

# Ranking Abstractions

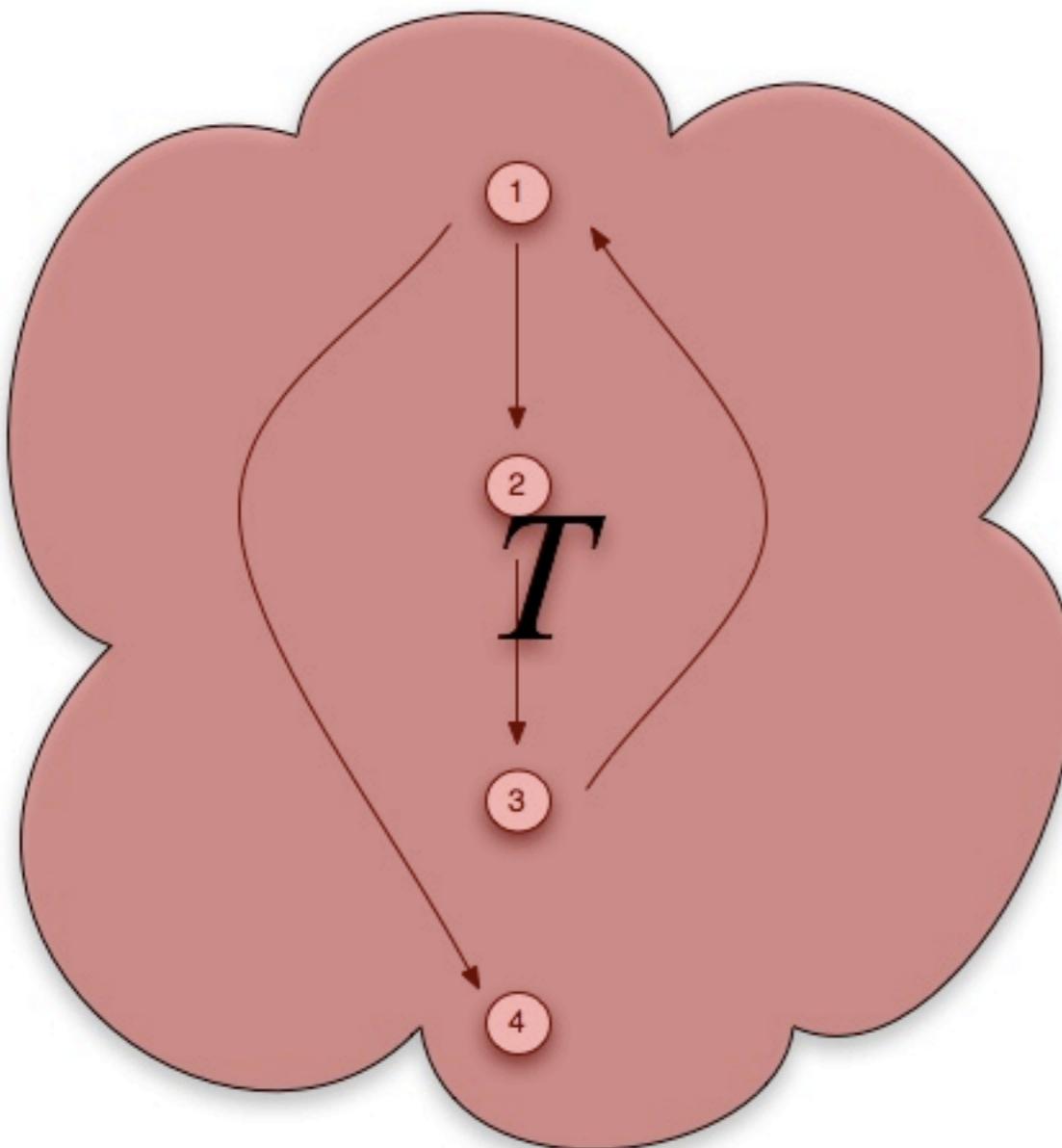
Aziem Chawdhary, Byron Cook, Sumit Gulwani,  
Mooly Sagiv and Hongseok Yang

# Why prove Termination?

- Verification of Reactive Code
- If I call a function will it always return?
- If I request a resource will I eventually get access to it?
- Liveness properties

