

Diagnosing Vehicles with Automotive Batteries

Liang He
University of Colorado Denver

Linghe Kong
Shanghai Jiaotong University

Ziyang Liu
Shanghai Jiaotong University

Yuanchao Shu
Microsoft Research

Cong Liu
University of Texas at Dallas

ABSTRACT

The automotive industry is increasingly employing software-based solutions to provide value-added features on vehicles, especially with the coming era of electric vehicles and autonomous driving. The ever-increasing cyber components of vehicles (i.e., computation, communication, and control), however, incur new risks of anomalies, as demonstrated by the millions of vehicles recalled by different manufactures. To mitigate these risks, we design B-Diag, a battery-based diagnostics system that guards vehicles against anomalies with a cyber-physical approach, and implement B-Diag as an add-on module of commodity vehicles attached to automotive batteries, thus providing vehicles an additional layer of protection. B-Diag is inspired by the fact that the automotive battery operates in strong dependency with many physical components of the vehicle, which is observable as correlations between battery voltage and the vehicle's corresponding operational parameters, e.g., a faster revolutions-per-minute (RPM) of the engine, in general, leads to a higher battery voltage. B-Diag exploits such physically-induced correlations to diagnose vehicles by cross-validating the vehicle information with battery voltage, based on a set of data-driven norm models constructed online. Such a design of B-Diag is steered by a dataset collected with a prototype system when driving a 2018 Subaru Crosstrek in real-life over 3 months, covering a total mileage of about 1,400 miles. Besides the Crosstrek, we have also evaluated B-Diag with driving traces of a 2008 Honda Fit, a 2018 Volvo XC60, and a 2017 Volkswagen Passat, showing B-Diag detects vehicle anomalies with >86% (up to 99%) averaged detection rate.

CCS CONCEPTS

• **Computer systems organization** → **Sensors and actuators**.

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KEYWORDS

vehicle diagnostics; batteries-as-sensors; CPSes

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1 INTRODUCTION

• **Background.** The automotive industry is increasingly employing software-based solutions to provide value-added features on vehicles, such as automatic crash response and remote diagnostics, especially with the coming era of (hybrid) electric vehicles and autonomous driving. As a result, modern vehicles are commonly installed with software systems consisting of hundreds of millions of lines of codes distributed across over 80 Electronic Control Units (ECUs) [1, 2], rendering vehicles prototypical cyber-physical systems (CPSes). The ever-increasing cyber components of vehicles, however, prove to be a double-edged sword and incur new risks to vehicles' reliability/safety [3–5].

First, software system becomes error-prone with the ever growing data volume in the in-vehicle network [6–8]. Taking the automatic gear shifting in Fig. 1 as an example:

- the vehicle's engine control module first gathers readings of the crankshaft position sensor to calculate the RPM¹,
- it then actuates based on the thus-calculated RPM to control the activation frequency of spark plug,
- the engine control module also broadcasts the RPM to other ECUs via the in-vehicle network, e.g., in form of the controller area network (CAN) [9],
- the transmission control module receives and then processes the broadcasted RPM, and changes gears accordingly.

As can be seen, any software defects in the computation/communication/control of the above process could compromise the gear shifting. Software flaws, unfortunately, have been frequently identified in vehicles: (i) a bug causing unintended

¹Revolutions per minute (RPM) quantifies the engine speed.

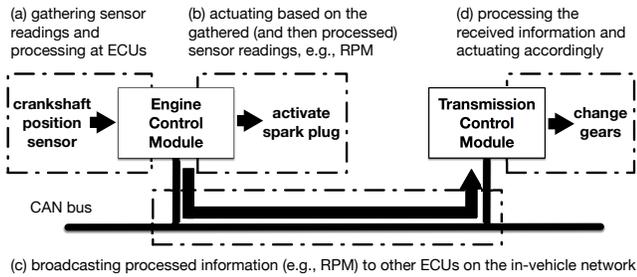


Fig. 1: Vehicle’s cyber operations: gathering, processing, transmitting information and actuating accordingly.

acceleration forced Toyota to recall 7.5 million vehicles between 2009–2011 [10], (ii) a glitch unlocking the door without notifying drivers caused Jaguar to recall 65,000 Range Rover in 2015 [11], (iii) defects in the cruise control software caused Chrysler to recall 4.8 million vehicles in 2018 [12], to name a few.

Second, the proliferation of in-vehicle sensing and communication modules eases the inter-connection between cars and third-party devices, thus exposing new vulnerabilities to cyber attacks [13–19]. For example, many work on the injection and modification of data packets in the in-vehicle network through WiFi, Bluetooth, or other cyber interfaces have been reported [20–24]. People have even successfully stopped a Jeep Cherokee on a highway by masquerading its in-vehicle data packets [25], triggering a recall of 1.4 million vehicles by Jeep in 2015 [26].

These risks, albeit of different causes, lead to the same consequence of *unintended information in the in-vehicle network*, referred to as *cyber anomalies*, disrupting the automotive industry and degrading vehicles’ reliability/safety.

• **State-of-the-Art.** Vehicle anomalies are traditionally diagnosed with the On-Board Diagnostics System (OBD-II) [27], which however, is ineffective in detecting cyber-induced anomalies, as demonstrated by the fact that many of the above cyber flaws/attacks do not trigger any diagnostic trouble code of OBD-II. To fill this need of anomaly diagnostics, researchers have designed various solutions such as message authentication [28–32] and intrusion detection [33–35]. These solutions, however, still suffer from the following three deficiencies. First, these solutions are defective in systematically exploiting a vehicle’s CPS nature [36] — i.e., a system of sub-systems interacted constantly in the cyber and physical spaces — missing a reliable opportunity in vehicle diagnostics, as we will see in this work. Second, these solutions are commonly implemented at vehicles’ ECUs as part of the in-vehicle network, and thus also suffer from the risks of anomalies thereof, i.e., the diagnostics systems themselves could be abnormal [31, 37, 38]. Last but not the least, many existing solutions are grounded on an offline knowledge of known vehicle anomalies, thus being defective in adapting to unexpected but inevitable vehicle dynamics [39–43].

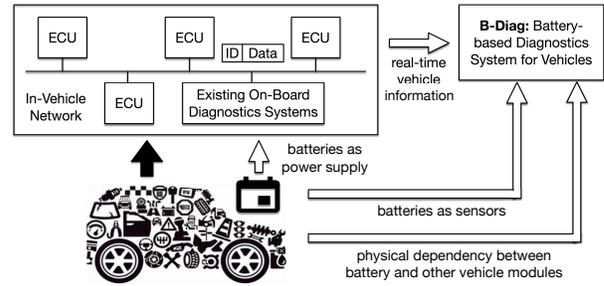


Fig. 2: B-Diag diagnoses vehicles with a cyber-physical approach by exploiting automotive batteries as sensors.

Table 1: A (nonexclusive) list of vehicle information that are corroborated to be diagnosable by B-Diag.

Vehicle Information	
1) Absolute Throttle Position B	12) Intake Manifold Pressure
2) Accelerator PedalPosition D	13) Mass Air Flow Rate
3) Accelerator PedalPosition E	14) O2 Sensor1 Equivalence Ratio
4) Air Fuel Ratio (Commanded)	15) O2 Sensor1 Equivalence Ratio (alternate)
5) Air Fuel Ratio (Measured)	16) O2 Sensor1 Wide-Range Voltage
6) Commanded Equivalence Ratio	17) Throttle Position (Manifold)
7) Engine Coolant Temperature	18) Transmission Temperature (Method 1)
8) Engine Load	19) Transmission Temperature (Method 3)
9) Engine Load (Absolute)	20) Voltage (Control Module)
10) Engine RPM	21) Voltage (OBD Adapter)
11) Fuel Level (From Engine ECU)	22) Volumetric Efficiency (Calculated)

• **Battery-based Diagnostics of Vehicles.** To mitigate these deficiencies, we design a battery-based diagnostic system for vehicles, called B-Diag, and implement B-Diag as an add-on module of commodity vehicles attached to automotive batteries, thus providing vehicles an additional protection on top of the traditional OBD-II (see Fig. 2). B-Diag has the following salient properties.

(1) *Diagnosing with a Cyber-Physical Approach.* The foundation of B-Diag is the fact that many physically inter-connected modules of the vehicle operate in close dependency — e.g., a faster engine RPM increases the alternator’s output current and then the automotive battery’s voltage — which is observable as correlations among the vehicle’s operational parameters in the cyber space. B-Diag exploits such correlations to diagnose vehicles with a cyber-physical approach by: (i) capturing the physically-induced correlations in the cyber space with data-driven norm models constructed online, and (ii) detecting (and then verify) vehicle anomalies by cross-validating, in real-time, the vehicle information. These online constructed norm models also make B-Diag adaptive to the inevitable changes in vehicles.

