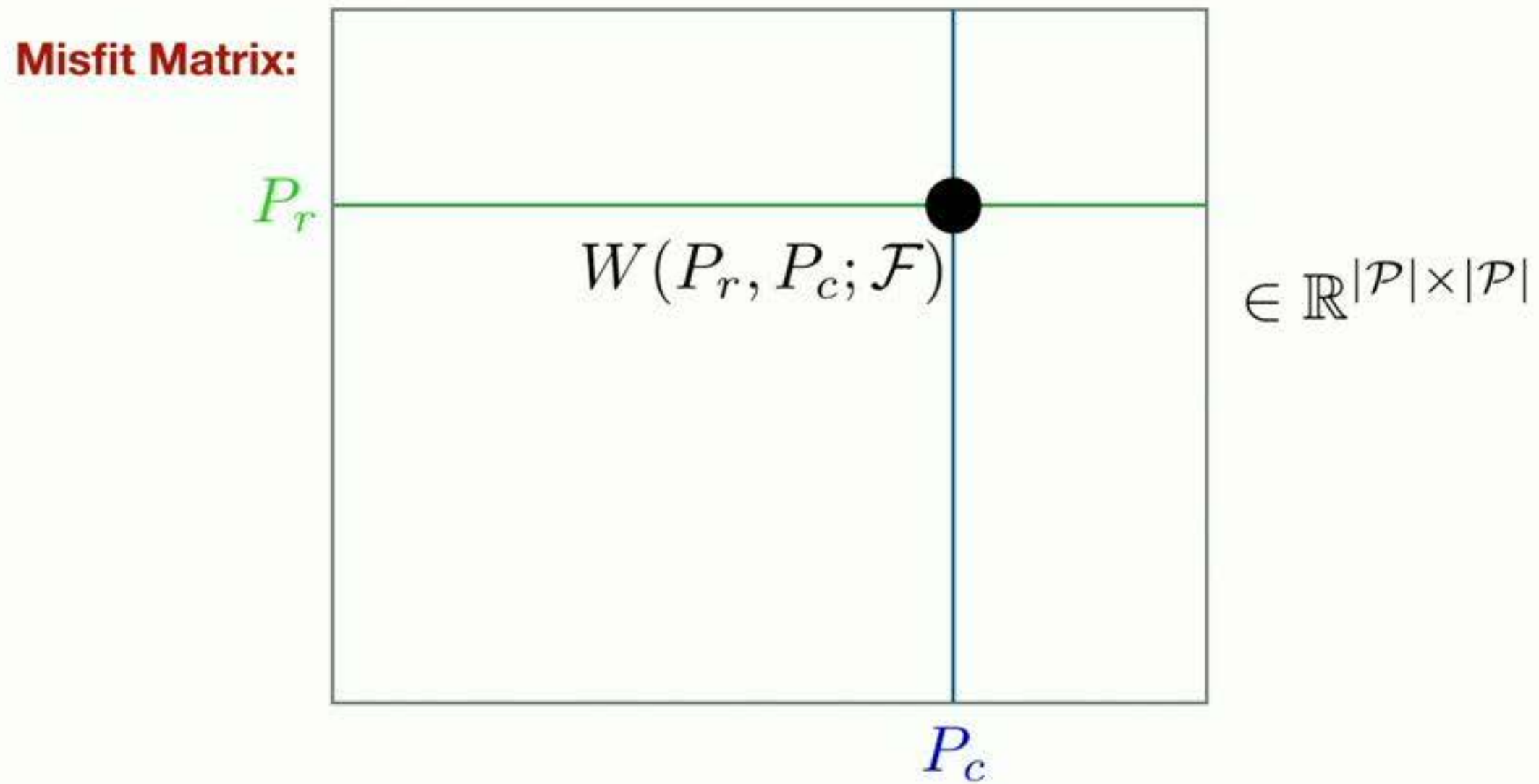
Model Rank

Misfit Matrix:



$$\in \mathbb{R}^{|\mathcal{P}| \times |\mathcal{P}|}$$

Model Rank



Model Rank

Misfit Matrix:

Provides
Conditional
State-action
Distribution

P_r

$$W(P_r, P_c; \mathcal{F})$$

$$\in \mathbb{R}^{|\mathcal{P}| \times |\mathcal{P}|}$$

P_c **Candidate**

$$\mathbb{E}_{x \sim \pi_{P_r}, a \sim U} [\underbrace{\mathbb{E}_{x' \sim P_c} f(x, a, x')}_{\text{Imaginary}} - \underbrace{\mathbb{E}_{x' \sim P^*} f(x, a, x')}_{\text{Real-world}}]$$

Imaginary

Real-world

Model Rank

Misfit Matrix:

Provides
Conditional
State-action
Distribution

P_r

$W(P_r, P_c; \mathcal{F})$

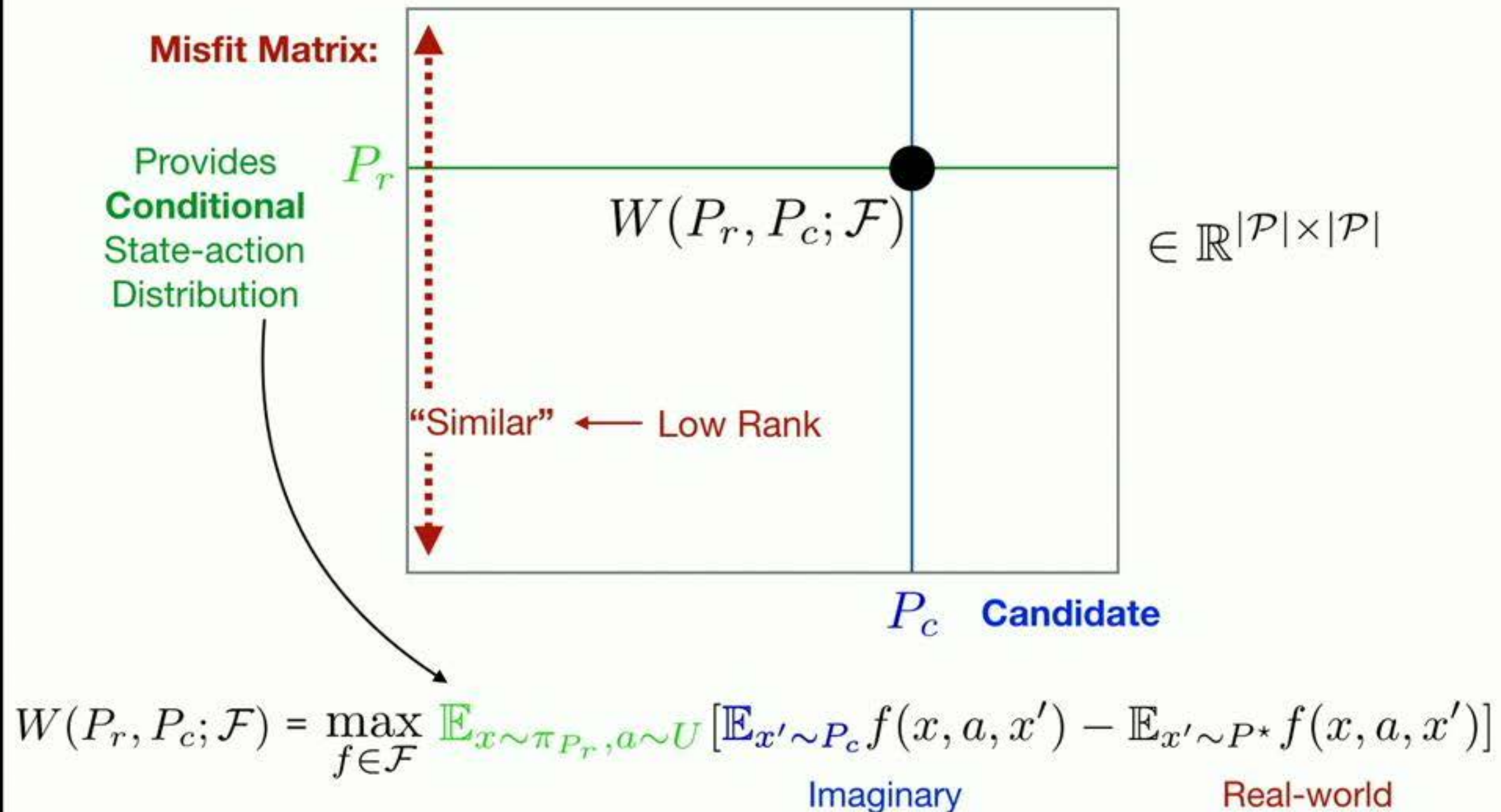
$\in \mathbb{R}^{|\mathcal{P}| \times |\mathcal{P}|}$

P_c **Candidate**

$$W(P_r, P_c; \mathcal{F}) = \max_{f \in \mathcal{F}} \mathbb{E}_{x \sim \pi_{P_r}, a \sim U} [\underbrace{\mathbb{E}_{x' \sim P_c} f(x, a, x')}_{\text{Imaginary}} - \underbrace{\mathbb{E}_{x' \sim P^*} f(x, a, x')}_{\text{Real-world}}]$$

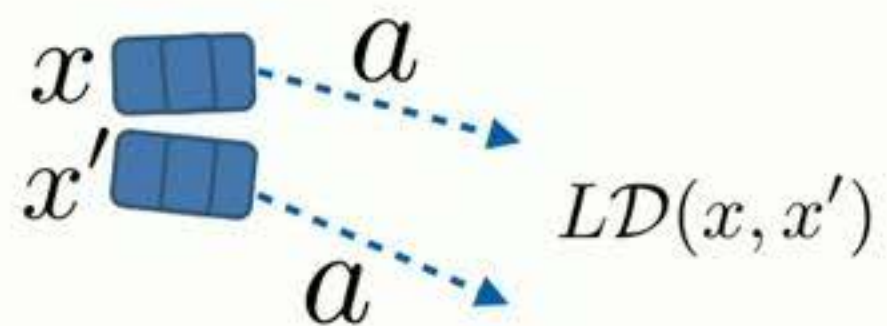
Model Rank is defined as the rank of this misfit matrix

Model Rank



Model Rank is defined as the rank of this misfit matrix

A Unified Framework

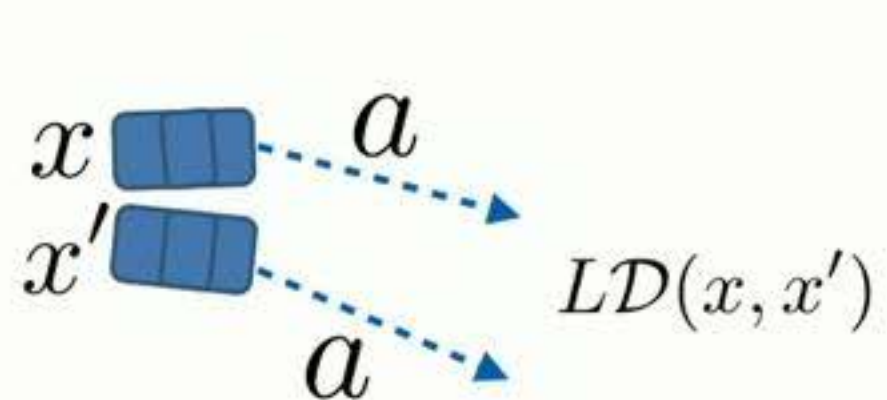


Lipschitz Continuous MDPs

**Rank \leq Covering number
of state space**

[KLK, 03]

A Unified Framework



Lipschitz Continuous MDPs

**Rank \leq Covering number
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[KLK, 03]

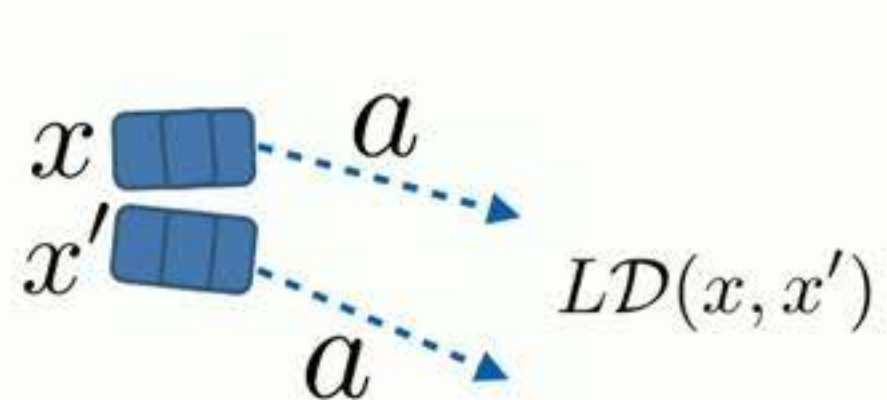


Factored MDPs

Rank $\leq \exp(\text{in-degree})$

[GKPV, 03; OV, 13, NIPS]