# Proving Termination

## The Traditional Method



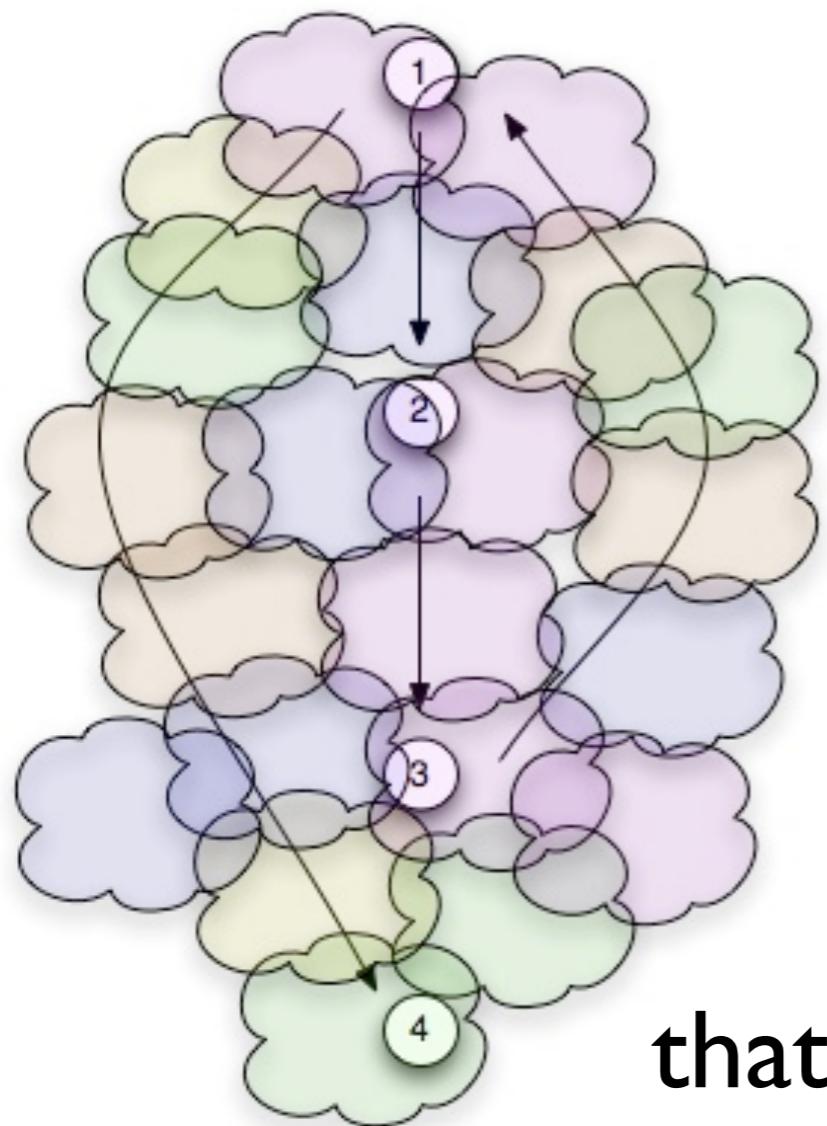
Function  $T$  s.t

$$T(\sigma) > T(\sigma')$$

A function  $f$  with a range to a well-ordered set is called a ranking function

# Proving Termination

## Podelski-Rybalchenko Result



$R$  is well-founded *iff*

$$R^+ \subseteq T_1 \cup \dots \cup T_n$$

$T_i$  is well-founded

WF Relation is a relation  
that does not allow infinite sequences

# Previous Work

$$R^+ \subseteq T_1 \cup \dots \cup T_n$$

- Variance Analyses from Invariance Analyses  
**(POPL 07)** Josh Berdine, Aziem Chawdhary, Byron Cook, Dino Distefano, Peter O'Hearn
- Take a safety analyser and reuse its results to produce a Termination Analyser
- Use RFS to generate covering relations
- Inclusion check is guaranteed, but WF is not

# Essence

- Disjunction of Ranking Relations per program point
- Symbolically Execute Path
  - Leaves Domain of Ranking Relations
  - Call RFS to squish back to Ranking Relations (Cousot, Abstraction)
- RFS guarantees fixpoint convergence and keeps only termination-relevant info

# Example

# Example

```
1 while ( $x > 0 \wedge y > 0$ ) {  
2     if (*) then {  $x = x - 1; y = *;$  }  
3     else {  $y = y - 1;$  }  
4 }
```