(2) *Exploiting Batteries as Sensors.* B-Diag’s cross-validation of vehicle information requires a trustworthy ground, to which no information from the in-vehicle network satisfies due to the risks of cyber-induced anomalies. As a mitigation, B-Diag novelly grounds its cross-validation on the voltage of automotive batteries by exploiting batteries as sensors: (i) battery voltage can be reliably (and easily)

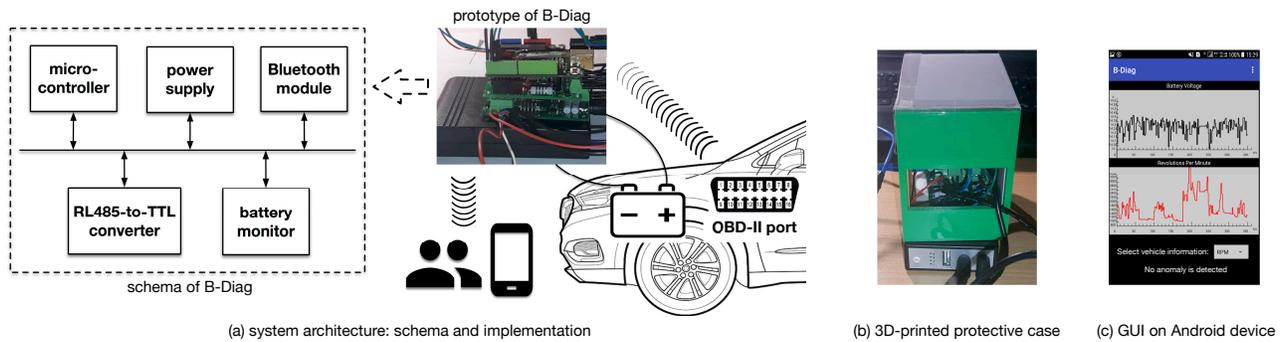


Fig. 3: We have prototyped B-Diag as an add-on module of commodity vehicles attached to automotive batteries.

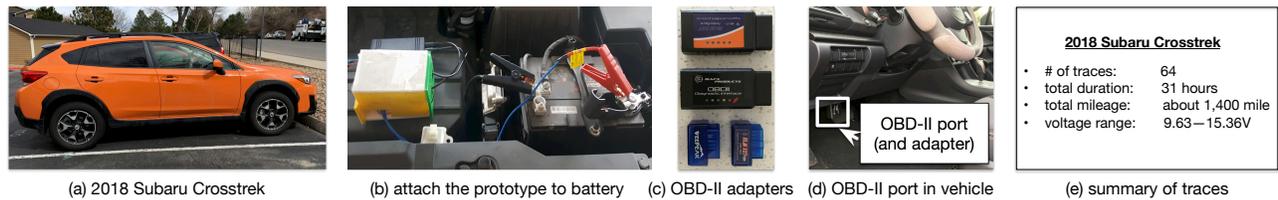


Fig. 4: Using B-Diag’s prototype to collect the real-life driving data of a 2018 Subaru Crosstrek.

collected from the physical batteries without going through the in-vehicle network, thus serving as the *hardware-based root of trust* [44] and making the cross-validation *reliable*; (ii) battery operates in strong dependency with many vehicle modules, and thus battery voltage correlates with many vehicle parameters, making the cross-validation *effective*. This way, B-Diag’s anomaly detection will be robust and effective even when the in-vehicle network is compromised. Such a battery-based diagnostics of B-Diag also magnifies its practicality because no re-designing of existing in-vehicle network is needed, which is crucial for the cost-conscious automotive industry with only 4–9% profit margin [45]. Table 1 summarizes the vehicle information that has been corroborated to be diagnosable by B-Diag.²

The design of B-Diag is steered by a dataset collected with a prototype system when driving a 2018 Subaru Crosstrek in real-life over 3 months, covering a total mileage of about 1,400 miles. Besides the Crosstrek, we have also evaluated B-Diag using driving traces collected from a 2008 Honda Fit, a 2018 Volvo XC60, and a 2017 Volkswagen Passat, showing B-Diag detects anomalies in vehicle information with >86% (up to 99%) detection rate on average. In this paper, we use B-Diag’s diagnosis of engine RPM as a complete walk-through example, and then validate B-Diag’s ability of individually diagnosing the vehicle information listed in Table 1. An integrated approach to diagnose all vehicle information in real-time, however, is still missing in this work.

2 SYSTEM PROTOTYPING

We have prototyped B-Diag as an add-on module of commodity vehicles attached to their automotive batteries, as

shown in Fig. 3(a), including: (i) an Arduino-based micro-controller attached to the automotive battery in the vehicle’s engine cabin, (ii) a battery monitor collecting the voltage of the automotive battery in real-time, (iii) a RS485-to-TTL converter transforming the voltage signal and sending it to the micro-controller, (iv) a Bluetooth module collecting the vehicle information from the in-vehicle network — e.g., via the OBD-II port with off-the-shelf OBD-II adapters — and reporting the results to the smartphone of the vehicle’s driver/owner, and (v) a power supply supporting the above components. This prototype is installed in a 3D-printed protective case, as shown in Fig. 3(b). Fig. 3(c) shows an example of the prototype’s GUI on an Android phone. Note the Bluetooth-based collection of vehicle information from the OBD-II port is only for the ease of implementation. Wired OBD-II adapters are readily available in the literature and could be adopted to further improve the reliability. The total hardware cost of this prototype is below US\$50, which could be further reduced, e.g., by using the automotive battery to power the prototype and thus removing the power supply.

We have used this prototype of B-Diag to collect the real-life driving traces of a 2018 Subaru Crosstrek, as shown in Figs. 4(a) and (b). We used four commodity Bluetooth OBD-II adapters (Fig. 4(c)) to collect the vehicle information via the OBD-II port at 10Hz (Fig. 4(d)) and upload the information to B-Diag’s prototype. These data are collected over 3 months, on both highway and urban roads, and also when driving during rush hour traffic jams and in snowing/raining weather, as summarized in Fig. 4(e). These data cover most of activities during driving such as turning, breaking, cruise control, operating the vehicle’s e-systems such as air con and radio, etc. No abnormal behavior of the vehicle is observed during the collection of these traces, which is also confirmed

²Please see [46] for the details of these vehicle information.

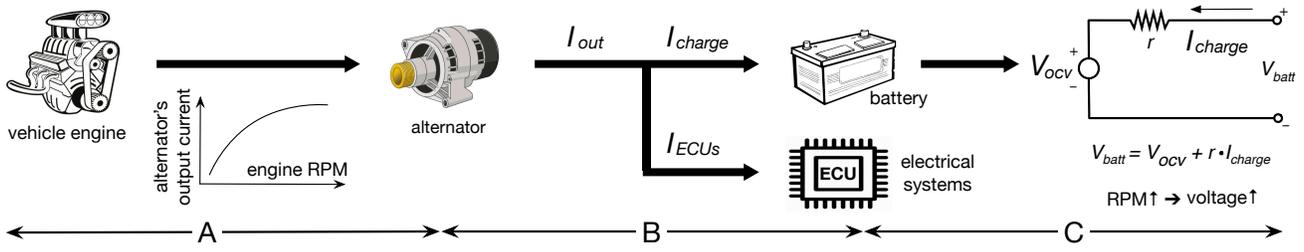


Fig. 5: Other things being equal, a faster engine RPM leads to a higher battery voltage.

when performing its regular maintenance at the auto dealer. This way, we treat these collected traces as normal. We have also identified another Bluetooth OBD-II adapter (not among the four adapters in Fig. 4(c)) that is not reliable in collecting the driving traces. We will use the “abnormal” vehicle information collected with this faulty adapter to evaluate B-Diag, as we explain in Sec. 5.1.

Note that such an installment of B-Diag is general for all vehicles because (i) all automotive batteries have positive/negative terminals exposed to the environment, via which B-Diag can be connected and thus the battery voltage collected; (ii) OBD-II port — under the dash in virtually all modern vehicles — has been mandatory for all vehicles sold in the US since 1996 and Europe since 2001, via which the well-defined vehicle information can be collected in real-time without knowing the vehicle architecture or the format of the in-vehicle messages.

Knowing the hardware components of B-Diag, we explain B-Diag’s diagnostic algorithms in the next two sections, steered by the above empirically collected driving traces.

3 CASE-STUDY: DIAGNOSING ENGINE RPM WITH BATTERY

We first use B-Diag’s detection of anomalies in engine RPM as an example to walk through its diagnostics of vehicles. The related algorithms are also applicable to the detection of anomalies in other vehicle information listed in Table 1, as we elaborate in Sec. 4.

3.1 Automotive Battery and Engine

We first explain the physically-induced correlations between the automotive battery and vehicle’s engine.

- **Automotive Battery.** Automotive battery — normally a rechargeable lead-acid battery with 12/24V nominal voltage depending on vehicle type — supplies the necessary current to the starter motor and the ignition system while cranking to start the engine. The battery will be charged by the vehicle’s alternator once the engine is running. It is crucial to note that even (hybrid) electric vehicles such as Chevrolet Volt and Bolt — which use high-voltage (e.g., up to 400V) battery packs to supply the driving power — have such low-voltage batteries, ensuring their compatibility to standard 12/24V automotive accessories.