A Unified Framework



Lipschitz Continuous MDPs

**Rank \leq Covering number
of state space**

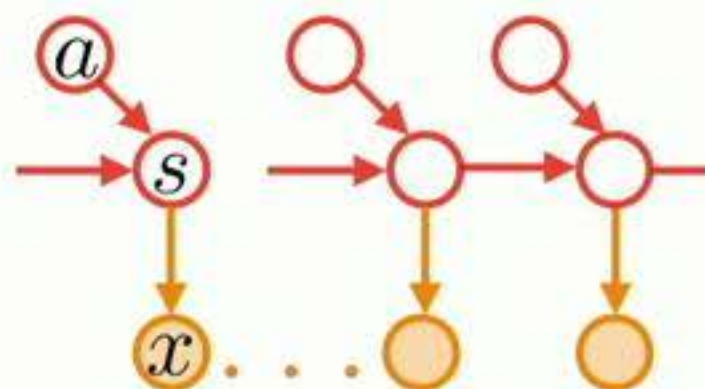
[KLK, 03]



Factored MDPs

Rank $\leq \exp(\text{in-degree})$

[GKPV, 03; OV, 13, NIPS]

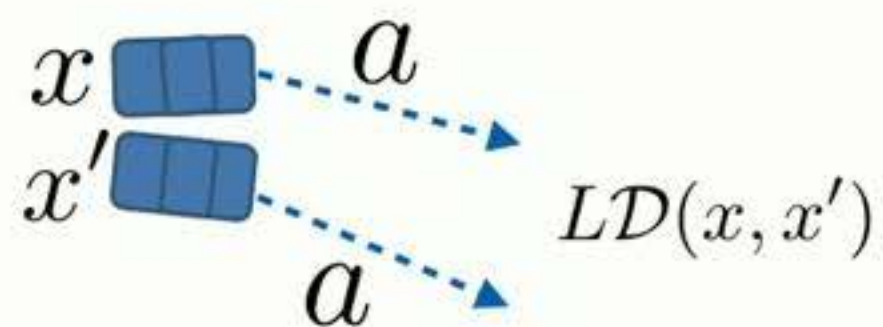


POMDP

Rank \leq # of hidden states

[KAL, 16 NIPS]

A Unified Framework



Lipschitz Continuous MDPs

Rank \leq Covering number of state space

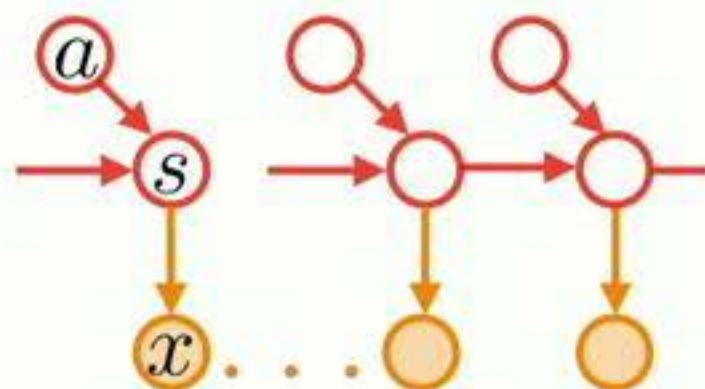
[KLK, 03]



Factored MDPs

Rank $\leq \exp(\text{in-degree})$

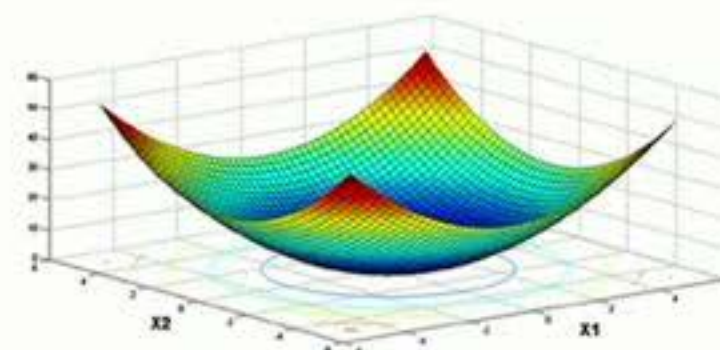
[GKPV, 03; OV, 13, NIPS]



POMDP

Rank \leq # of hidden states

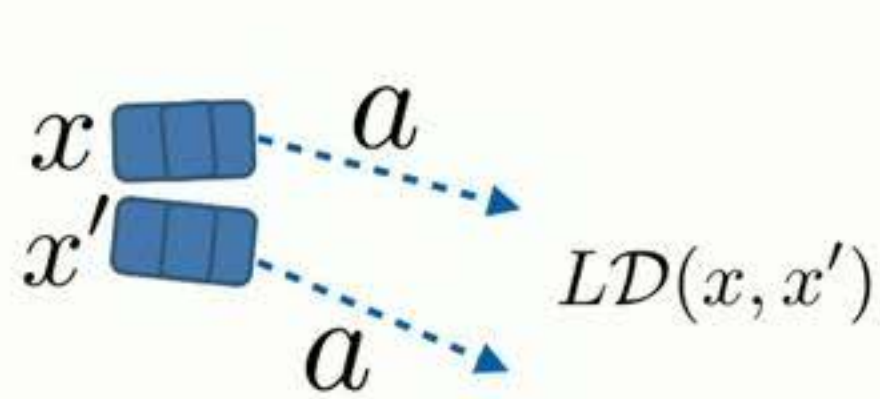
[KAL, 16 NIPS]



Linear Quadratic Regulator

Rank $= O(d^2)$

A Unified Framework



Lipschitz Continuous MDPs

**Rank \leq Covering number
of state space**

[KLK, 03]

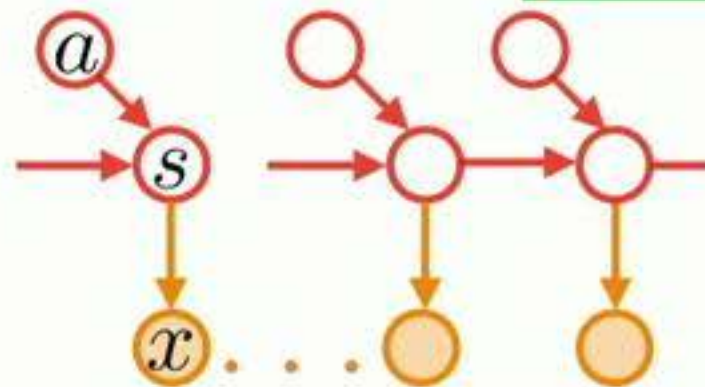


Factored MDPs

$\leq \exp(\text{in-degree})$

[KPV, 03; OV, 13, NIPS]

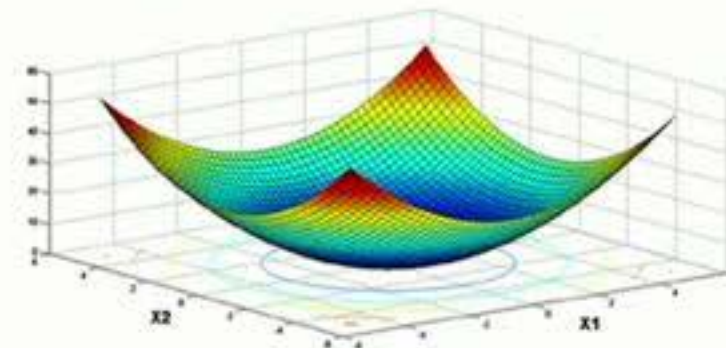
**A Unified
Algorithm!**



POMDP

Rank \leq # of hidden states

[KAL, 16 NIPS]



Linear Quadratic Regulator

Rank $= O(d^2)$

Sample Complexity

$$\tilde{O}\left(\frac{H^3 R^2 |\mathcal{A}|}{\epsilon^2} \log\left(\frac{|\mathcal{F}| |\mathcal{P}|}{\delta}\right)\right)$$

Sample Complexity

Model Rank

$$\tilde{O}\left(\frac{H^3 R^2 |\mathcal{A}|}{\epsilon^2} \log\left(\frac{|\mathcal{F}| |\mathcal{P}|}{\delta}\right)\right)$$

Complexity of Discriminators & Models

Poly Dependency on # of States



Sample Complexity

Model Rank

$$\tilde{O}\left(\frac{H^3 R^2 |\mathcal{A}|}{\epsilon^2} \log\left(\frac{|\mathcal{F}| |\mathcal{P}|}{\delta}\right)\right)$$

Complexity of Discriminators & Models

Poly Dependency on # of States

Supervised Learning Type Generalization !

Generalization & Sample Efficiency via...

1. Expert Demonstration



[Sun, Venkatraman, Gordon, Boots, Bagnell, 17, ICML]

[Sun, Gordon, Boots, Bagnell, 18, NeurIPS]

All RL Problems

Sample Complexity

$$\tilde{O}\left(\frac{H^3 R^2 |\mathcal{A}|}{\epsilon^2} \log\left(\frac{|\mathcal{F}| |\mathcal{P}|}{\delta}\right)\right)$$

Sample Complexity

Model Rank

$$\tilde{O}\left(\frac{H^3 R^2 |\mathcal{A}|}{\epsilon^2} \log\left(\frac{|\mathcal{F}||\mathcal{P}|}{\delta}\right)\right)$$

Complexity of Discriminators & Models

Poly Dependency on # of States



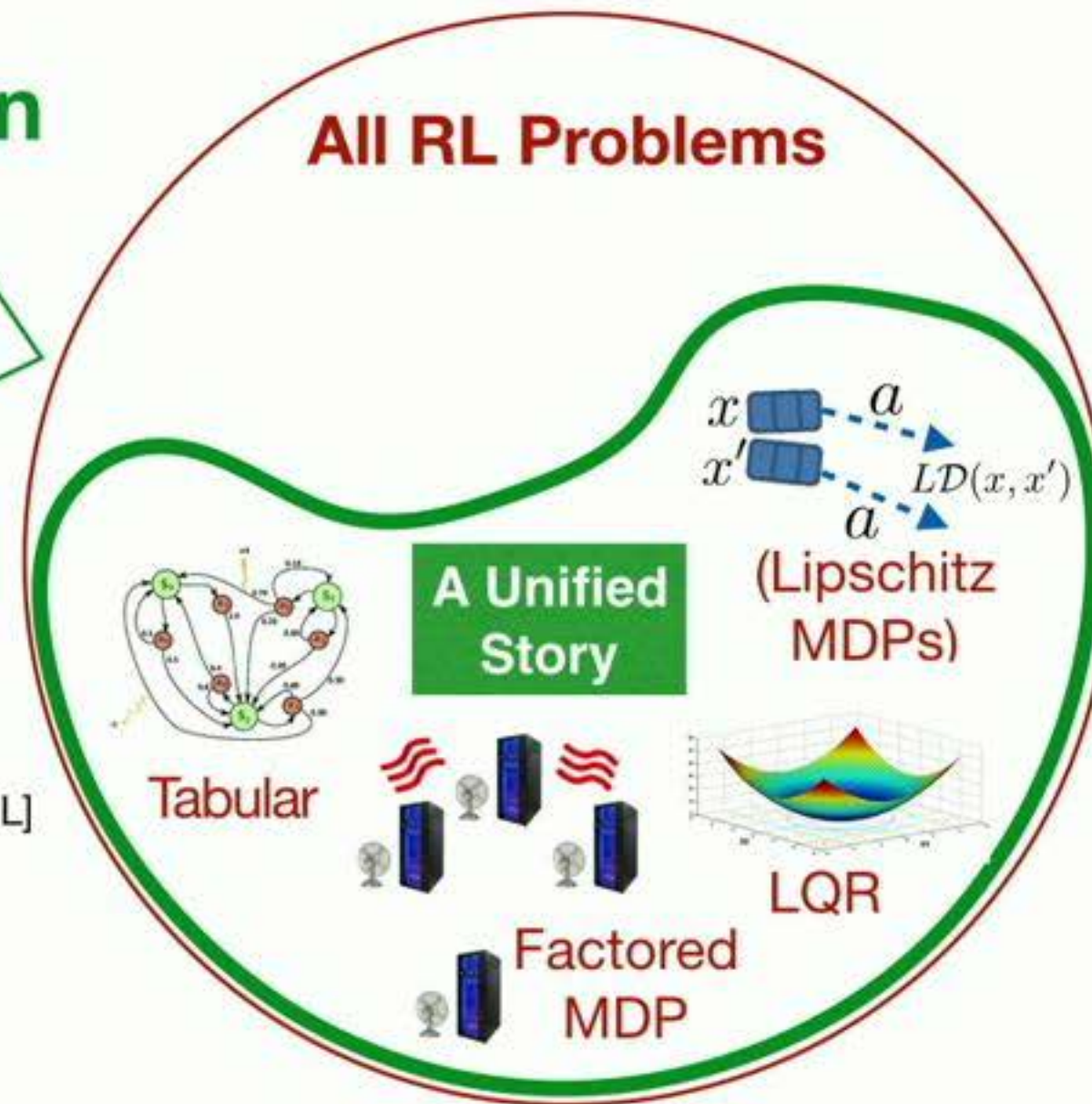
Generalization & Sample Efficiency via...