# Example

$$C_1 \stackrel{\text{def}}{=} 'x > 0 \wedge 'y > 0 \wedge x = 'x - 1$$

```
1 while
2     if (*) then { x=x-1; y= *; }
3     else { y=y-1; }
4 }
```

# Example

$$C_1 \stackrel{\text{def}}{=} 'x > 0 \wedge 'y > 0 \wedge x = 'x - 1$$

```
1 while ...  
2   if (*) then { x=x-1; y= *; }  
3   else { y=y-1; }  
4 }
```

$$C_2 \stackrel{\text{def}}{=} 'x > 0 \wedge 'y > 0 \wedge x = 'x \wedge y = 'y - 1.$$

# Example

$$C_1 \stackrel{\text{def}}{=} 'x > 0 \wedge 'y > 0 \wedge x = 'x - 1$$

1      while

2      Perform RFS

3          en {  $x = x - 1; y = *;$  }  
4           $-y - 1; }$

$$C_2 \stackrel{\text{def}}{=} 'x > 0 \wedge 'y > 0 \wedge x = 'x \wedge y = 'y - 1.$$

# Example

```
1 while ...  
2   Perform RFS  
3   ...  
4 }
```

RFS( $C_1$ ) =  $x$

Perform RFS

... {  $x=x-1; y=*$ ; }  
 $y-1;$

RFS( $C_2$ ) =  $y$

Initial  
Abstract  
State

# Example

```
1   while ( $x > 0 \wedge y > 0$ ) {  
2     if (*) then {  $x = x - 1; y = *;$  }  
3     else {  $y = y - 1;$  }  
4 }
```

$$A_0 = (\cdot x \geq 0 \wedge \cdot x - 1 \geq x) \vee (\cdot y \geq 0 \wedge \cdot y - 1 \geq y \wedge \cdot x = x).$$

$$A_0 = (\cdot x \geq 0 \wedge \cdot x - 1 \geq x) \vee (\cdot y \geq 0 \wedge \cdot y - 1 \geq y \wedge \cdot x = x)$$

## Symb Execute and RFS

```
1 while ( $x > 0 \wedge y > 0$ ) {  
2   if (*) then {  $x = x - 1; y = *;$  }  
3   else {  $y = y - 1;$  }  
4 }
```

$$A_0 = (\cdot x \geq 0 \wedge \cdot x - 1 \geq x) \vee (\cdot y \geq 0 \wedge \cdot y - 1 \geq y \wedge \cdot x = x)$$

## Symb Execute and RFS

```
1 while ( $x > 0 \wedge y > 0$ ) {  
2   if (*) then {  $x = x - 1; y = *$ ; }  
3   else {  $y = y - 1;$  }  
4 }
```

$$\text{RFS}((\cdot x \geq 0 \wedge \cdot x + 1 \geq x); C_1) = \cdot x \geq 0 \wedge \cdot x + 1 \geq x$$

$$A_0 = (\cdot x \geq 0 \wedge \cdot x - 1 \geq x) \vee (\cdot y \geq 0 \wedge \cdot y - 1 \geq y \wedge \cdot x = x)$$

## Symb Execute and RFS

```
1 while ( $x > 0 \wedge y > 0$ ) {  
2   if (*) then {  $x = x - 1; y = *$ ; }  
3   else {  $y = y - 1;$  }  
4 }
```

$$\text{RFS}((\cdot x \geq 0 \wedge \cdot x + 1 \geq x); C_2) = \cdot x \geq 0 \wedge \cdot x + 1 \geq x$$

$$A_0 = (\cdot x \geq 0 \wedge \cdot x - 1 \geq x) \vee (\cdot y \geq 0 \wedge \cdot y - 1 \geq y \wedge \cdot x = x)$$

## Symb Execute and RFS

```
1 while ( $x > 0 \wedge y > 0$ ) {  
2   if (*) then {  $x = x - 1; y = *$ ; }  
3   else {  $y = y - 1;$  }  
4 }
```

$$\text{RFS}((\cdot y \geq 0 \wedge \cdot y + 1 \geq y \wedge \cdot x = x); C_1) = \cdot x \geq 0 \wedge \cdot x + 1 \geq x$$

$$A_0 = (\cdot x \geq 0 \wedge \cdot x - 1 \geq x) \vee (\cdot y \geq 0 \wedge \cdot y - 1 \geq y \wedge \cdot x = x)$$