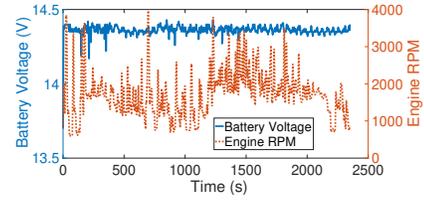
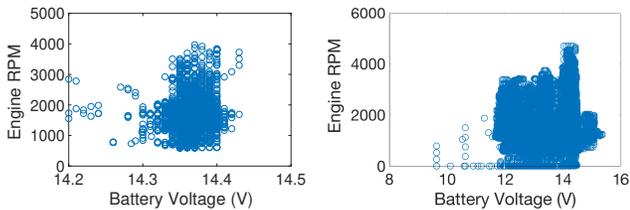


Fig. 6: Exemplary traces of battery voltage and engine RPM.

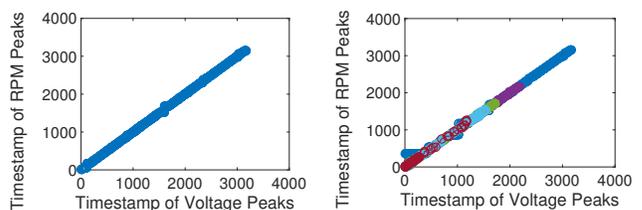
- **Engine RPM.** Revolutions per minute (RPM) is the metric quantifying the engine speed, defined as the number of rotations per minute made by engine’s crankshaft and monitored by the crankshaft position sensor in real-time. RPM is crucial to engine’s timing functions for ignition, fuel injection, spark events, and valve timing. For example, RPM is needed to determine the activation frequency of spark plugs, normally every 10–17ms [36], to control the fuel injection to each cylinder in real-time. As a result, inaccurate RPMs cause a variety of problems such as misfiring, motor vibration, backfires, hesitant acceleration, abnormal shaking, or, the car may simply do not start [47, 48]. Koscher et al. has experimentally demonstrated the feasibility of fabricating engine RPM via cyber attacks [22], rendering the abnormal RPM a real-life risk. For example, fabricating a large RPM to a low level could falsely convince the Power Steering Control Module (PSCM) that the vehicle is driving slowly, thus tricking PSCM to start a diagnostic session even when driving on a highway, causing critical safety risk [24].

- **Physical Dependency betw. Battery and Engine.** The automotive battery and engine operate in close dependency, as summarized in Fig. 5. First, the engine’s rotation triggers that of the alternator at a speed about 1–3 times of engine RPM [49]. The alternator’s rotation, in turn, generates an electric power that is monotonic to its rotation speed (up to a certain safe level). This way, a faster RPM leads to a larger output current I_{out} of the alternator (see part-A of Fig. 5) [50]. Second, part of the alternator’s I_{out} is used to power the vehicle’s electrical systems, and the remaining current charges the battery. Other things being equal, a larger I_{out} increases the battery’s charging current (see part-B of Fig. 5). Third, a larger charging current increases the battery voltage. This can be explained by the battery’s circuit model shown in Part-C of Fig. 5: the battery will have a voltage of $V_{batt} = V_{ocv} + r \cdot I_{charge}$ when charging with current I_{charge} [51], where r is the internal resistance of the battery.



(a) with the trace in Fig. 6 (b) with all traces in Fig. 4(e)

Fig. 7: Weakly correlated battery voltage and RPM.



(a) with the trace in Fig. 6 (b) with all traces in Fig. 4(e)

Fig. 8: Strongly correlated peaks of battery voltage and RPM.

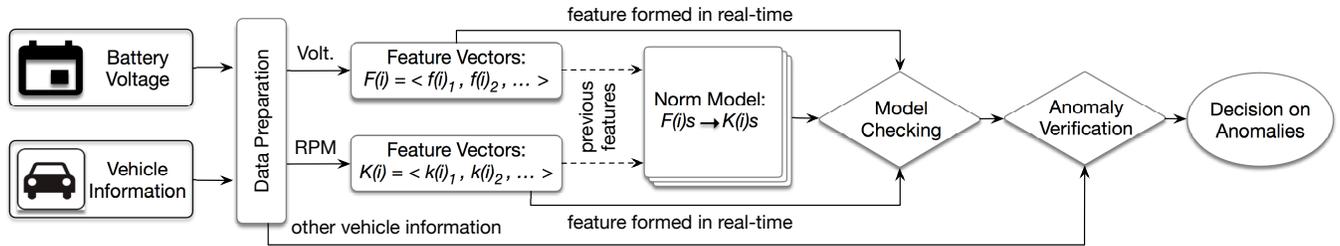


Fig. 9: B-Diag detects (and verifies) the anomalies in engine RPM based on battery voltage with an online data-driven model.

Combining these three facts uncovers a dependency between the automotive battery and engine induced by their physical design/connection — *the battery will has a higher voltage with a faster engine speed.*

• **Correlation betw. Battery Voltage and RPM.** We next examine if such a dependency between battery and engine could be observed as a correlation between the vehicle’s corresponding operational parameters.

Observation-I: Weakly Correlated Raw Readings. Fig. 6 plots the traces of battery voltage and engine RPM during a 39-minute driving trip, and Fig. 7(a) shows the corresponding scatter plot, confirming their dependency that a larger RPM, in general, leads to a higher voltage. Significant variance, however, is observed: the battery voltage varies in a wide range of [14.2,14.4]V when the RPM is about 2,000, rendering such a correlation weak. Also, the two traces have only a small Pearson correlation coefficient of 0.06. Fig. 7(b) plots all the voltages and RPMs of the 64 traces summarized in Fig. 4(e), confirming again such *weakly correlated raw readings of battery voltage and engine RPM*. A potential explanation for such a weak correlation is that the battery voltage is affected by, besides the engine RPM, a variety of other factors, such as the power requirements of the vehicle’s electrical systems (i.e., I_{ECUs} in Fig. 5), and thus rendering the battery voltage highly dynamic [52].

Observation-II: Strongly Correlated Peaks. We further identify the local maximums of the RPM/voltage readings in Fig. 6, referred to as *peaks*, and then use *dynamic time warping* [53] to align these peaks’ time-stamps, as shown in Fig. 8(a). The close-to-diagonal warp path indicates we can find a voltage peak at a similar time whenever an RPM peak is observed, i.e., the peaks of battery voltage and engine

RPM are synchronized (and hence correlated). Fig. 8(b) plots the warp paths obtained by aligning the RPM/voltage peaks of all the 64 Crosstrek traces in Fig. 4(e), validating again such *strongly correlated RPM/voltage peaks*. Note that here the correlation between RPM/voltage peaks is in a general sense and not necessarily in terms of the Pearson correlation.

B-Diag exploits the above two correlations between the battery voltage and engine RPM to detect the potential anomalies in RPM readings, as we explain next.

3.2 Detecting RPM Anomalies with Battery

Fig. 9 shows an overview of B-Diag’s detection of potential anomalies in engine RPM: taking as input (i) the real-time battery voltage collected from the battery directly and (ii) the engine RPM from the in-vehicle network, B-Diag outputs an online decision value indicating if anomalies are detected in RPM readings. B-Diag conducts such an anomaly detection with three steps: data preparation, norm model construction, and anomaly detection. In what follows, we elaborate on the design of B-Diag using the trace shown in Fig. 6.

• **Data Preparation.** B-Diag applies a set of operations to prepare the collected battery voltage and engine RPM before constructing the norm model.

Data Alignment. B-Diag collects battery information from the battery and vehicle information from the in-vehicle network. Such different approaches of data collection make the collected battery voltage and engine RPM (likely) not aligned in the time domain. B-Diag aligns the data by exploiting the engine’s cranking time as the anchor, which can be reliably identified based on the fact that both the battery voltage and engine RPM (i) keep stable before cranking and then (ii) change abruptly and significantly while cranking,

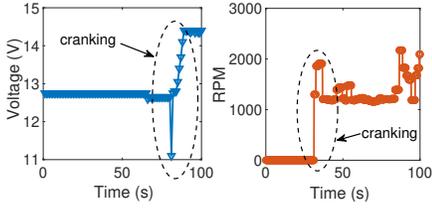


Fig. 10: B-Diag aligns the battery voltage and the engine RPM based on engine's cranking time.

as shown in Fig. 10. B-Diag detects the cranking time by identifying the local min/maximums of voltage/RPM with significant magnitudes and are preceded by stable readings.

Real-Time Peak Detection. B-Diag, besides collecting and recording the battery voltage and engine RPM, also checks the RPM to determine, in real-time, if a new RPM peak is observed, and triggers its diagnostics of potential anomalies if yes. Specifically, B-Diag identifies and maintains the current *trend* of RPMs as *increasing* or *decreasing* – a peak is detected if the *trend* changes from *increasing* to *decreasing*. B-Diag confirms such a change in *trend* only when it has been observed with three consecutive RPM samples, as illustrated in Fig. 11, reducing the variance in the peak detection caused due to signal dynamics.