1. Expert Demonstration



[Sun, Venkatraman, Gordon, Boots, Bagnell, 17, ICML]

[Sun, Gordon, Boots, Bagnell, 18, NeurIPS]



2. Exploiting Structures

[Sun, Jiang, Krishnamurthy, Agarwal, Langford, arXiv, 18]

Future Work



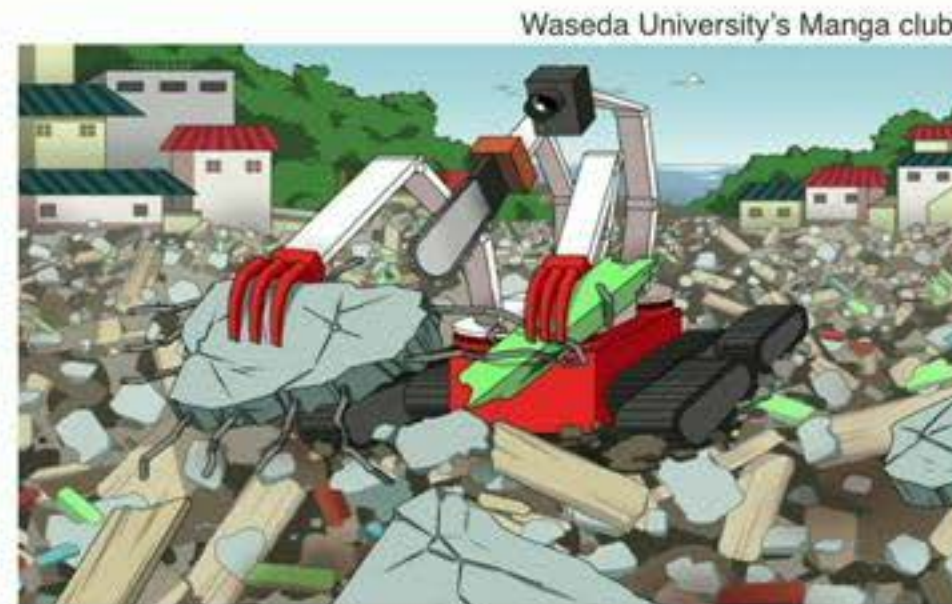
Medical Treatment



Education



Autonomous Driving



Assistance in Disaster Recovery

1. Leverage Expert Demonstrations

1. Leverage Expert Demonstrations

Interactive Imitation Learning



1. Leverage Expert Demonstrations

Watch

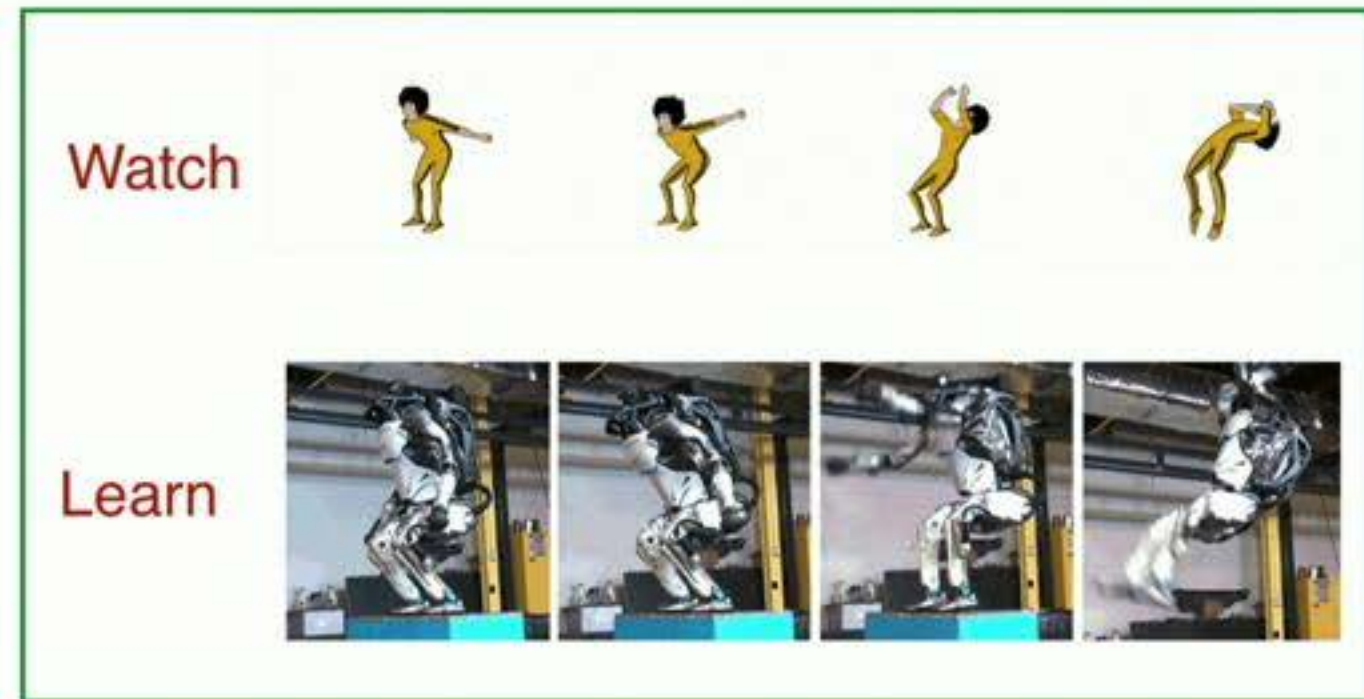


Learn



1. Leverage Expert Demonstrations

No Interaction
No Expert Action
No Reward

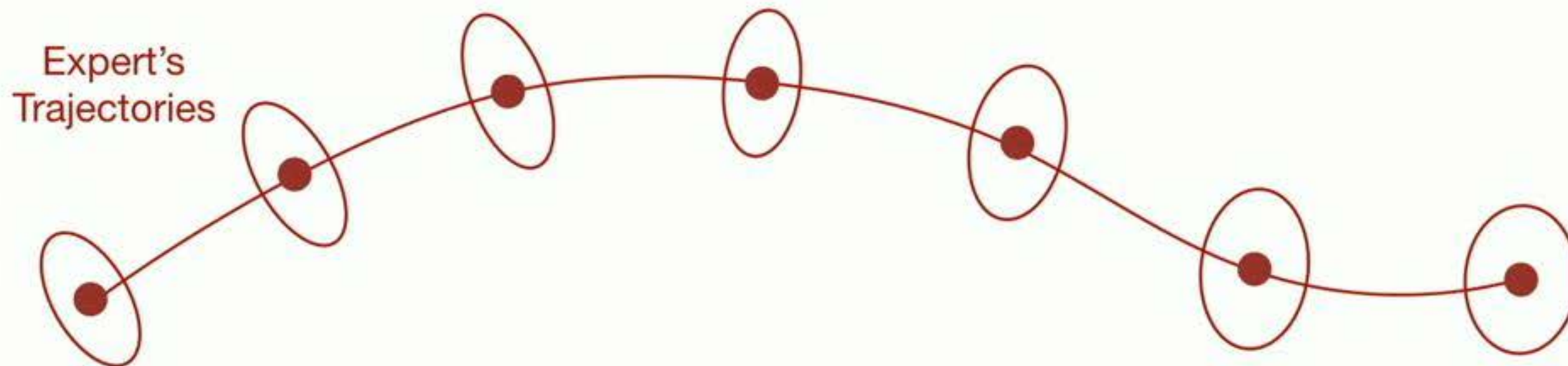


Imitation Learning from Observations

Forward Adversarial Imitation Learning (FAIL):

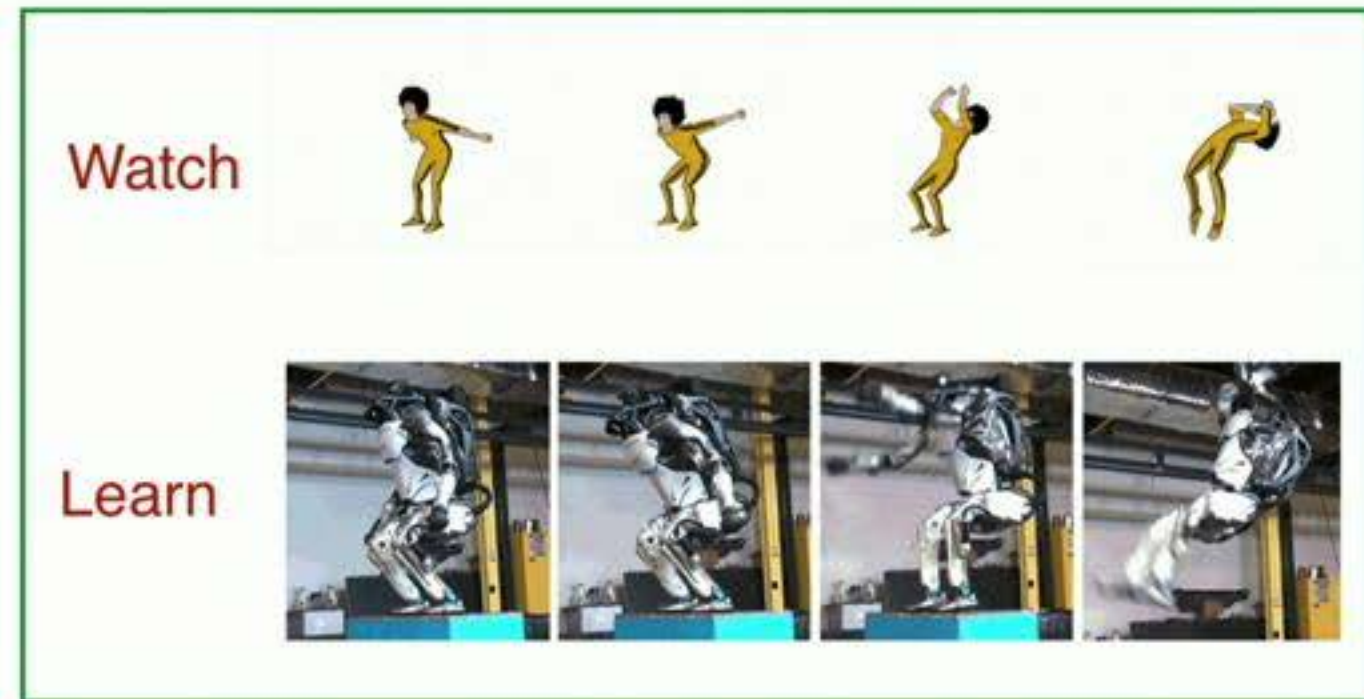
[Sun et.al, In Submission, 19]

Learn policies using Integral Probability Metric



1. Leverage Expert Demonstrations

No Interaction
No Expert Action
No Reward

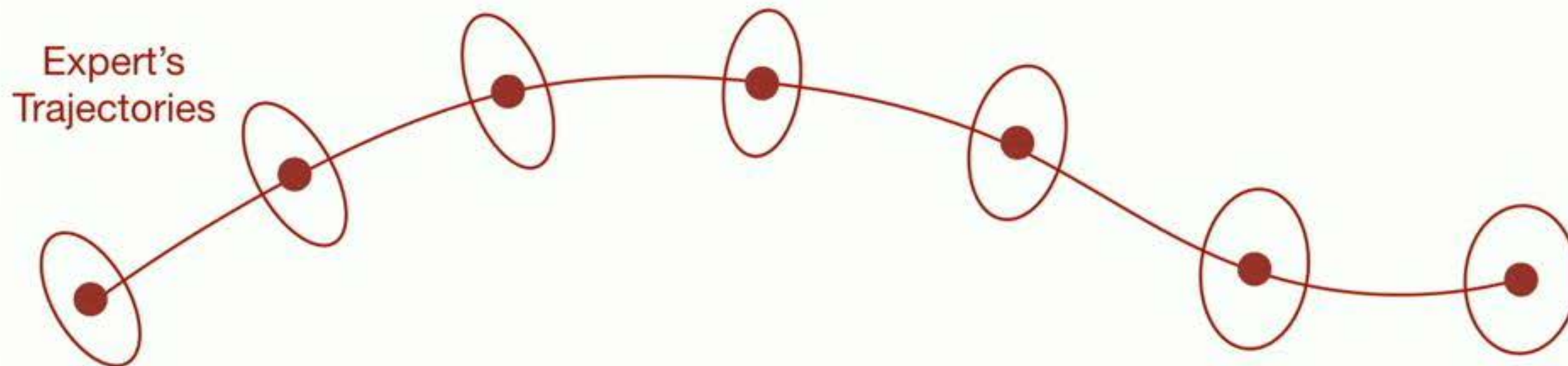


Imitation Learning from Observations

Forward Adversarial Imitation Learning (FAIL):

[Sun et.al, In Submission, 19]