## Symb Execute and RFS

```
1 while ( $x > 0 \wedge y > 0$ ) {  
2   if (*) then {  $x = x - 1; y = *$ ; }  
3   else {  $y = y - 1;$  }  
4 }
```

$$\text{RFS}((\cdot y \geq 0 \wedge \cdot y + 1 \geq y \wedge \cdot x = x); C_2) = \cdot y \geq 0 \wedge \cdot y + 1 \geq y$$

Next Abstract  
State

Example

1                    w ]

2

3

4

$A_0$

$\vee$

$(\cdot x \geq 0 \wedge \cdot x - 1 \geq x)$

$\vee$

$(\cdot x \geq 0 \wedge \cdot x - 1 \geq x)$

$\vee$

$(\cdot x \geq 0 \wedge \cdot x - 1 \geq x)$

$\vee$

$(\cdot y \geq 0 \wedge \cdot y - 1 \geq y)$

$y = *; \}$

}

Next Abstract  
State

Example

1  
2  
3  
4

w<sup>l</sup>

Reached a fixpoint

$A_0$

$y = *; \}$

}

Next Abstract  
State

Example

1  
2  
3  
4

w<sup>l</sup>

Reached a fixpoint

$A_0$

$y = *; \}$

}

# Example

```
1   while ( $x > 0 \wedge y > 0$ ) {  
2     if (*) then {  $x = x - 1; y = *;$  }  
3     else {  $y = y - 1;$  }  
4 }
```

$$A_0 = (\cdot x \geq 0 \wedge \cdot x - 1 \geq x) \vee (\cdot y \geq 0 \wedge \cdot y - 1 \geq y \wedge \cdot x = x).$$

Found a  
disjunctively WF  
relation  
overapproximating  
the program

```
1           y>0) {  
2     if (*) then { x=x-1; y=*; }  
3     else { y=y-1; }  
4 }
```

$$A_0 = (\cdot x \geq 0 \wedge \cdot x-1 \geq x) \vee (\cdot y \geq 0 \wedge \cdot y-1 \geq y \wedge \cdot x=x).$$

Found a  
disjunctively WF  
relation  
overapproximating  
the program

Therefore it  
Terminates!

```
1           y > 0 )  
2   if (*) then { x = x - 1; y = *; }  
3   else { y = y - 1; }  
4 }
```

$$A_0 = (\cdot x \geq 0 \wedge \cdot x - 1 \geq x) \vee (\cdot y \geq 0 \wedge \cdot y - 1 \geq y \wedge \cdot x = x).$$

# Some Formal Stuff

# Programming Language

$$\begin{array}{lcl} e & ::= & x \mid r \mid e + e \mid r \times e \\ b & ::= & e = e \mid e \neq e \mid b \wedge b \mid b \vee b \mid \neg b \\ a & ::= & x := e \mid x := * \mid \text{assume}(b) \\ c & ::= & a \mid c; c \mid \text{while } b \text{ } c \mid c [] c \end{array}$$

# Abstract Domain

- Analysis is parameterised by a domain representing relations on program states

a set  $D$

A Monotone Concretization Function

$$\gamma_r : D \rightarrow \mathcal{P}(\text{St} \times \text{St})$$

# Abstract Domain

Parameterised Domain

An Abstract Identity Element over-  
approximating identity relation on states

$$\text{id}_{\text{St}} \subseteq \gamma_r(d_{\text{id}})$$

# Abstract Domain

## Parameterised Domain

$$\text{RFS}: D \rightarrow \mathcal{P}_{\text{fin}}(D) \uplus \{\top\}$$

RFS Returns an Over-Approximation

$$\text{RFS}(d) \neq \top \implies \gamma_r(d) \subseteq \bigcup \{\gamma_r(d') \mid d' \in \text{RFS}(d)\}.$$

# Abstract Domain

## Parameterised Domain

$$\text{RFS}: D \rightarrow \mathcal{P}_{\text{fin}}(D) \uplus \{\top\}$$

RFS Returns WF relations

$\text{RFS}(d) \neq \top \implies \bigcup \{\gamma_r(d') \mid d' \in \text{RFS}(d)\}$  is well-founded.