Time Window Construction. B-Diag maintains the time at which the previous RPM peak is observed, denoted as t_{pre} . Once detecting a new RPM peak, B-Diag constructs a time window of $[t_{pre} - T_w, t_{pre}]$, where T_w is the window size. The window terminates at t_{pre} , instead of the current time t_{now} , because not all properties of the newly detected RPM peak can be determined at t_{now} , as we explain later.

Peak Identification in Time Window. B-Diag fetches the two time-series of battery voltage and engine RPM within the above-constructed time window, and then identifies the peaks therein. B-Diag describes each peak by its peak value v , width w , prominence p , and time-stamp t , as illustrated in Fig. 12, i.e., $peak = \{v, w, p, t\}$. B-Diag stores the peaks in the current time window to facilitate identification of peaks in the next window, exploiting their (likely) overlapping. Also, B-Diag discards the 10% of peaks (in both voltage and RPM) with the least prominence in the window, further improving its tolerance to the inherent dynamics of voltage and RPM readings. Note that neither the width or the prominence of a peak can be determined upon its detection. This is why the time window ends at the time of previous peak.

• **Norm Model Construction.** B-Diag constructs an online norm model capturing the relationship between the battery voltage and engine RPM, based on the two correlations observed in Sec. 3.1. Instead of manually constructing rules that map the battery voltage to RPM, we opted to use a machine learning-based classifier to increase the accuracy of B-Diag's

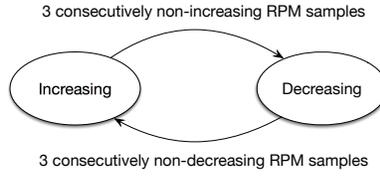


Fig. 11: B-Diag confirms a transition in the trend of RPMs only when observing such a transition with three consecutive RPM samples.

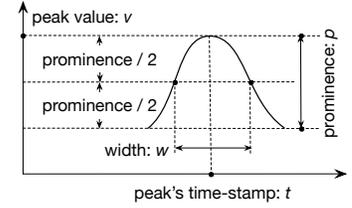


Fig. 12: B-Diag describes a peak with its peak v , time-stamp t , width w , and prominence p .

mapping. Specifically, B-Diag abstracts each of the two sub-traces of battery voltage and RPM in the time window to a 10-parameter feature vector, i.e.,

$$F = \{f_1, f_2, \dots, f_{10}\} \text{ for battery voltage,}$$

$$G = \{g_1, g_2, \dots, g_{10}\} \text{ for engine RPM,}$$

and constructs a norm model that estimates G s based on F s.

Feature Extraction. B-Diag forms its feature vectors of F s and G s based on the two correlations observed in Sec. 3.1: (i) defining f_1-f_5 and g_1-g_5 based on the weakly correlated raw readings of voltage and RPM, thus facilitating the detection of RPMs' unusual values; (ii) defining f_6-f_{10} and g_6-g_{10} based on the strongly correlated peaks of voltage and RPM, thus facilitating the detection of RPMs' unusual dynamics. The weakly correlated raw readings of battery voltage and RPM indicates the feasibility to infer RPMs based on battery voltage, but the accuracy of such an estimation may be limited. As a mitigation, B-Diag uses the statistics, instead of the raw values, of voltage/RPM readings to form the first part of its feature vectors. Specifically, for each time window, B-Diag uses the [10, 25, 50, 75, 90]% percentiles of the voltage and RPM readings as f_1-f_5 for F and g_1-g_5 for G , respectively. B-Diag forms the second part of its feature vectors based on the strongly correlated peaks of voltage and RPM. Specifically, for each time window, B-Diag uses the mean of the voltage/RPM peaks' value as f_6/g_6 , width as f_7/g_7 , prominence as f_8/g_8 , relative time-stamp as f_9/g_9 , and the ratio of their counts over the window size as f_{10}/g_{10} . The relative time-stamp of a peak is defined as its relative position in the current time window, i.e., $t_r = t - (t_{pre} - T_w)$ where t is the peak's time-stamp. Also, B-Diag defines f_{10} and g_{10} as the normalized peak counts to the window size – i.e., the rate at which peaks are observed – to reduce its dependency to the particular setting of window size.

This way, by constructing two feature vectors for each time window, B-Diag transforms the two time-series of battery voltage and engine RPM into another two time-series of their corresponding feature vectors, i.e.,

$$\mathbb{F} = \{F^1, F^2, \dots\} \text{ for battery voltage,}$$

$$\mathbb{G} = \{G^1, G^2, \dots\} \text{ for engine RPM.}$$

Classifier. B-Diag uses machine learning-based classifiers to construct a norm model that maps from \mathbb{F} to \mathbb{G} . We opted

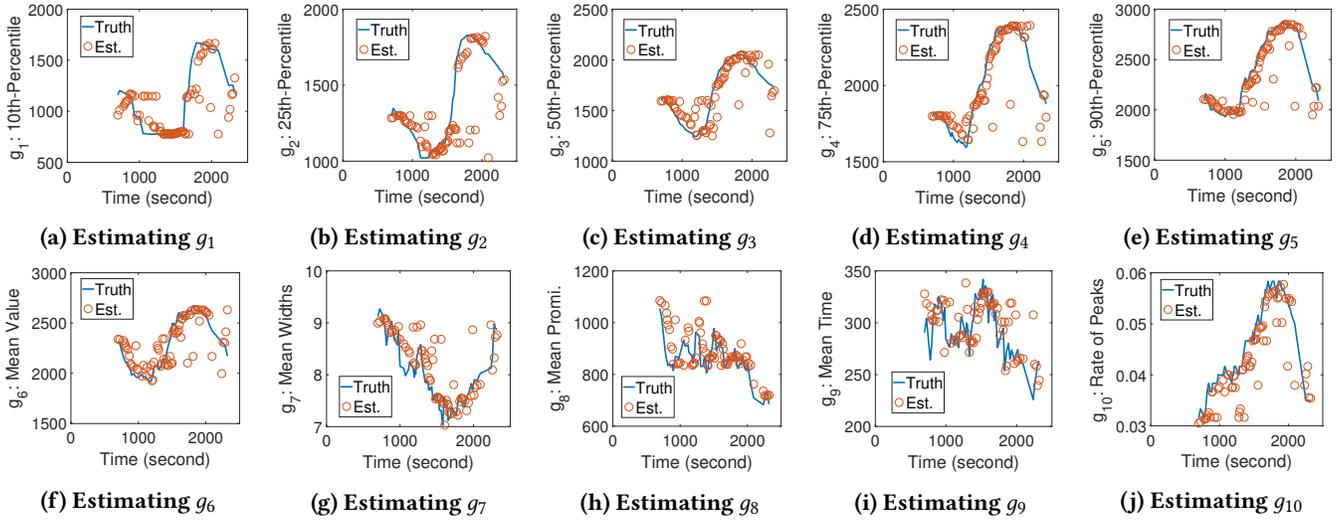


Fig. 13: Estimating the features of engine RPM based on those of battery voltage, with the traces in Fig. 6 as an example.

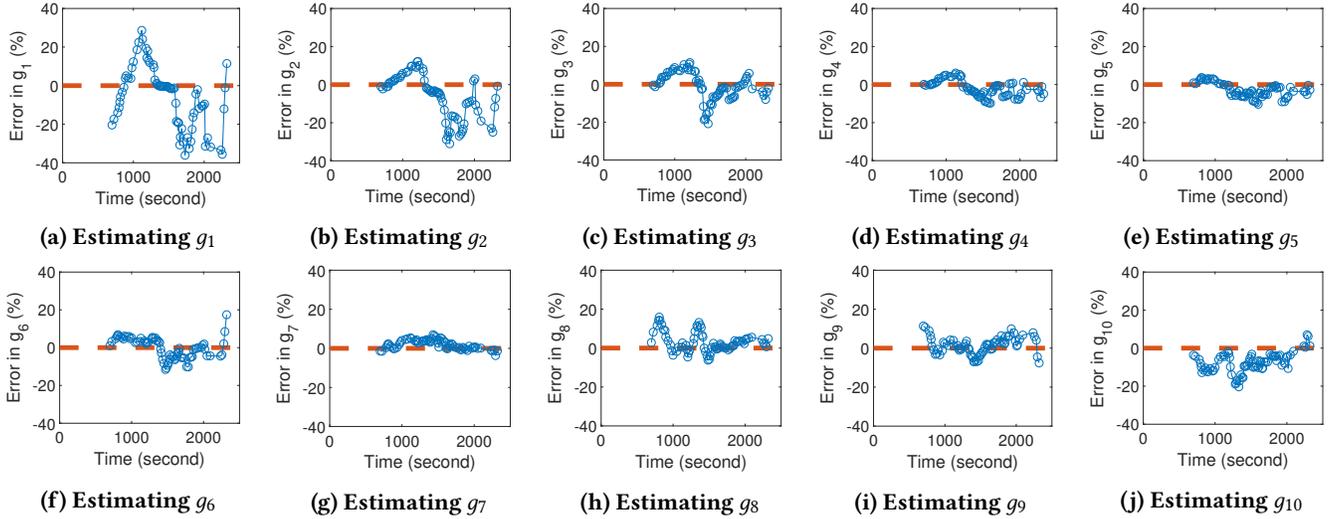


Fig. 14: An averaged error of 0.8–11% is achieved when estimating the features of RPM based on battery voltage, but with significant variance.