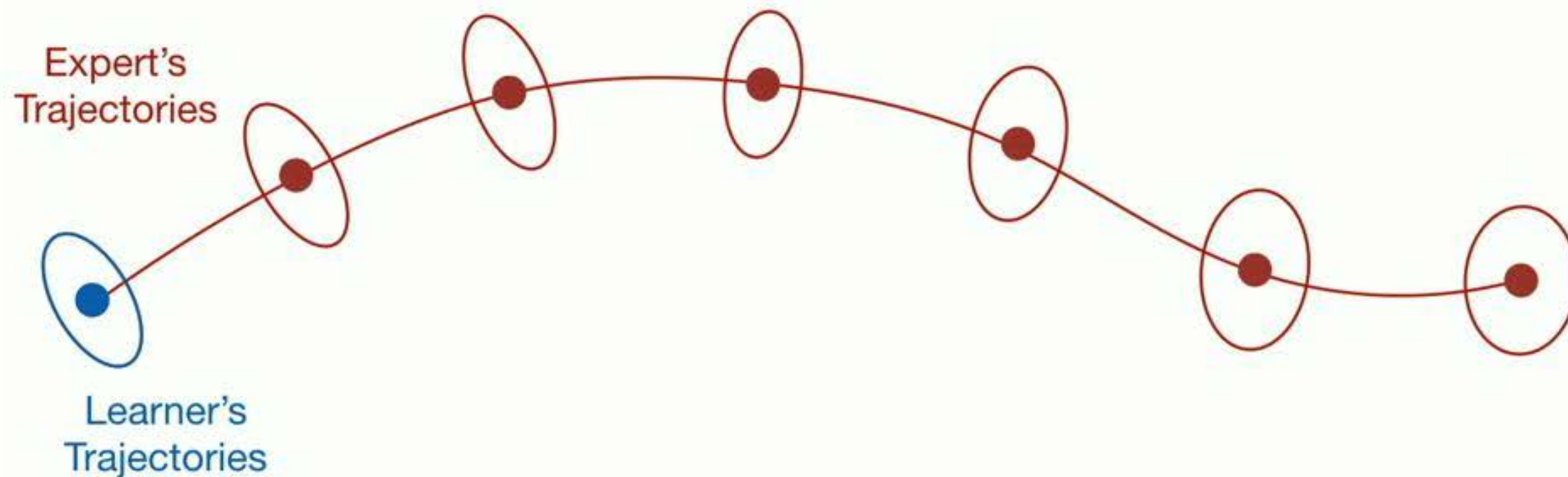
Learn policies using Integral Probability Metric



Forward Adversarial Imitation Learning (FAIL):

[Sun et.al, In Submission, 19]

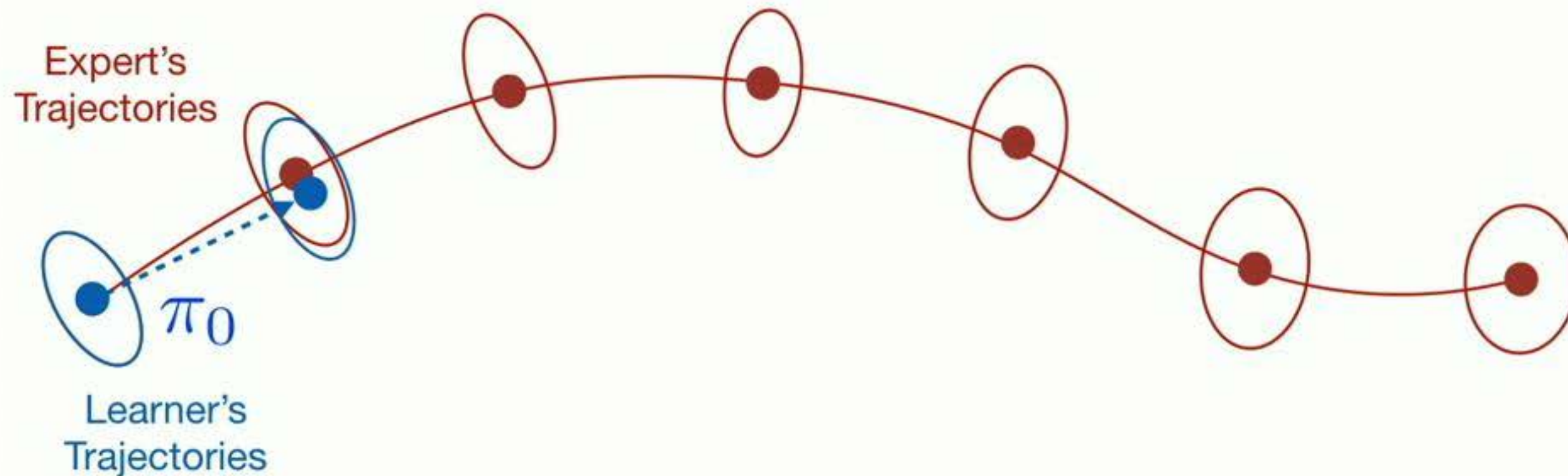
Learn policies using Integral Probability Metric



Forward Adversarial Imitation Learning (FAIL):

[Sun et.al, In Submission, 19]

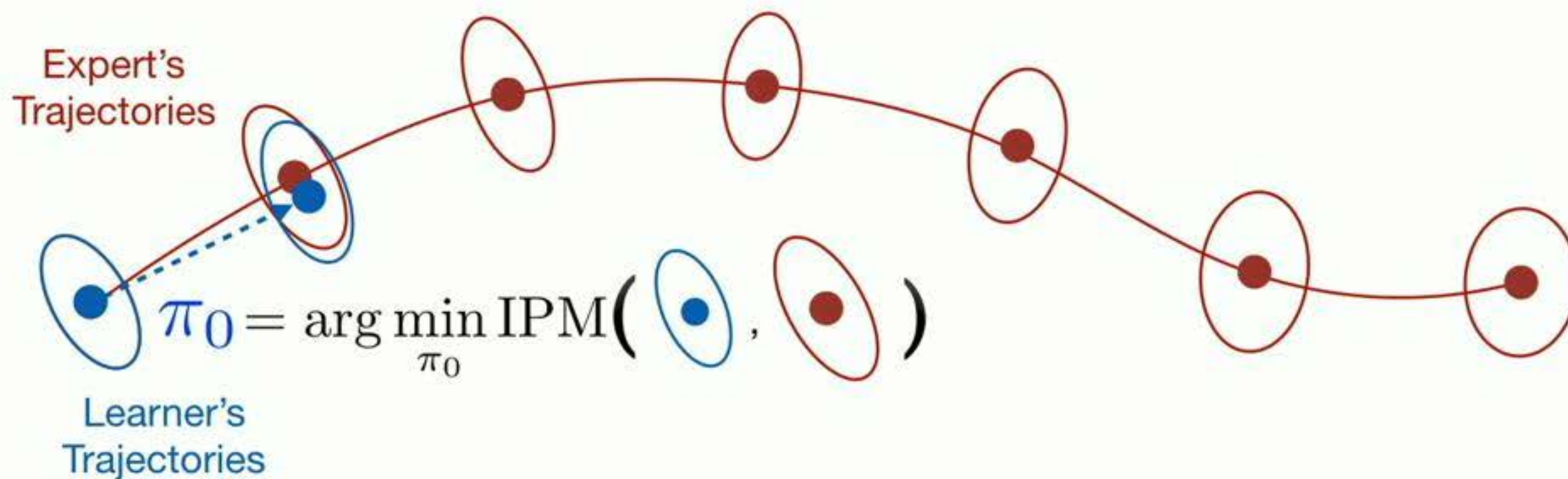
Learn policies using Integral Probability Metric



Forward Adversarial Imitation Learning (FAIL):

[Sun et.al, In Submission, 19]

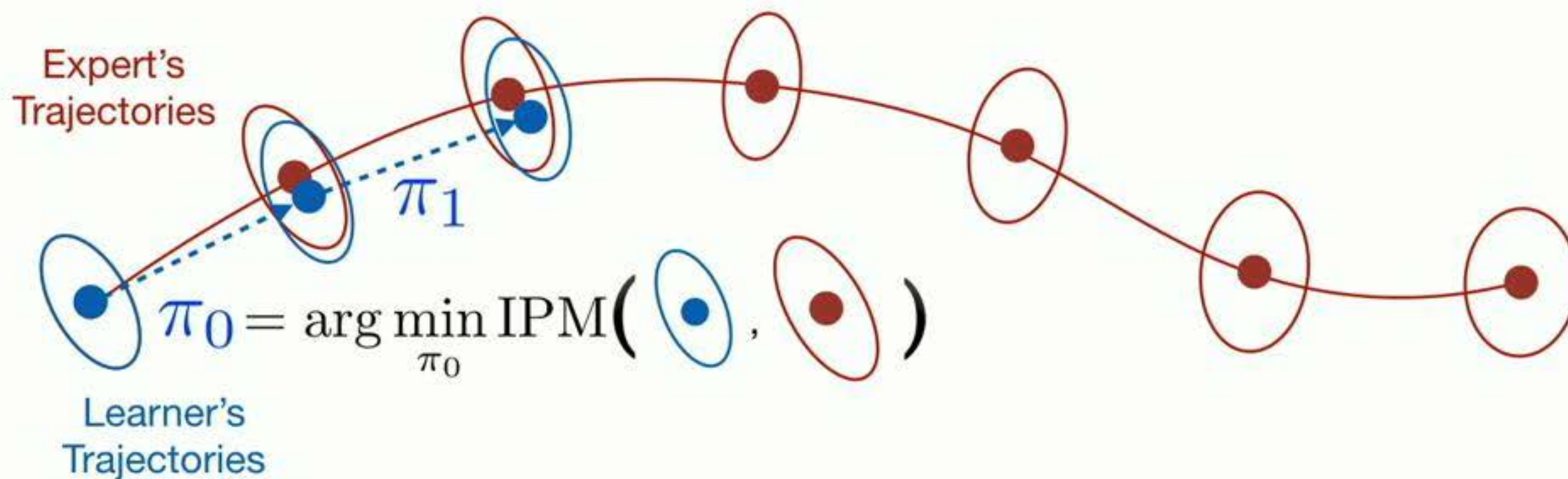
Learn policies using Integral Probability Metric



Forward Adversarial Imitation Learning (FAIL):

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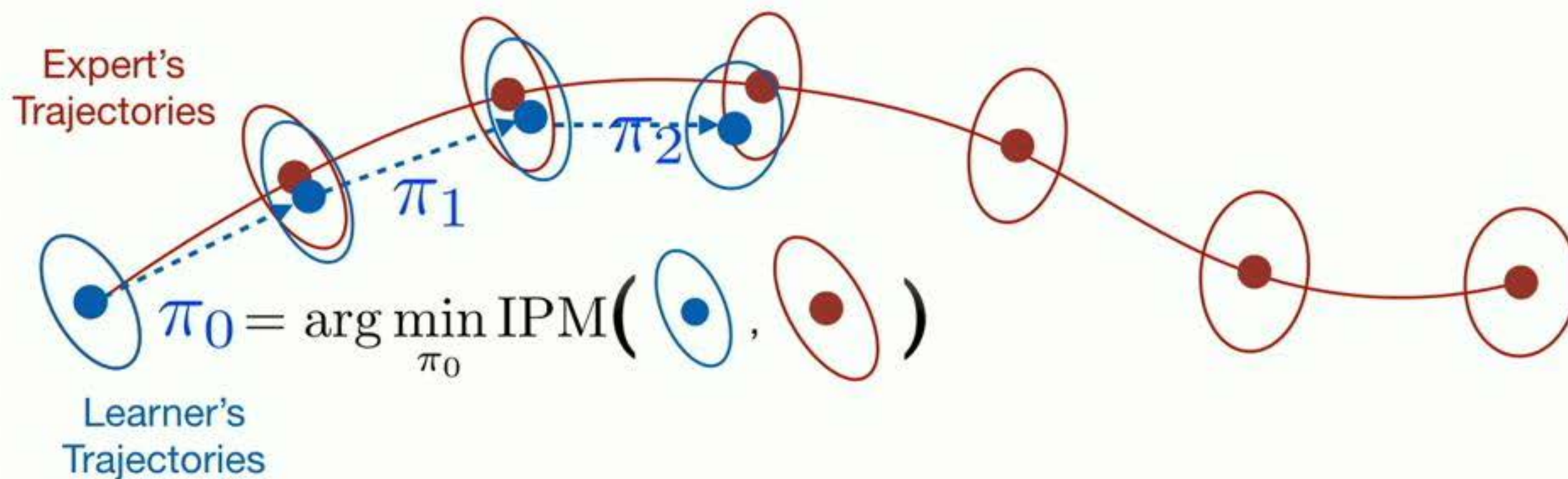
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Forward Adversarial Imitation Learning (FAIL):

[Sun et.al, In Submission, 19]