# Abstract Domain

## Parameterised Domain

$$\text{trans}(a) : D \rightarrow \mathcal{P}_{\text{fin}}(D)$$

Trans overapproximates relational meaning of commands

$$\forall d \in D. (\gamma_r(d); \llbracket a \rrbracket) \subseteq \bigcup \{\gamma_r(d') \mid d' \in \text{trans}(a)(d)\}$$

# Abstract Domain

## Parameter Domain

$$\text{comp}: D \times D \rightarrow D$$

**comp** over-approximates relational composition

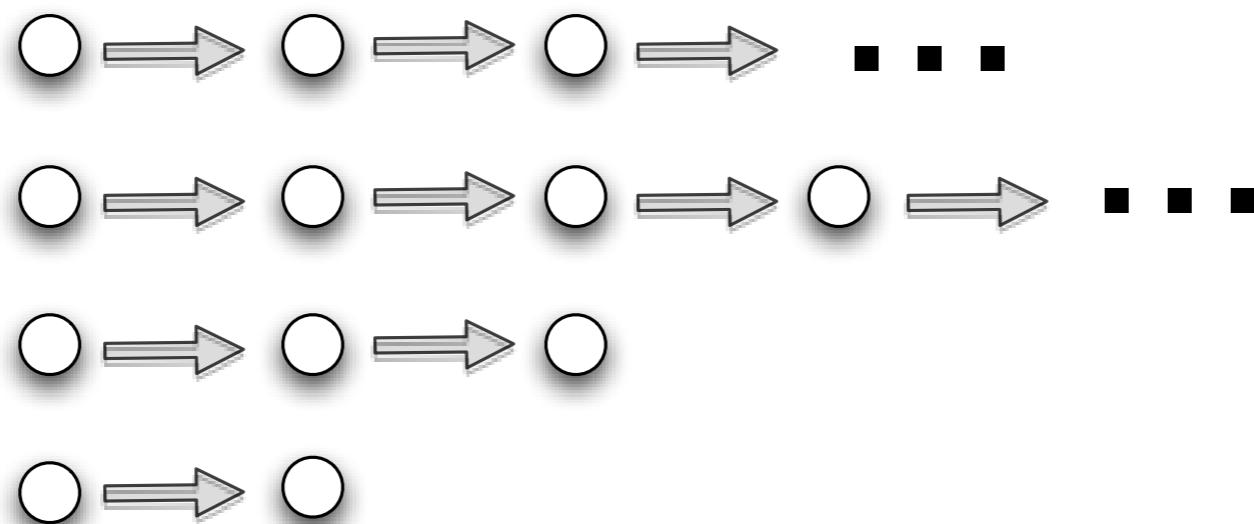
$$\gamma_r(d); \gamma_r(d') \subseteq \gamma_r(\text{comp}(d, d')).$$

# Abstract Domain

$$\mathcal{A} \stackrel{\text{def}}{=} (\mathcal{P}_{\text{fin}}(D))^{\top} \quad (\text{i.e., } \mathcal{P}(D) \uplus \{\top\}).$$

$\top$

represents all possible traces incl infinite traces

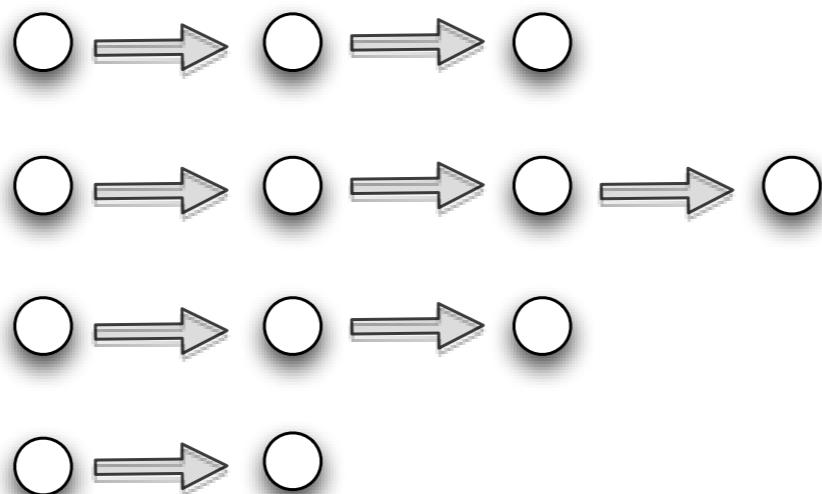


# Abstract Domain

$$\mathcal{A} \stackrel{\text{def}}{=} (\mathcal{P}_{\text{fin}}(D))^{\top} \quad (\text{i.e., } \mathcal{P}(D) \uplus \{\top\}).$$