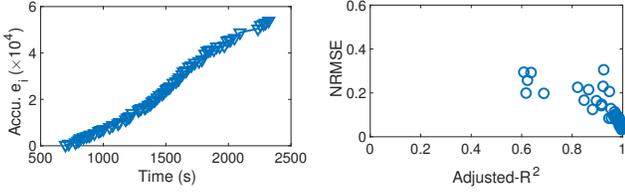
to use *decision tree* as the classifier because of its simplicity and high interpretability.³ Again, taking the traces in Fig. 6 as an example and with a 10-minute time window, Fig. 13 plots the results when estimating \mathbb{G} , or more specifically the g_i s in each of the feature vector $G \in \mathbb{G}$, based on \mathbb{F} . Fig. 14 summarizes the estimation errors normalized to the corresponding true values (i.e., the error ratios): (i) the errors are clustered around 0 and thus accurate, e.g., the mean errors when estimating g_1 – g_{10} are within [0.8, 11]%; (ii) variance, however, is observed in both the estimation errors of individual g_i s and across different g_i s, thus requiring further mitigation when grounding B-Diag’s anomaly detection on these errors.

³We have tried other classifiers such as KNN and SVM, and observed no clear advantages over the decision tree.

• **Anomaly Detection.** For the i -th time window (and the corresponding feature vectors F^i and G^i), B-Diag trains a tree-based model based on the previous feature vectors (i.e., F^1 to F^{i-1} and G^1 to G^{i-1}), and then uses the trained model to estimate G^i based on F^i – an anomaly in RPM is detected if the empirically collected $G^i = \{g_j^i\}$ deviates significantly from the model estimated $\hat{G}^i = \{\hat{g}_j^i\}$ ($j = 1, 2, \dots, 10$). Specifically, B-Diag defines the error of estimating G^i as

$$e_i = \|G^i - \hat{G}^i\| = \sum_{j=1}^{10} \sqrt{(\hat{g}_j^i - g_j^i)^2 / g_j^i} \times 100\%. \quad (1)$$

Such a summation of individual estimation errors of g_j s suppresses their relatively large variance observed in Fig. 14. To



(a) Accumulated e_i s obtained with the traces in Fig. 6, linearly with all traces in showing clear linearity (b) Fitting accumulated e_i s with the traces in Fig. 6, linearly with all traces in showing clear linearity

Fig. 15: The accumulated e_i s (see Eq. (1)) increase linearly.

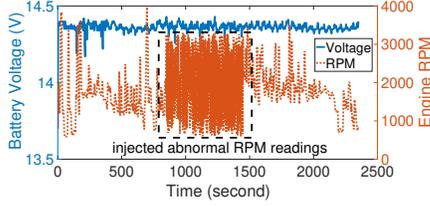


Fig. 17: Adding anomalies to genuine RPM readings in Fig. 6.

collaborate this, Fig. 15(a) plots the accumulated e_i s obtained with the traces shown in Fig. 6, which increases steadily and linearly. Fig. 15(b) plots the goodness-of-fit when fitting the accumulated e_i s linearly for each of the 64 traces summarized in Fig. 4(e). The fact that all the fitting results are clustered at the right-bottom corner of the figure — i.e., with close-to-1 Adjusted- R^2 and close-to-0 NRMSE — validates the high fitting goodness and thus the linearity of accumulated e_i s.

This linearity of accumulated e_i s allows B-Diag to describe it as a linear regression model. A linear parameter identification problem is thus formulated as

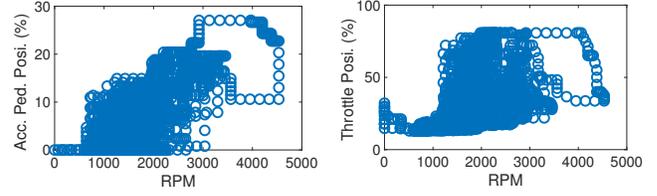
$$E_{\text{acc}}[i] = S[i] \cdot t[i] + \delta[i], \quad (2)$$

where for the i -th time window ending at time $t[i]$, $E_{\text{acc}}[i]$ is the accumulated e_i s, $S[i]$ is the regression parameter, and $\delta[i]$ is the identification error. The regression parameter S represents the slope of the linear model and thus the averaged e_i over time. The identification error δ represents the residual which is not explained by the model. B-Diag uses the Recursive Least Squares (RLS) algorithm to solve such a linear regression. Also, the reliable linear model of accumulated e_i s indicates the corresponding identification error δ s should be small and stable in the normal cases, motivating B-Diag to make its decision on anomaly detection based on δ s. Specifically, B-Diag defines

$$\tau_i = |\delta_i - \mu(\delta_1 : \delta_{i-1})| / \sigma(\delta_1 : \delta_{i-1}), \quad (3)$$

i.e., τ_i is the deviation of δ_i from the mean of $\{\delta_1, \dots, \delta_{i-1}\}$ in terms of their standard deviation, and concludes an anomaly in RPM is detected if $\tau_i > \theta$. We set $\theta=5$ by default [35, 54].

• **Anomaly Verification.** B-Diag further verifies the detected anomalies by exploiting the fact that engine RPM, besides correlates strongly with the battery voltage, also correlates with other vehicle parameters. For example, we have



(a) RPM v.s. acc. pedal (b) RPM v.s. throttle position

Fig. 16: B-Diag verifies the detected RPM anomalies based on the correlations between RPM and other vehicle information, e.g., accelerator pedal position and throttle position.

identified the physically-induced correlations between RPM and the accelerator pedal position and throttle position, as shown in Fig. 16. B-Diag further exploits these non-battery correlations with RPM to verify the above-detected RPM anomalies, based on the hypothesis that RPM anomalies, besides causing abnormal behavior with regard to the battery voltage, will also cause its abnormal behaviors with regard to other correlated vehicle information. B-Diag conducts such an anomaly verification, again, via norm model construction and then checking, with similar approaches explained above. B-Diag will confirm the detected RPM anomalies if abnormal behaviors between RPM and any of these correlated vehicle information is detected.

• **Walk-Through Example.** Next we use a walk-through example to show how B-Diag detects and then verifies anomalies in engine RPM based on the battery voltage. Specifically, we emulate RPM anomalies by injecting randomly fabricated RPM readings into the traces in Fig. 6, and test if B-Diag can detect such anomalies. Fig. 17 plots the altered RPM trace after injecting anomalies during the time period of [849, 1449]s. Applying B-Diag to the thus-altered trace with a window size of 600s, Fig. 18 plots the errors when estimating RPM's feature parameters based on those of the battery voltage, showing much degraded accuracy at $\{g_5, g_7, g_8, g_9, g_{10}\}$ when compared to Fig. 14.⁴ Fig. 19 plots the accumulated estimation errors — i.e., e_i s as defined in Eq. (1) — showing the injected anomalies change the slope of the accumulated e_i s, and thus being detectable. Note that no anomaly is detected when applying B-Diag to the raw traces in Fig. 6, and thus no false detection is caused. We further verify the detected RPM anomalies by cross-validating with the accelerator pedal position and throttle position, which are confirmed with the changed slopes of accumulated e_i s (see Fig. 20).

4 DIAGNOSING VEHICLE BEYOND RPM

We have used the detection of RPM anomalies with battery voltage to walk through B-Diag's cyber-physical approach of vehicle diagnostics. Besides the engine, physical dependencies with the automotive battery also exists at other vehicle

⁴The specific feature parameters with degraded estimation accuracy will depend on the particular anomalies.

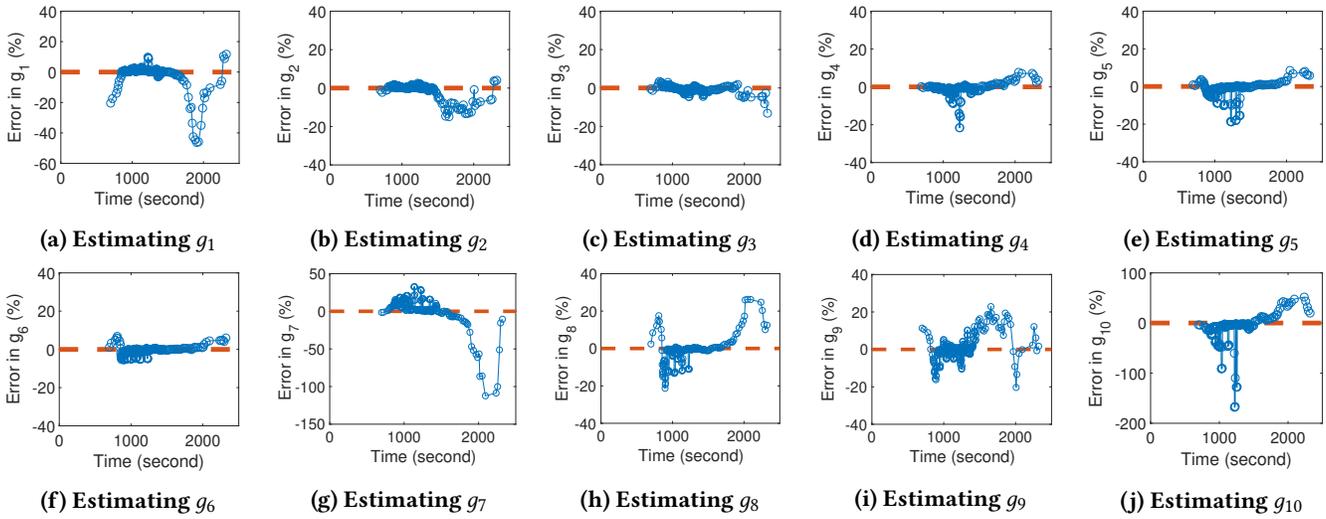


Fig. 18: The abnormal RPMs in Fig. 17 magnify the errors when estimating $\{g_5, g_7, g_8, g_9, g_{10}\}$, when compared to Fig. 14.