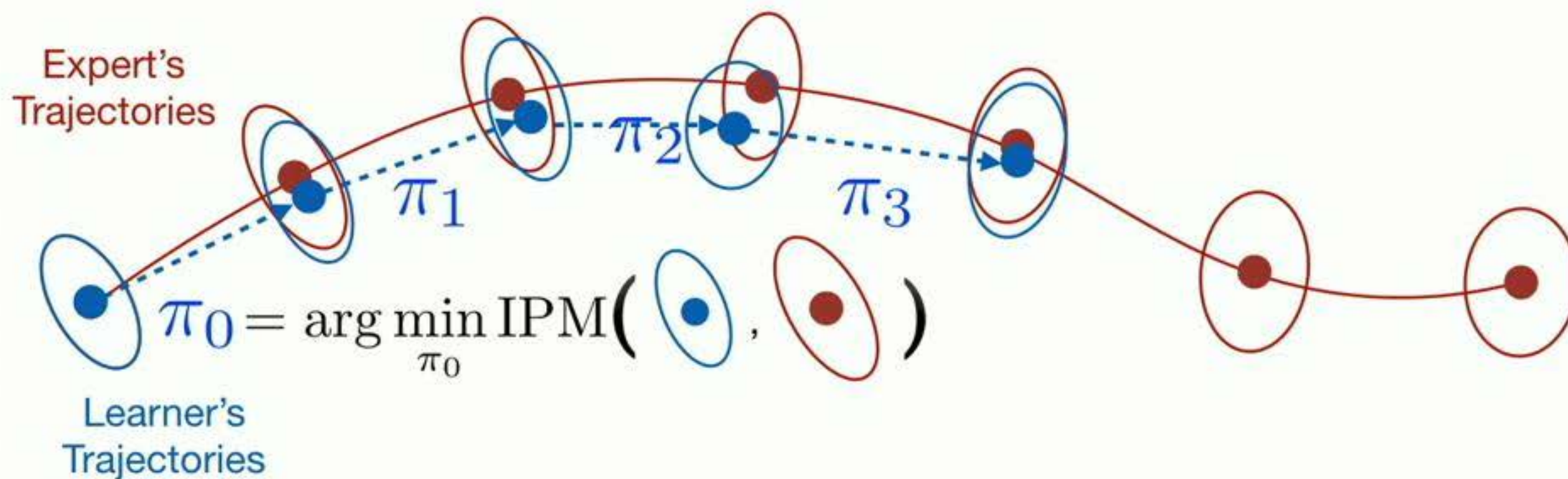
Learn policies using Integral Probability Metric



Forward Adversarial Imitation Learning (FAIL):

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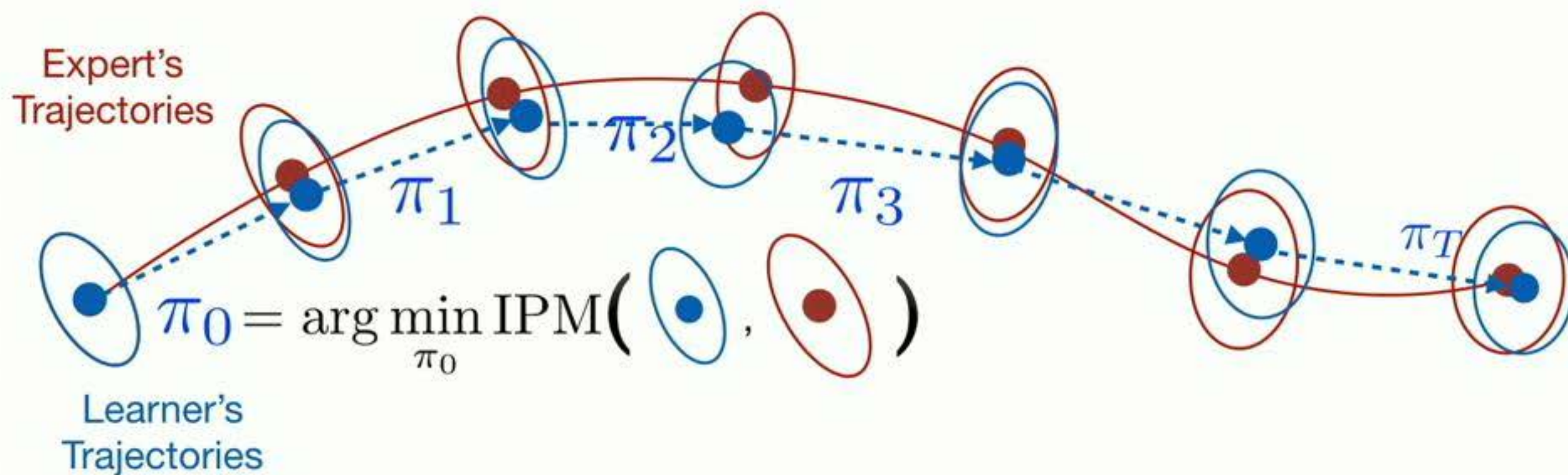
Learn policies using Integral Probability Metric



Forward Adversarial Imitation Learning (FAIL):

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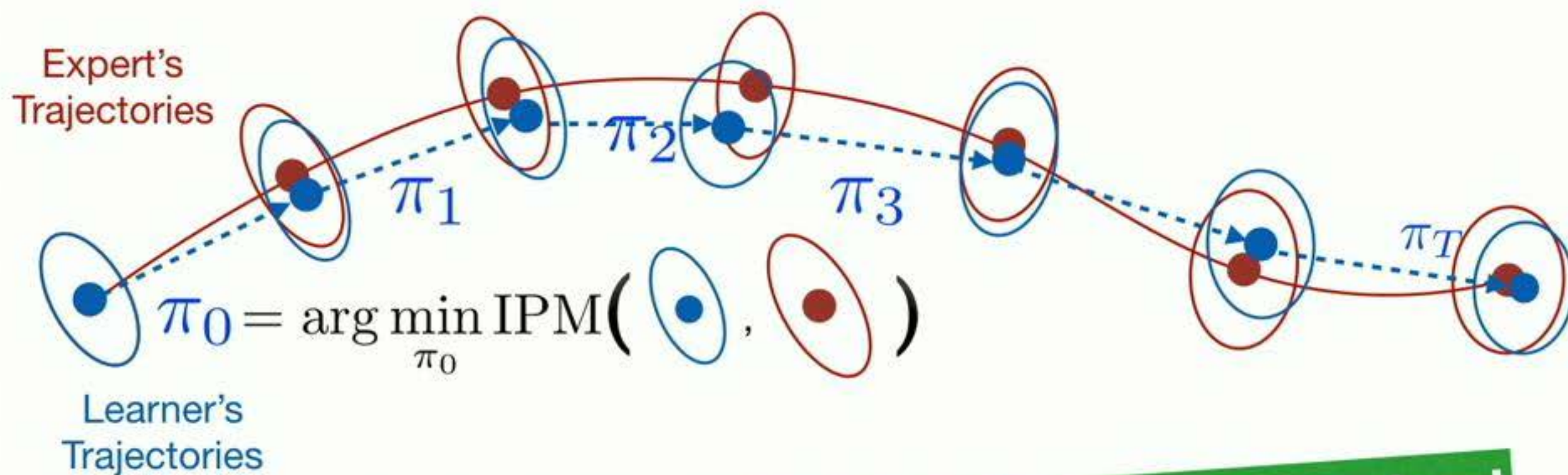
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Forward Adversarial Imitation Learning (FAIL):

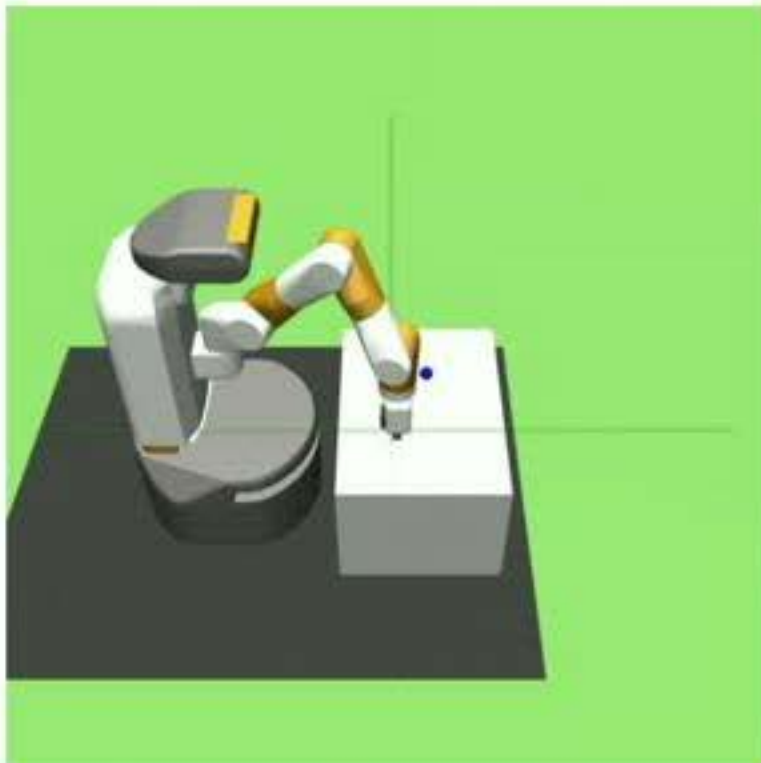
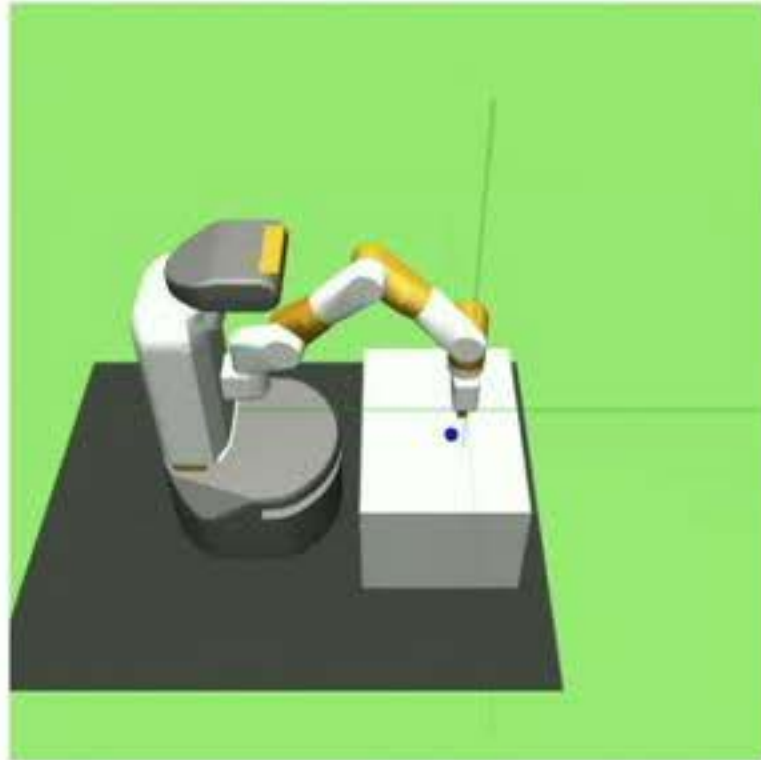
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Learn policies using Integral Probability Metric



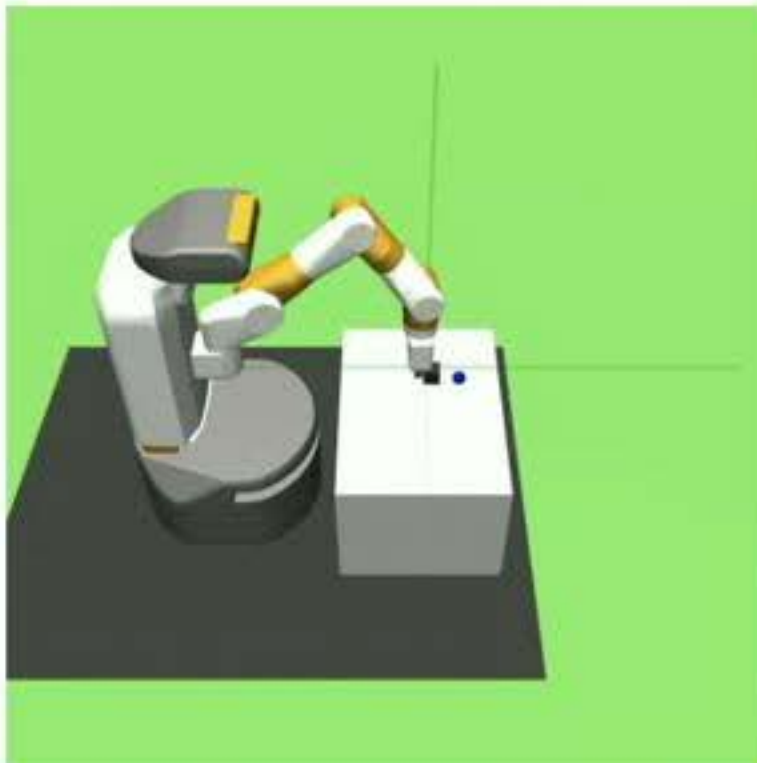
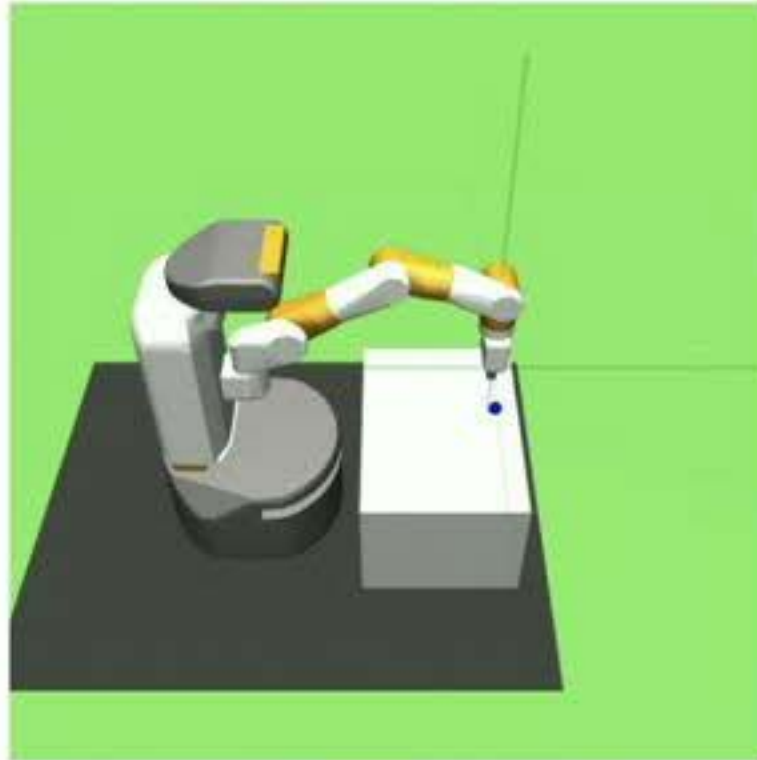
Supervised Learning Type Generalization !