non -  $\top$

represents sets of finite non-empty traces



# The Analysis

$$[\![c]\!]^\# : \mathcal{A} \rightarrow \mathcal{A}$$

$$[\![a]\!]^\# A \stackrel{\text{def}}{=} (\text{trans}(a))^\dagger A$$

$$[\![c_0; c_1]\!]^\# A \stackrel{\text{def}}{=} ([\![c_1]\!]^\# \circ [\![c_0]\!]^\#) A$$

$$[\![c_0 \parallel c_1]\!]^\# A \stackrel{\text{def}}{=} [\![c_0]\!]^\# A \sqcup [\![c_1]\!]^\# A$$

# Fixpoints

$$\llbracket \text{while } * \; c \rrbracket^\# A \stackrel{\text{def}}{=} \text{let } F \stackrel{\text{def}}{=} \lambda A'. \llbracket c \rrbracket^\# (\{d_{\text{id}}\}) \sqcup \llbracket c \rrbracket^\# (A') \\ \text{in } (\text{comp}^\dagger(A, \text{fix}(\text{RFS}^\dagger \circ F)))$$

- Overapproximate  $R^+$  not  $R^*$
- Start from *id* relation rather than input  $A$
- Apply RFS at each iteration

# Linear Constraints Domain

- Produced an implementation
- Abstract elements consist of only linear constraints between variables
- Use Rankfinder by Andrey Rybalchenko

# Experiments

# Experiments

## Examples from Octagon Domain Distribution

	1		2		3		4		5		6	
LR	0.01	✓	0.01	✓	0.08	✓	0.09	✓	0.02	✓	0.06	✓
O	0.11	✓	0.08	✓	6.03	✓	1.02	✓	0.16	✓	0.76	✓
P	1.40	✓	1.30	✓	10.90	✓	2.12	✓	1.80	✓	1.89	✓
T	6.31	✓	4.93	✓	T/O	-	T/O	-	33.24	✓	3.98	✓

LR      Linear Ranking Domain Implementation

O      Octagon Domain Variance Analyses from POPL07

P      Polyhedra Domain Variance Analyses from POPL07

T      Terminator

# Experiments

## Examples from Windows Device Drivers

	1	2		3		4		5		6		7		8		9		10		
<b>LR</b>	0.23	✓	0.20	∅	0.00	∅	0.04	✓	0.00	✓	0.03	✓	0.07	✓	0.03	✓	0.01	∅	0.03	✓
<b>O</b>	1.42	✓	1.67	∅	0.47	∅	0.18	✓	0.06	✓	0.53	✓	0.50	✓	0.32	✓	0.14	∅	0.17	✓
<b>P</b>	4.66	✓	6.35	∅	1.48	∅	1.10	✓	1.30	✓	1.60	✓	2.65	✓	1.89	✓	2.42	∅	1.27	✓
<b>T</b>	10.22	✓	31.51	∅	20.65	∅	4.05	✓	12.63	✓	67.11	✓	298.45	✓	444.78	✓	T/O	-	55.28	✓

**LR**

Linear Ranking Domain Implementation

**O**

Octagon Domain Variance Analyses from POPL07

**P**

Polyhedra Domain Variance Analyses from POPL07

**T**

Terminator

# Experiments

## Examples from Polyrank Distribution

	1		2		3		4		6		7		8		9		10		11		12	
LR	0.19	✓	0.02	✓	0.01	†	0.02	†	0.02	†	0.01	†	0.04	†	0.01	†	0.03	†	0.02	†	0.01	†
O	0.30	†	0.05	†	0.11	†	0.50	†	0.10	†	0.17	†	0.16	†	0.12	†	0.35	†	0.86	†	0.12	†
P	1.42	✓	0.82	✓	1.06	†	2.29	†	2.61	†	1.28	†	0.24	†	1.36	✓	1.69	†	1.56	†	1.05	†
T	435.23	✓	61.15	✓	T/O	-	T/O	-	75.33	✓	T/O	-	10.31	†								

LR

Linear Ranking Domain Implementation

O

Octagon Domain Variance Analyses from POPL07

P

Polyhedra Domain Variance Analyses from POPL07

T

Terminator

# Conclusions

- Designing an Abstract Domain with Termination in mind can give big increases in speed without sacrificing precision
- Caveat: Need a cheap safety analyser in a pre-phase