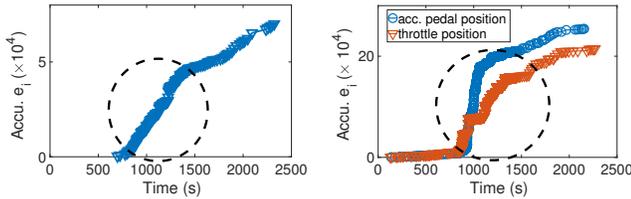


Fig. 19: Abnormal RPMs change the slope of the accumulated e_i s with regard to battery voltage, and thus being detectable.

Fig. 20: Abnormal RPMs change the slopes of the accumulated e_i s regarding to acc. pedal position and throttle position.

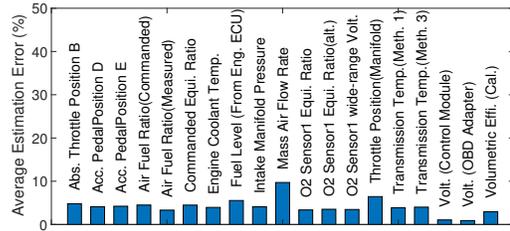


Fig. 22: Errors in estimating feature vectors of vehicle information listed in Table 1 (besides those relate to engine RPM).

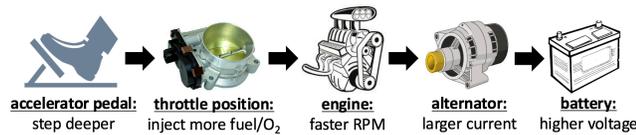


Fig. 21: Physically-induced correlations among a vehicle’s accelerator pedal, throttle, engine, alternator, and battery.

modules, thus offering opportunities to generalize B-Diag’s anomaly detection to other vehicle information. Specifically, examining the physical inter-connection among vehicle’s sub-systems, Fig. 21 shows a dependency diagram among vehicle’s accelerator pedal, throttle, engine, alternator, and battery. Steered by this dependency diagram, we further checked the related vehicle information collected when driving the Crosstrek, and confirmed the correlations with the battery voltage at the information listed in Table 1. As an example, Fig. 22 plots the averaged error when estimating the vehicle information listed in Table 1 (besides those relate to engine RPM), or more specifically their feature vectors, based on the traces collected during the same trip as with Fig. 6, showing averaged errors within $[0.9, 9.7]\%$. Note that Fig. 21 may not be thorough in capturing the physical dependency among vehicle’s sub-systems, and thus Table 1 may

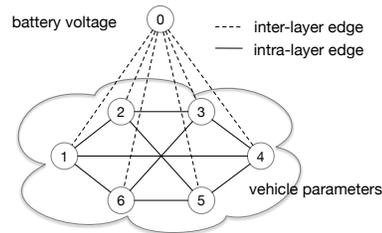


Fig. 23: B-Diag uses a correlation graph to abstract the vehicle and the correlations thereof.

not be exclusive. These multi-modal correlations between battery voltage and other vehicle information enable B-Diag to act as a comprehensive diagnostic system for vehicles.

To facilitate the systematic exploitation of these physically-induced correlations for vehicle diagnostics, B-Diag abstracts the vehicle with a 2-layer correlation graph $\mathbb{G}_{\text{corr}} = \{V, E\}$, in which: (i) the vertex set V represents the operational parameters of the vehicle with the battery voltage at the upper layer and other vehicle parameters at the lower layer; (ii) the edge set E captures the correlations among vehicle parameters, i.e., an edge $e_{i,j}$ connecting vertex v_i and v_j exists in E if v_i and v_j are correlated. Fig. 23 shows an exemplary correlation graph.

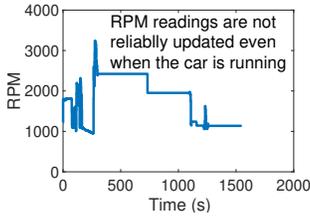


Fig. 24: RPM collected with an unreliable adapter.

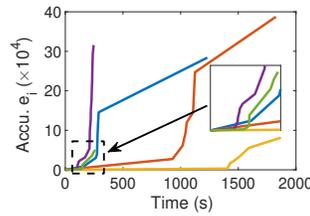


Fig. 25: Accu. e_i s of engine RPM with abnormal traces.

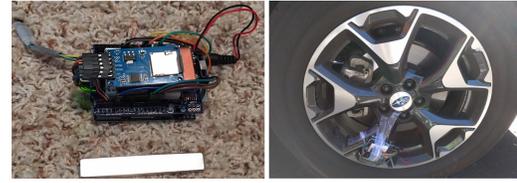


Fig. 26: Attach a Hall-based RPM sensor to the wheel.

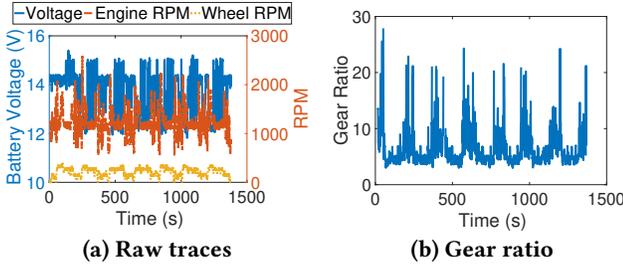


Fig. 27: Emulating abnormal engine RPM with wheel’s RPM.

This 2-layer correlation graph facilitates exploiting the uniqueness of automotive batteries to diagnose vehicles: (i) battery voltage can be directly (and easily) collected from the battery without going through the in-vehicle network, thus being tolerable to the risks of cyber-induced anomalies thereof and serving as a trustworthy ground for B-Diag’s diagnostics of vehicles; (ii) battery draws power from the alternator and supplies power to the vehicle’s electrical systems, implying strong correlations between battery voltage and many vehicle parameters. As a result, the correlation graph consists of two types of edges: the *inter-layer edges* representing the correlations between battery voltage and other vehicle parameters, and the *intra-layer edges* capturing the pairwise correlations between vehicle parameters besides battery voltage. Note the correlation graph also defines B-Diag’s ability/limit of diagnosing vehicles, i.e., which vehicle modules/parameters B-Diag can guard.

With such an abstracted correlation graph \mathbb{G}_{corr} , B-Diag’s detection/verification of anomalies at each vehicle information is transformed to the construction (and then checking) of the data-driven norm model(s) defined by the corresponding inter/intra-layer edges. This way, B-Diag can take a round-robin approach to check the individual inter-layer edges of \mathbb{G}_{corr} for anomaly detection: substituting the engine RPM in Sec. 3 with the target vehicle information and detecting/verifying the anomalies thereof with similar approaches.⁵

5 EVALUATIONS

We have evaluated B-Diag with four vehicles: a 2018 Subaru Crosstrek, a 2008 Honda Fit, a 2018 Volvo XC60, and a 2017 Volkswagen Passat. The major challenge in B-Diag’s

⁵It is possible to design advanced scheduling methods to check the edges based on factors such as the criticality of vehicle information [55].

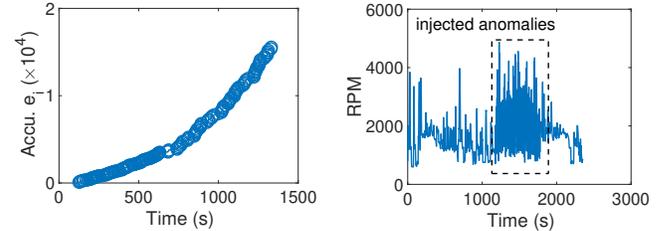


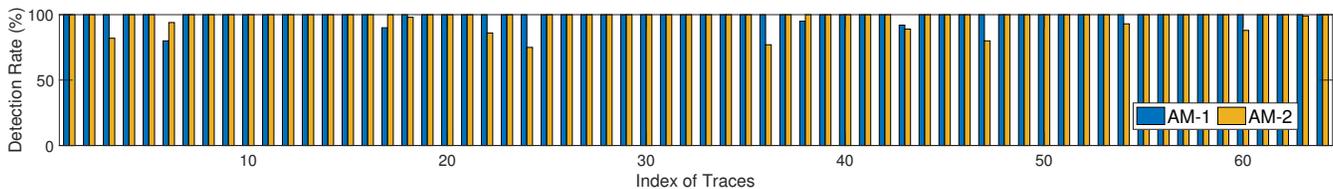
Fig. 28: Accumulated e_i s of emulated anomalies. Fig. 29: Example on injected abnormal RPM with AM-2.

evaluation is the short of real-life cases on vehicle anomalies, gathering of which incurs safety risks. We mitigate this by evaluating B-Diag with (i) anomalies caused by an unreliable OBD-II adapter, (ii) emulated anomalies based on wheel’s RPM, and (iii) simulated anomalies by injecting fabricated values to normal vehicle traces.