Promising Simulation Results...



[Fetch Robot Simulator from OpenAI Gym]

Promising Simulation Results...

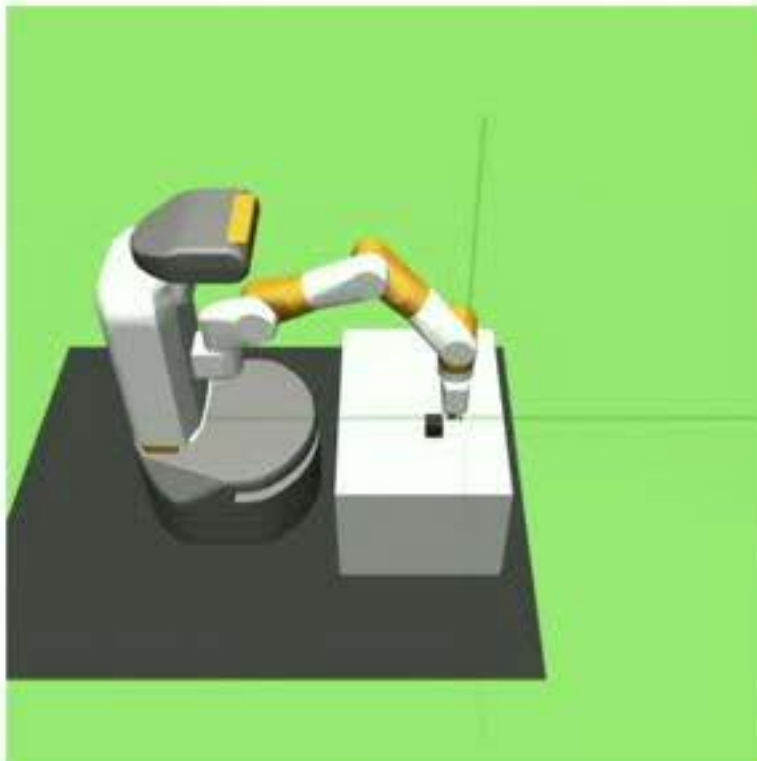
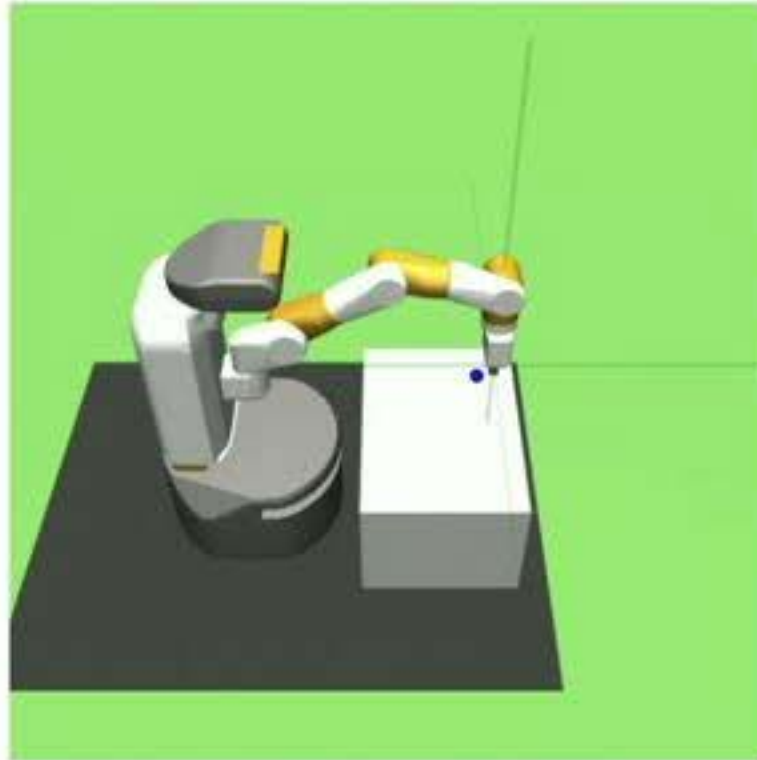


[Fetch Robot Simulator from OpenAI Gym]



Image from <https://www.asme.org/engineering-topics/articles/robotics/robots-kitchen-at-the-table>

Promising Simulation Results...



[Fetch Robot Simulator from OpenAI Gym]



Image from <https://www.asme.org/engineering-topics/articles/robotics/robots-kitchen-at-the-table>

Lots of Challenges:

- Learn from videos
- Interaction with experts

2. Generalization from Prior Experiences

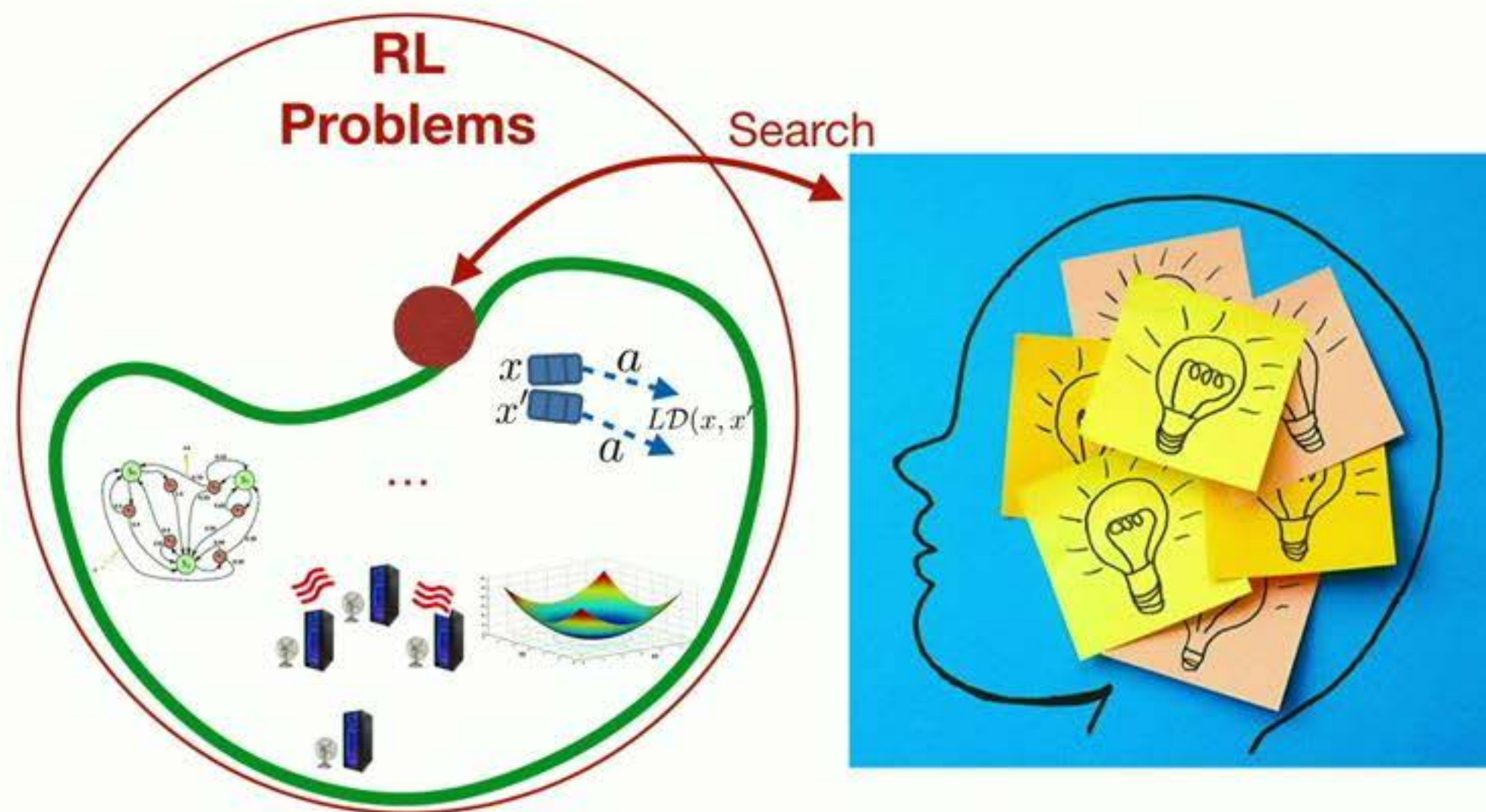


Medical Treatment

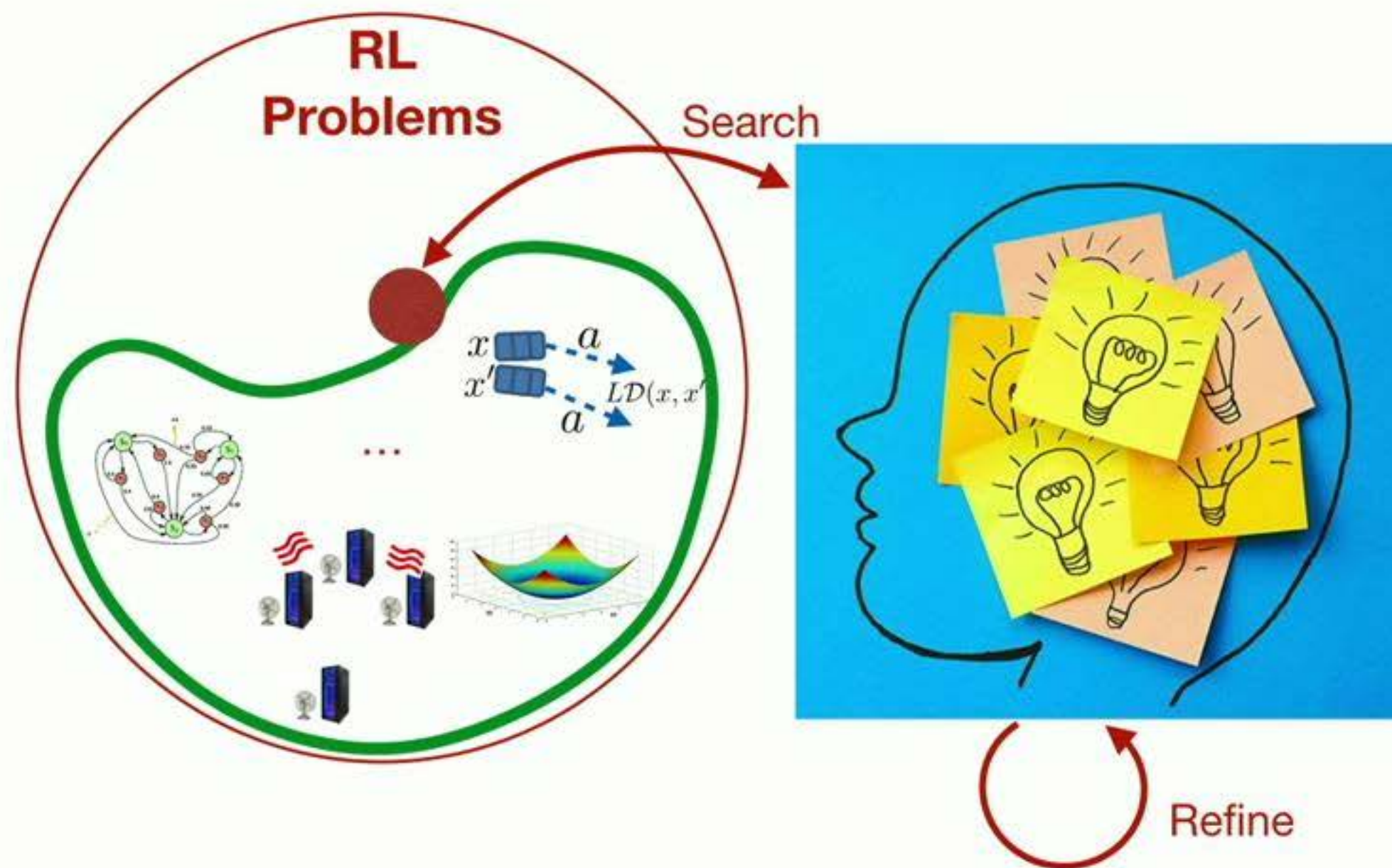


Assistance in Disaster Recovery

2. Generalization from Prior Experiences



2. Generalization from Prior Experiences



2. Generalization from Prior Experiences



Right Leg Jump Demo

2. Generalization from Prior Experiences

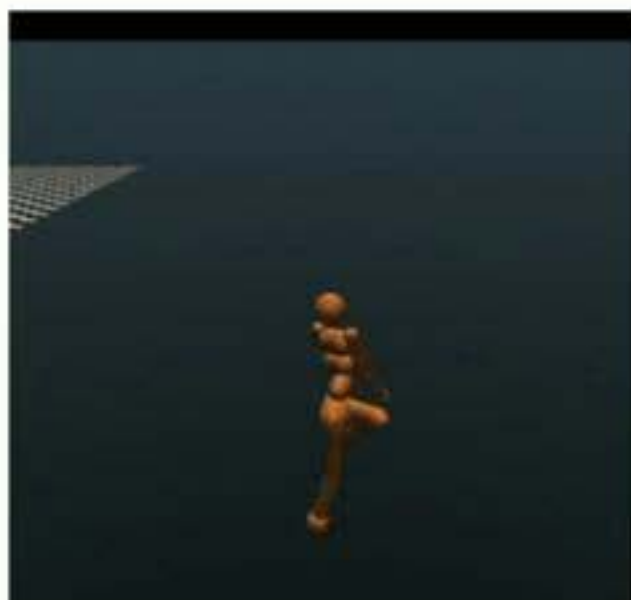


Right Leg Jump Demo



Backward Demo

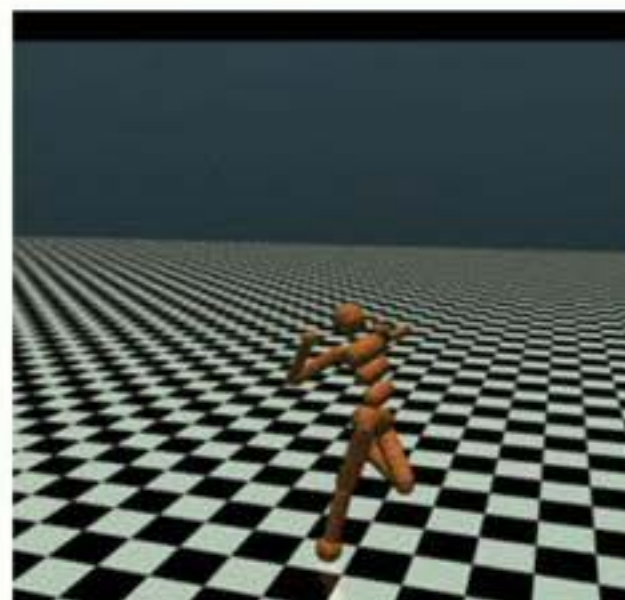
2. Generalization from Prior Experiences



Right Leg Jump Demo



Backward Demo



Forward Demo

...

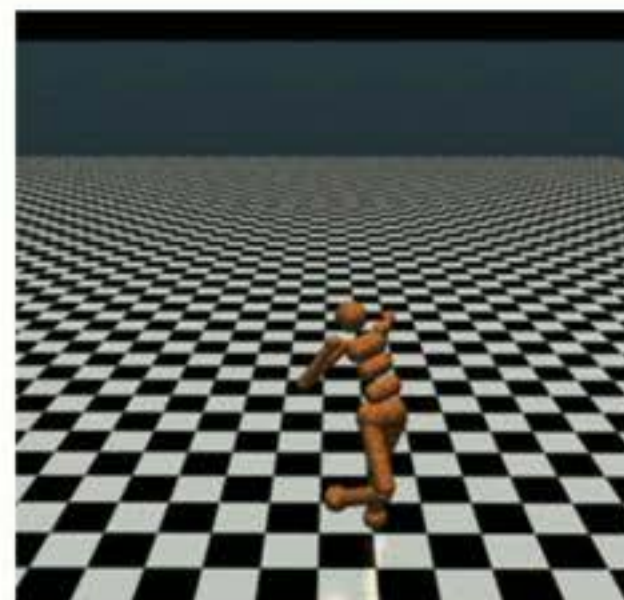
2. Generalization from Prior Experiences



Right Leg Jump Demo



Backward Demo



Forward Demo

...

New task:
Stand up with little to no training?



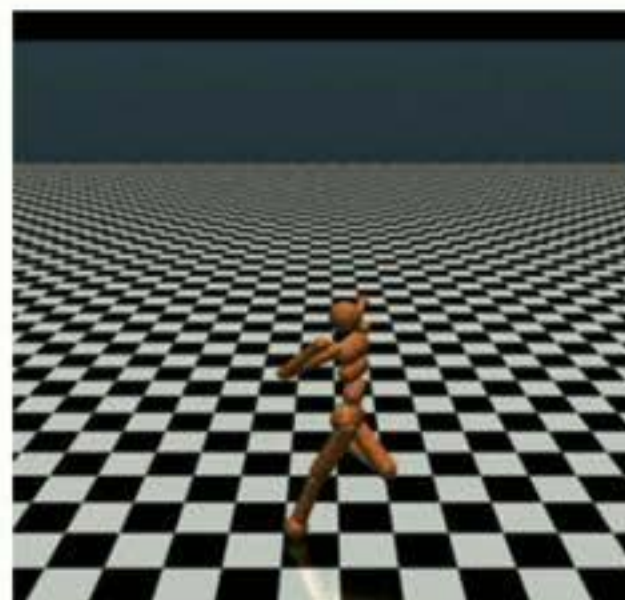
2. Generalization from Prior Experiences



Right Leg Jump Demo



Backward Demo



Forward Demo

...

**Offline Learning
From Prior Relevant
Experiences**

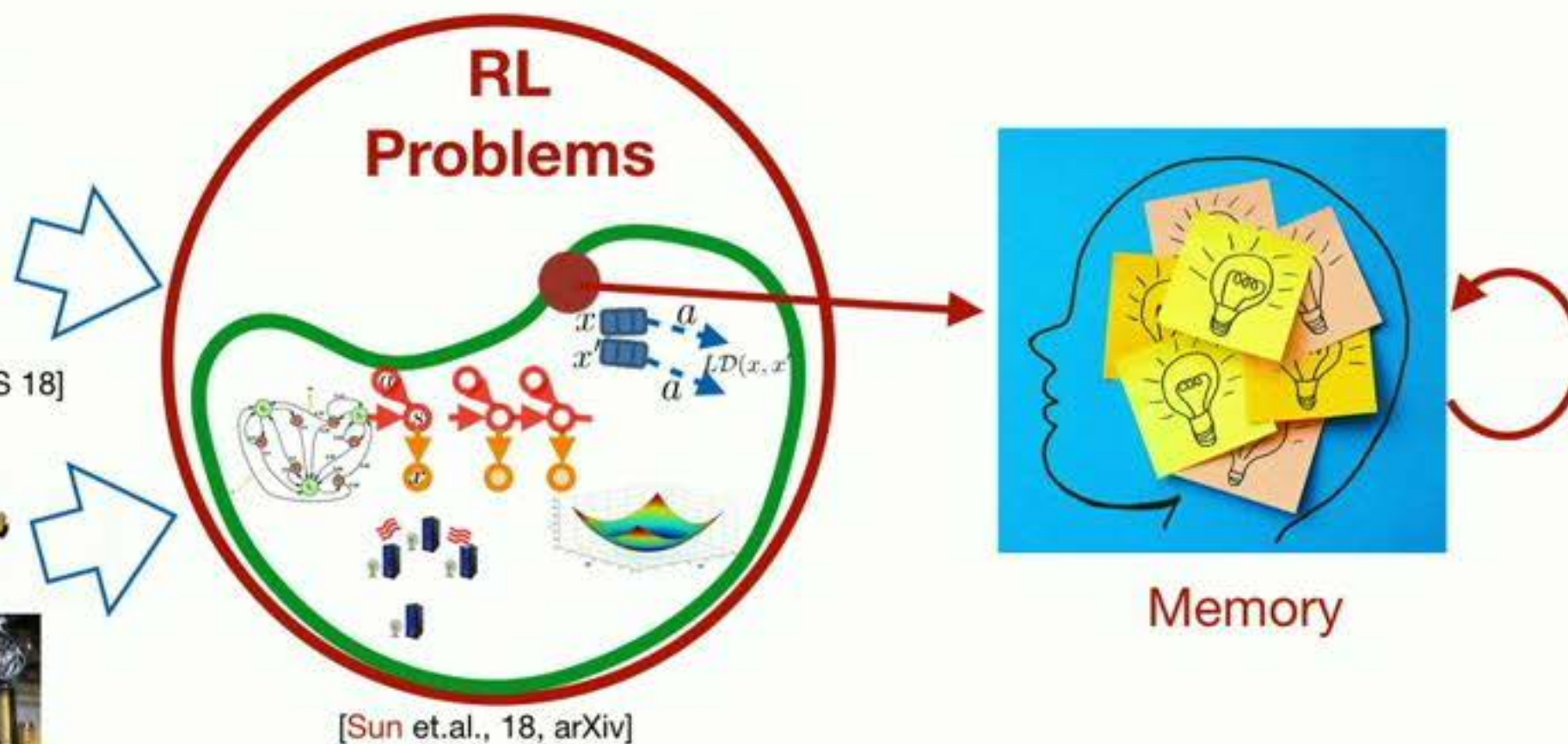
New task:
Stand up with little to no training?



Imitation



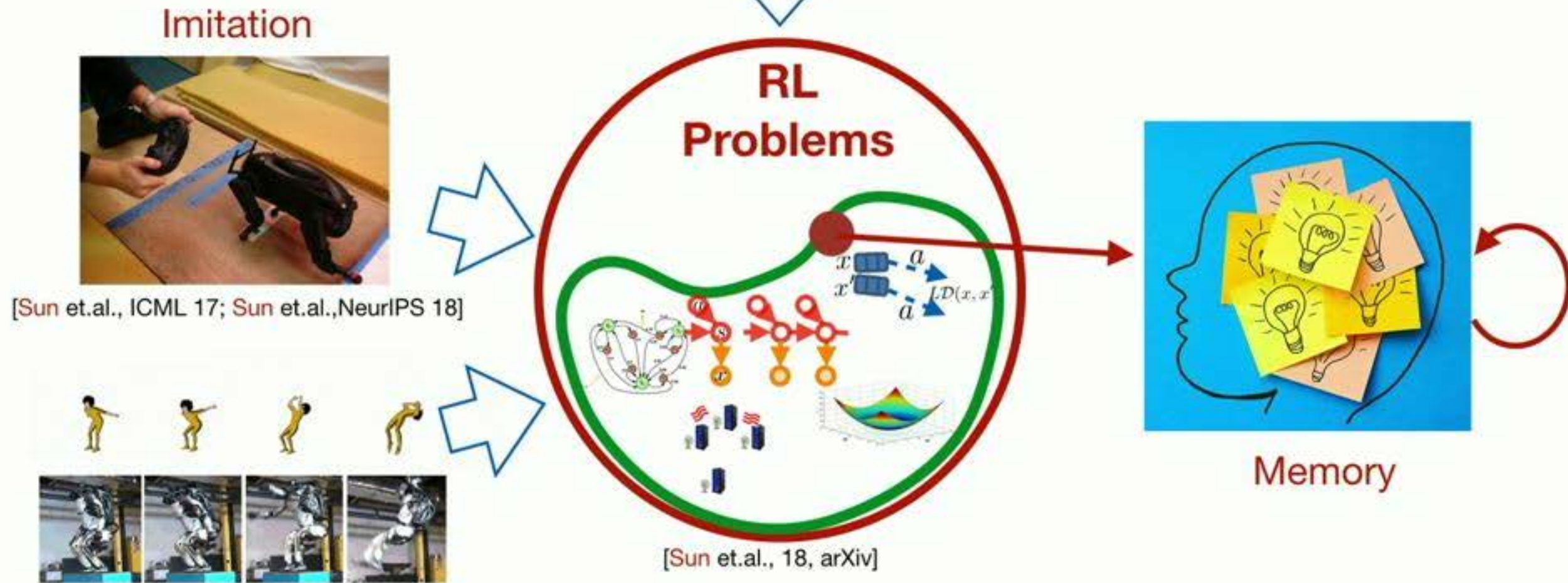
[Sun et.al., ICML 17; Sun et.al., NeurIPS 18]



[Sun et.al., 18, arXiv]