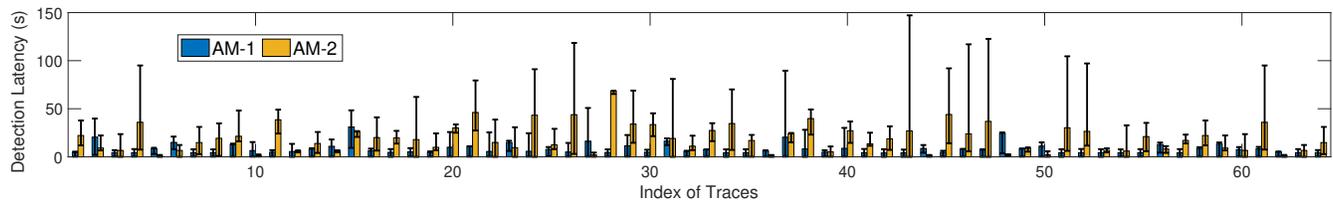
5.1 B-Diag against “True” Anomalies

• **Methodology.** We have identified one faulty Bluetooth OBD-II adapter that is unreliable in collecting the vehicle information, as plotted in Fig. 24 with the engine RPM as an example: the RPM keeps constant for up to over 10 minutes when driving the Crosstrek in urban road with frequent acceleration and braking. We have further verified the unreliability of this adapter with different vehicles. The abnormal vehicle information collected with this faulty adapter serves as a promising candidate to evaluate B-Diag’s ability in detecting the anomalies thereof, even though these anomalies are caused due to the faults of the OBD-II adapter and not the vehicle. For example, the deficient updates of engine RPM in Fig. 24 could map to faulty (or hacked [22]) tachometer of the vehicle.

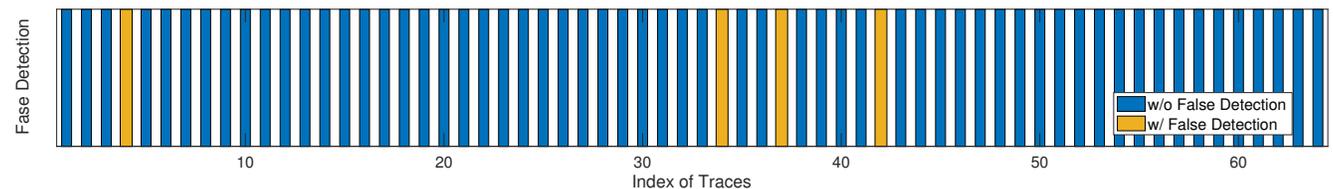
• **Evaluation Results.** We have collected 5 abnormal vehicle traces with the Subaru Crosstrek using this “faulty” adapter, each lasting about {25, 41, 33, 6, 6} minutes. We then apply B-Diag with a moving window of 60s to these traces, to detect the anomalies in the vehicle information listed in Table 1. B-Diag successfully detects the anomalies in *all* these vehicle information of *all* the 5 traces. As an example, Fig. 25 plots the accumulated e_i s of the engine RPM of these abnormal traces: the abrupt changes in slopes validate the detectability of anomalies thereof by B-Diag.



(a) B-Diag achieves >75% detection rate against RPM anomalies for each of these traces, with an averaged detection rate of 99% (with AM-1) and 97% (with AM-2) across all traces



(b) B-Diag achieves <68s average latency in detecting the RPM anomalies for each of these traces, with an averaged detection latency of 8s (with AM-1) and 19s (with AM-2) across all traces



(c) B-Diag falsely detects RPM anomalies in 4 out of the 64 traces

Fig. 30: B-Diag’s detection rate, latency, and false detection against RPM anomalies, with the 64 Crosstrek traces in Fig. 4(e).

• **Adapter Faults or Vehicle Faults?** The above evaluation of B-Diag leads to an interesting and important question: can B-Diag differentiate the anomalies caused due to the faults of its own data collection and those by the actual vehicle failures? The answer is confirmative because the faults of data collection will cause anomalies in all the collected vehicle information, while the actual vehicle failures will, unless in a rare case where most of the vehicle modules fail, cause anomalies only in the vehicle information related to the faulty vehicle modules. Also note the risk of these adapter-caused anomalies can be reduced by employing reliable OBD-II adapters, e.g., using the wired OBD-II adapters to collect the vehicle information instead of those based on Bluetooth.

5.2 B-Diag against Emulated Anomalies

Next we evaluate B-Diag by emulating anomalies of engine RPM based on the wheel’s RPM empirically collected during the same driving trip. Wheel RPM quantifies the rotation speed of the vehicle’s wheels. Mechanically,

$$RPM_{\text{wheel}} = \alpha_i(t) \cdot RPM_{\text{engine}}, \quad (4)$$

where $\alpha(t)$ is the gear ratio at time t , determined by the real-time driving behavior. The empirically collected wheel’s RPMs are a promising candidates to emulate the anomalies of engine RPMs during the same driving trip, because: (i)

wheel’s RPMs fall in the legal range of engine RPM (i.e., with $\alpha_i(t)=1$), making the thus-emulated anomalies possible to occur in practice and not diagnosable by existing range-based diagnostics systems [2, 36], (ii) the wheel RPM is strongly correlated to, but different from, the engine RPM, and such a correlation is dynamic over time.

Inspired by this, we build an Arduino-based RPM sensor with a hall sensor and a magnetic, and attach it to the front-right wheel of the Subaru Crosstrek (see Fig. 26). Fig. 27(a) plots the collected wheel RPM, engine RPM, and battery voltage during a 23-minute drive of the vehicle. The corresponding gear ratio during this driving is plotted in Fig. 27(b). We then emulate the abnormal engine RPMs by concatenating the first 10-minute trace of engine RPM and the last 13-minute trace of wheel RPM, and examine if B-Diag is able to detect such emulated anomalies. Fig. 28 plots the accumulated e_i s obtained with such an emulation, whose change in slope at about the 10.5th minute — i.e., about 0.5 minute after the emulated anomalies begin — validates B-Diag’s ability of detecting such anomalies.

5.3 B-Diag against Simulated Anomalies

We also evaluated B-Diag against simulated anomalies in the vehicle information.

• **Anomaly Model.** We emulate vehicle anomalies by injecting fabricated vehicle information to the collected normal

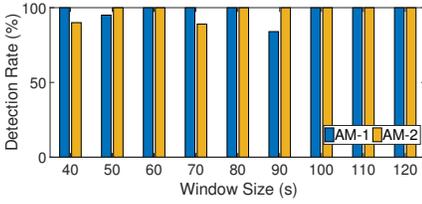


Fig. 31: Detection rate v.s. window size.

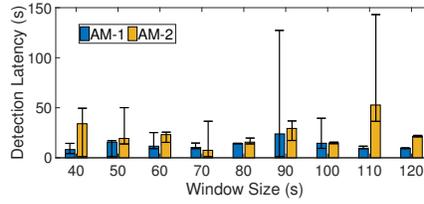


Fig. 32: Detection delay v.s. window size.

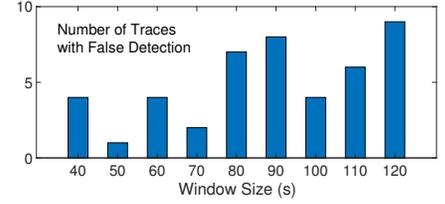


Fig. 33: False detection v.s. window size.

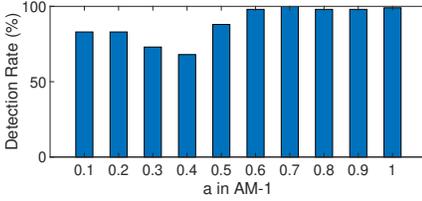


Fig. 34: Detection rate v.s. AM-1's model parameter a in Eq. (5).

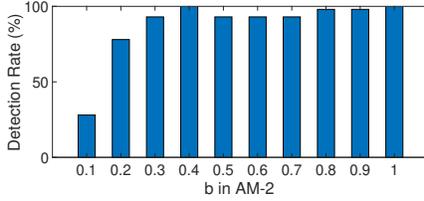


Fig. 35: Detection rate v.s. AM-2's model parameter b in Eq. (6).

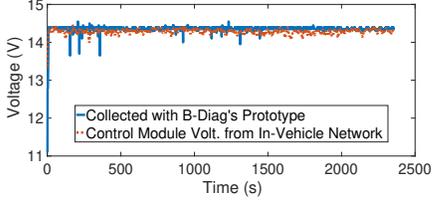


Fig. 36: Control Module Voltage is a close approximation to battery voltage.

traces. Specially, denoting the genuine time series of the targeting vehicle information (e.g., engine RPM) as $v(t)$, we inject anomalies to $v(t)$ with the following two anomaly models, emulating the fabrication and masquerade attacks in practice [35].

AM-1: Injecting anomalies after a randomly selected time t_{anom} by fabricating $v(t)$ within a legal range:

$$v'(t) = (1 - \text{rand}(a)) \cdot v_{\min} + \text{rand}(a) \cdot v_{\max} \quad (t \geq t_{\text{anom}}), \quad (5)$$

where v_{\min} and v_{\max} are the min/maximum of $v(t)$, $\text{rand}(a)$ returns a random value in $[0, a]$, and $a \in [0, 1]$ controls the levels of the fabricated readings ($a=0.8$ unless specified otherwise). The thus-fabricated vehicle information will still be within the min/maximum of its genuine levels, thus voiding existing range-based diagnostics systems [2, 36]. This is also how we generate the RPM anomalies in Fig. 17.

AM-2: Injecting anomalies after a randomly selected time t_{anom} by shifting $v(t)$ s from their true values randomly:

$$v'(t) = (1 - b + 2b \cdot \text{rand}(1)) \cdot v(t) \quad (t \geq t_{\text{anom}}), \quad (6)$$

where b controls the maximum shift of the fabricated $v(t)$ s from their true values ($b=0.5$ unless specified otherwise). Fig. 29 shows an example of such generated RPM anomalies based on the genuine trace in Fig. 6.

• **Evaluation with Subaru Crosstrek.** We first evaluate B-Diag with the 64 driving traces of the Subaru Crosstrek summarized in Fig. 4(e), taking again, the anomalies in engine RPM as an example.

Overall Performance. Fig. 30 summarizes B-Diag's performance in anomaly detection, in terms of the detection rate (Fig. 30(a)), detection latency (Fig. 30(b)), and false detection (Fig. 30(c)), with a 60s moving window. The results in Figs. 30(a) and 30(b) are based on randomly injected RPM anomalies according to AM-1 and AM-2, each with 100 tests.

As shown in Fig. 30(a), B-Diag achieves an overall averaged detection rate of 99% and 97% against the anomalies injected according to AM-1 and AM-2, respectively, and archives 100% detection rate for many of these 64 traces. The minimum detection rate of these traces with AM-1/2 is 80/75%. Fig. 30(b) shows B-Diag detects the anomalies with an averaged latency of less than 31s and 68s, for the two anomaly models respectively. The overall average detection latency across all these 64 traces is 8s for AM-1 and 19s for AM-2. We have also evaluated B-Diag's false detection of anomalies. Specifically, we apply B-Diag to the genuine RPM traces without injecting anomalies, and check if any false detection of RPM anomalies is triggered. Fig. 30(c) shows B-Diag falsely detects RPM anomalies in only 4 of the 64 traces. Moreover, B-Diag only falsely detects the anomalies in $\{1, 1, 2, 2\}$ of the 60s moving windows in the 4 traces with false detection, which last about $\{30, 26, 25, 25\}$ minutes, respectively.

Impact of Window Size. We next evaluate the impact of the size of B-Diag's moving window on its performance in anomaly detection, based on the RPM traces shown in Fig. 6. Figs. 31 and 32 summarize B-Diag's detection rate and latency of injected anomalies with the window size varying from 40–120s, showing an over 84/89% detection rate for all the explored cases and an average latency of 13s and 24s, with AM-1 and AM-2, respectively. No clear dependency between B-Diag's detection rate against anomalies and the window size is observed from Fig. 31. On the other hand, Fig. 32 shows a larger moving window tends to increase the latency of B-Diag's anomaly detection, which is expected as a larger window requires more samples of abnormal RPM readings to conclude the detection of anomalies, thus requiring a longer time. Fig. 33 summarizes the false detection of anomalies when applying B-Diag to each of these genuine 64 traces (and thus without anomalies) with varying window size. The

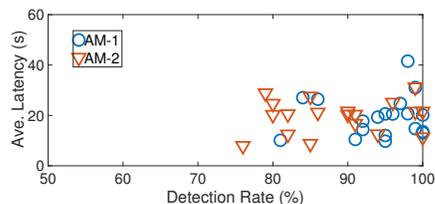


Fig. 39: Using B-Diag to diagnose the information in Fig. 22.

• **Diagnosing beyond Engine RPM.** We also corroborated B-Diag’s feasibility as a comprehensive diagnostics system for vehicles. Specifically, we use B-Diag to detect the anomalies at each of these vehicle information in Fig. 22, injected according to the two anomaly models. Fig. 39 summarizes the detection results: all of these anomalies are detected with over 81/76% detection rate and a latency less than 42/31s, with an average of 94/89% and 19/20s, respectively. Note that no false detection is observed when applying B-Diag to the raw traces without injecting anomalies.

Last but not the least, we further verify B-Diag’s generality in diagnosing the vehicle information listed in Table 1 – which are originally identified with the Subaru Crosstrek – with the Honda Fit, Volvo XC60, and Volkswagen Passat. The strong correlations of these vehicle information with the battery voltage are observed in all these three vehicles, thus validating B-Diag’s generality. This also demonstrates the advantage of B-Diag’s cyber-physical approach in vehicle diagnostics: the physical dependencies among a vehicle’s individual modules are (likely) general for different vehicles, making the correlations among corresponding vehicle information universal.

6 LIMITATIONS

Below we discuss a few limitations of the current design/evaluation of B-Diag, and their potential mitigations.

• **Norm Model Construction.** B-Diag constructs the norm models with a decision tree defined by 10 features. We will further improve such a model construction by (i) reducing the number of features and (ii) exploiting the (likely) overlapped time windows, thus reducing the complexity and improving the online diagnostics of vehicles. Also, B-Diag assumes the availability of normal traces to construct the norm model. We will further explore B-Diag’s ability of vehicle diagnostics when the normal traces are not available.

• **Anomaly Detection and Verification.** B-Diag detects the anomalies based on the τ_i s (defined in Eq. (3)) for each time window. An alternative is to conclude the detection of anomalies only when multiple τ_i s satisfying Eq. (3) have been observed in several consecutive time windows, trading off between the anomaly detection’s false positive and false negative. We will also need to consider the latency of anomaly detection, which is desirable to be as small as possible. We envision the window size should be a promising control knob to reduce the latency. B-Diag verifies the detected

anomalies by checking the norm models defining the interplays among vehicle information, and confirms the detected anomalies if any of these checkings fail. We will investigate other methods for anomaly confirmation, e.g., by weighting the checking results of individual norm models.

• **Fault Identification.** After detecting/verifying the anomalies, B-Diag will need to identify the corresponding causes, i.e., which modules (or ECUs) of the vehicle fail? Such a fault identification is required to provide a swift repair/forensic, otherwise the vehicle remains unreliable no matter how accurate the anomalies are detected. We will steer B-Diag’s fault identification based on the correlation graph defined in Fig. 23, by examining the connectivity among vertexes (i.e., vehicle information) with detected anomalies.

• **Diagnosing beyond Engine RPM.** We have validated B-Diag’s ability in individually diagnosing other vehicle information beyond engine RPM in Sec. 4. An integrated solution that guards all vehicle information in real-time, however, is still needed to make B-Diag a comprehensive solution for vehicle diagnosis, especially in view of the possibility of cascaded anomalies in vehicles, i.e., anomalies in one vehicle information may cause anomalies in other information.

• **Evaluation against Real-Life Vehicle Anomalies.** Although we have validated B-Diag with different approaches in Sec. 5, B-Diag’s evaluation against real-life vehicle anomalies is still missing. Such an evaluation of B-Diag may cause vehicle malfunction and thus incur safety risks. We will mitigate these challenges with two steps: (i) testing when using jack stands to raise the vehicle from the ground, thus ensuring safety, and then (ii) testing when driving on testing field, such as the Mcity Test Facility at University of Michigan.

7 CONCLUSION

In this paper, we have designed B-Diag, a battery-based diagnostics system that guards vehicles against anomalies in real-time, and implemented B-Diag as an add-on module of commodity vehicles attached to automotive batteries. B-Diag is inspired by the physically-induced correlations between the battery voltage and other operational parameters of the vehicle such as engine RPM. B-Diag exploits these correlations to diagnose vehicles by exploiting automotive batteries as anomaly sensors: cross-validating vehicle information with online constructed norm models with regard to the battery voltage, steered by a dataset collected when driving a 2018 Subaru Crosstrek in real-life for over 3 months. We have evaluated B-Diag based on the driving traces collected with, besides the Crosstrek, a 2008 Honda Fit, a 2018 Volvo XC60, and a 2017 Volkswagen Passat, showing B-Diag detects anomalies in vehicle information with over 86% detection rate on average.

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