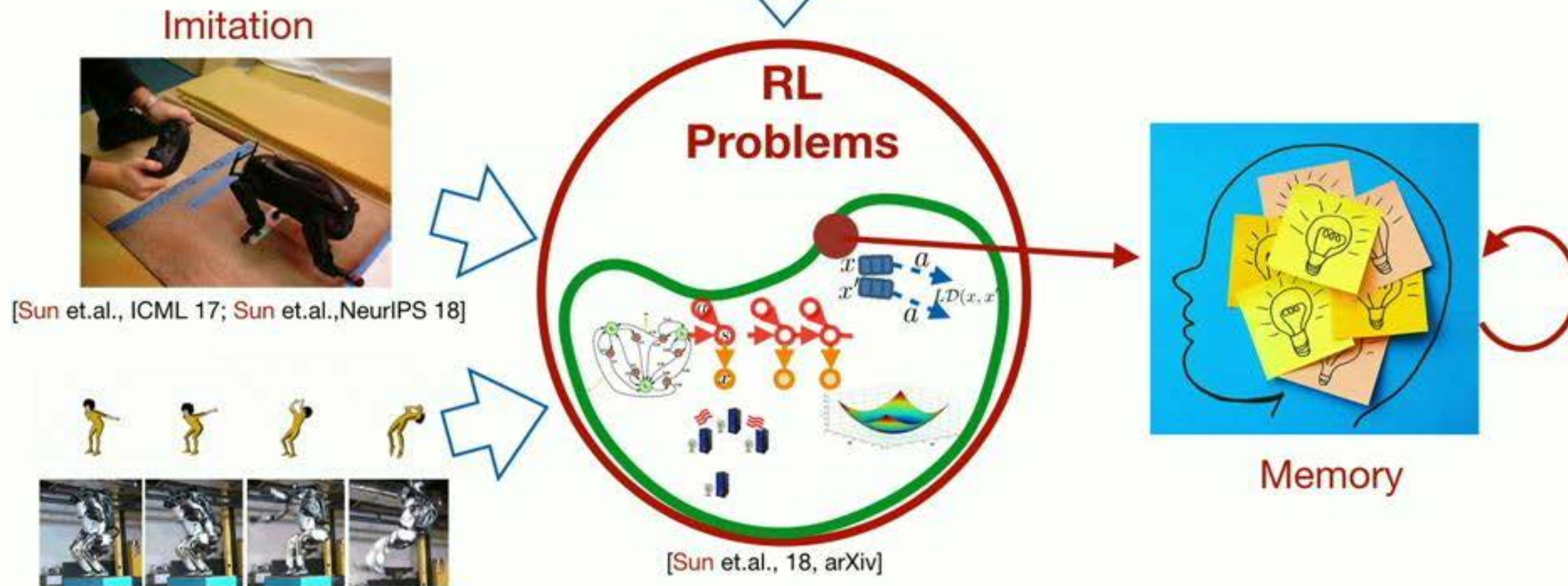
Online Policy Evaluation

Reduction from Policy Evaluation to No-Regret Online Learning
[Sun, Bagnell, UAI 15, Best Student Paper]



Online Policy Evaluation

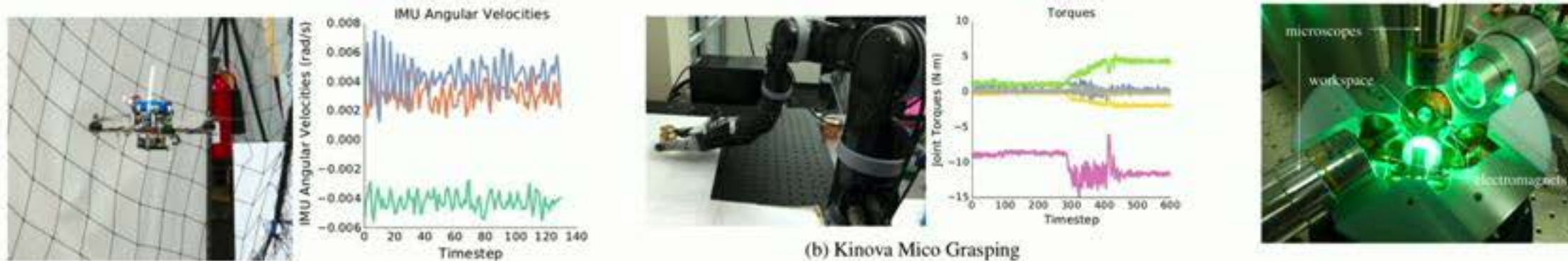
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System ID

Predictive State Inference Machines

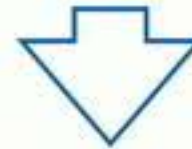
[Sun et.al., ICML 16; Venkatraman & Sun et.al., IJCAI 16, Sun et.al., ICRA 14]



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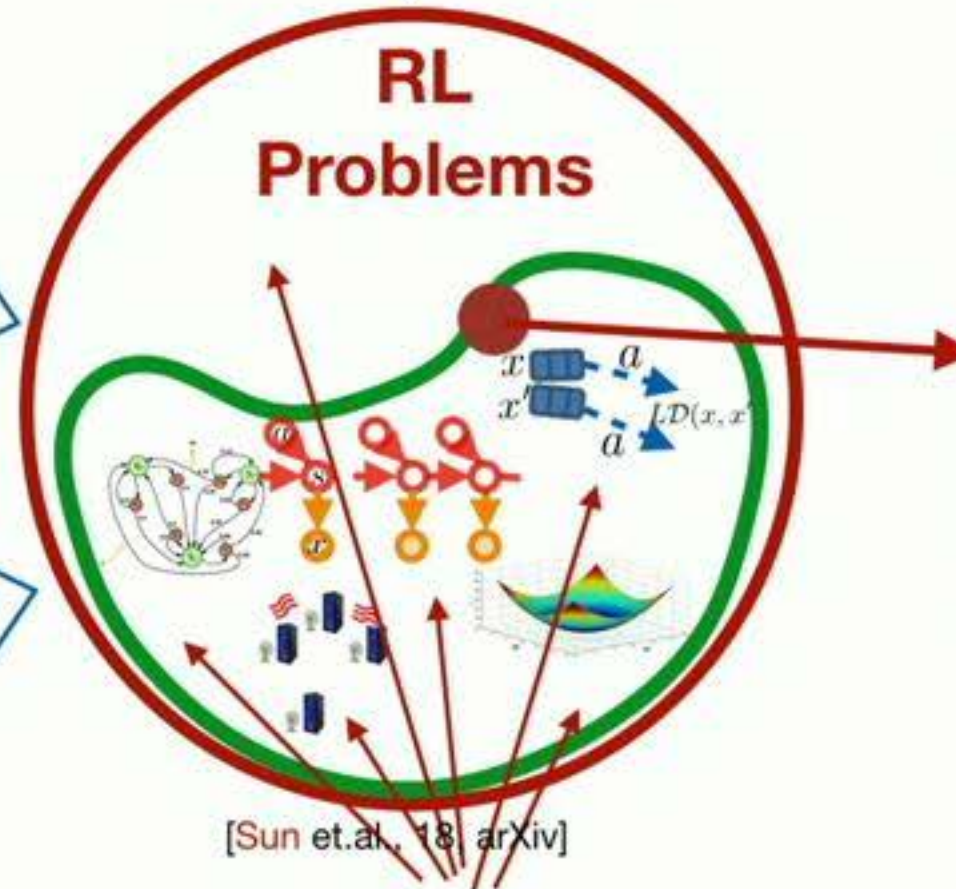
Imitation



[Sun et.al., ICML 17; Sun et.al.,NeurIPS 18]



RL Problems



[Sun et.al., 18 arXiv]

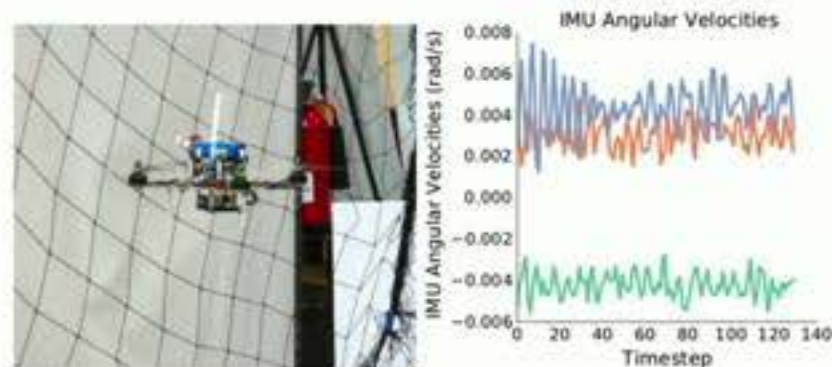


Memory

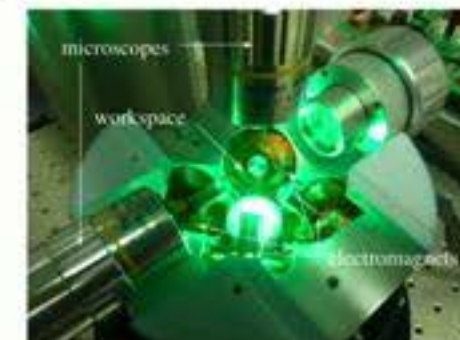
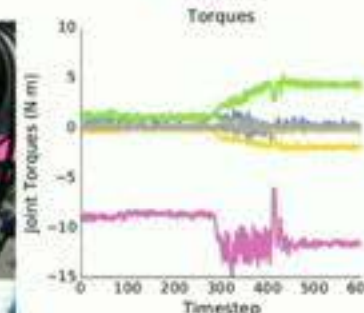
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[Sun et.al., ICML 16; Venkatraman & Sun et.al., IJCAI 16, Sun et.al., ICRA 14]



(b) Kinova Mico Grasping



Thank You

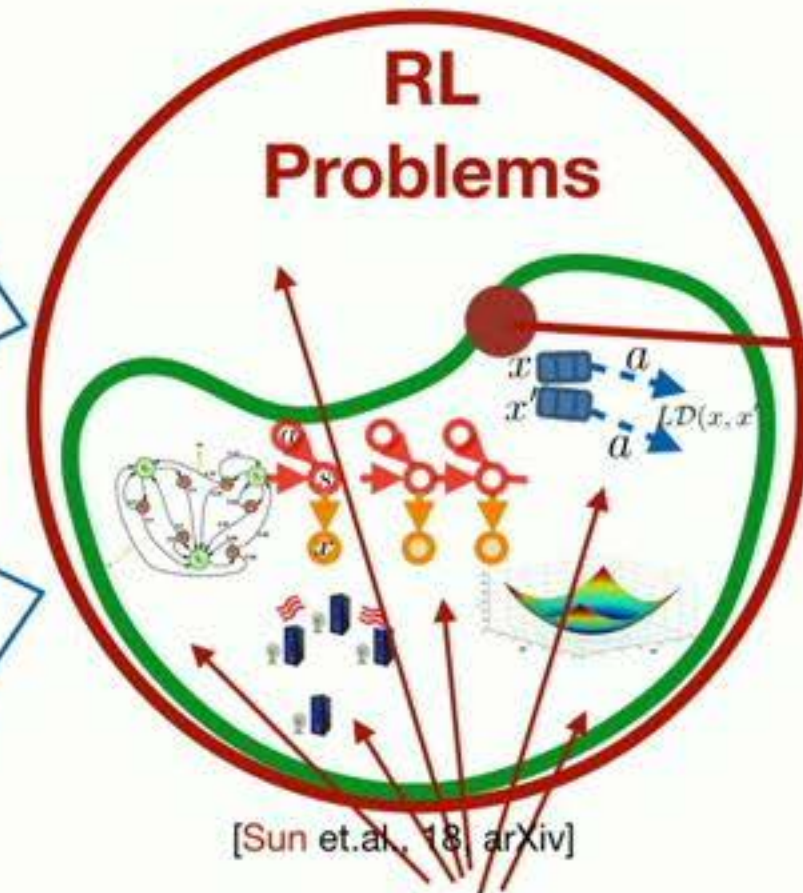
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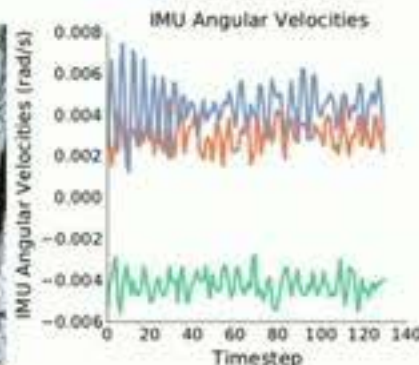
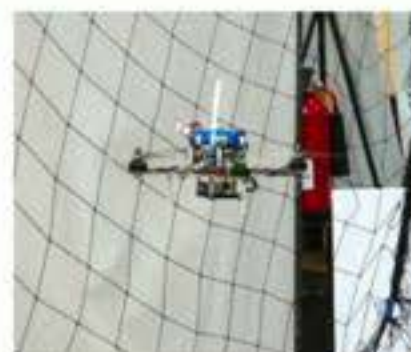


[Sun et.al., 18, arXiv]

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