

TermComp Proposal: Pushdown Systems as a Model for Programs with Procedures

Marc Brockschmidt Andrey Rybalchenko

Microsoft Research

June 5, 2014

Abstract

A program with procedures can be formally modelled by a set of transition relations that form a pushdown system. These transition relations keep track how valuations of variables in scope of individual procedures evolve during program execution. We present such a model together with its representation using the SMTLIB2 language.¹

1 Pushdown systems

A pushdown system consists of a finite set of procedures $Proc$, a set of initial states represented by an assertion $init_p(v_p)$ over variables v_p (i.e., a state is a valuation of variables) of the so-called main procedure $p \in Proc$, a set of intra-procedural transition relations represented by assertions $step_p(v_p, v'_p)$ for each procedure $p \in Proc$, a set of call transition relations represented by assertions $call_q^p(v_p, v_q)$ for each procedure $p \in Proc$ that calls a procedure $q \in Proc$, and a set of return transition relations $return_q^p(v_p, v_q, v'_q)$ for each procedure $p \in Proc$ that is called by a procedure $q \in Proc$.

We define a (global) transition relation $next$ as follows.

$$\begin{aligned} ((x \cdot xs), (y \cdot ys)) \in next \text{ iff } \\ \exists p \in Proc : step_p(x, y) \wedge xs = ys \\ \vee \exists q \in Proc : call_q^p(x, y) \wedge (x \cdot xs) = ys \\ \vee return_q^p(x, z, y) \wedge xs = (z \cdot ys) \end{aligned}$$

A computation is a sequence s_1, s_2, \dots such that s_1 is an initial state and every pair of adjacent states (s, s') is an element of $next$.

¹This document is intended to be sufficiently precise, and to be refined when needed.

2 Representation in SMTLIB2

The non-terminals $\langle \text{identifier} \rangle$, $\langle \text{term} \rangle$, $\langle \text{sorted_var} \rangle$ refer to the non-terminals of the same name in the SMTLIB language. “#” signifies a comment (in the definition) that continues until the line end.

We make use of a special sort `Loc` to model control locations of a program. $\langle \text{scope} \rangle$ models a tuple of program variables that are in scope of a given procedure, which includes the program counter as well as the return variable, and does not distinguish between global, local, and formal variables. To facilitate construction of control-flow graphs, we use auxiliary functions `init_aux`, `trans2_aux`, and `trans3_aux` to define initial states, as well as step, call, and return transition relations in a stylised form.

```

⟨scope⟩ ::= ⟨⟨identifier⟩ Loc⟩ ⟨sorted_var⟩+
⟨init_aux⟩ ::= (cfg_init ⟨identifier⟩ ⟨identifier⟩ ⟨term⟩)
⟨trans2_aux⟩ ::= (cfg_trans2 ⟨identifier⟩ ⟨identifier⟩
                    ⟨identifier⟩ ⟨identifier⟩ ⟨term⟩)
⟨trans3_aux⟩ ::= (cfg_trans3 ⟨identifier⟩ ⟨identifier⟩
                    ⟨identifier⟩ ⟨identifier⟩
                    ⟨identifier⟩ ⟨identifier⟩ ⟨term⟩)

⟨init_def⟩ ::= (define-fun init_⟨procedure_id⟩ (⟨scope⟩) Bool
                ⟨init_aux⟩)

⟨next_def⟩ ::= (define-fun next_⟨procedure_id⟩ (
                  ⟨scope⟩ # from
                  ⟨scope⟩ # to
                  ) Bool
                (or ⟨trans2_aux⟩+))

⟨call_def⟩ ::= (define-fun ⟨procedure_id⟩_call_⟨procedure_id⟩ (
                  ⟨scope⟩ # caller
                  ⟨scope⟩ # callee
                  ) Bool
                (or ⟨trans2_aux⟩+))

⟨trans3_def⟩ ::= (define-fun ⟨procedure_id⟩_ret_⟨procedure_id⟩ (
                  ⟨scope⟩ # callee exit
                  ⟨scope⟩ # caller call
                  ⟨scope⟩ # caller return
                  ) Bool
                (or ⟨trans3_aux⟩+))

```

```

⟨program⟩ ::= (declare-sort Loc 0)
              (declare-const ⟨identifier⟩ Loc) +
              (assert (distinct ⟨identifier⟩+))
              (define-fun cfg_init ( (pc Loc) (src Loc) (rel Bool) ) Bool
                (and (= pc src) rel))
              (define-fun cfg_trans2 ( (pc Loc) (src Loc)
                                         (pc1 Loc) (dst Loc)
                                         (rel Bool) ) Bool
                (and (= pc src) (= pc1 dst) rel))
              (define-fun cfg_trans3 ( (pc Loc) (exit Loc)
                                         (pc1 Loc) (call Loc)
                                         (pc2 Loc) (return Loc)
                                         (rel Bool) ) Bool
                (and (= pc exit) (= pc1 call) (= pc2 return) rel))
              ⟨init_def⟩
              (⟨next_def⟩ | ⟨call_def⟩ | ⟨return_def⟩) +

```

3 Examples

3.1 A while loop

We consider the following program in a C-like language:

```

L0: k = 1;
L1: while (x > 0) {
L2:   x -= k;
}
L3:

```

We model this program as the following transition system.

```

(declare-sort Loc 0)
(declare-const loc0 Loc)
(declare-const loc1 Loc)
(declare-const loc2 Loc)
(declare-const loc3 Loc)

(assert (distinct loc0 loc1 loc2 loc3))

(define-fun cfg_init ( (pc Loc) (src Loc) (rel Bool) ) Bool
  (and (= pc src) rel))

```

```

(define-fun cfg_trans2 ( (pc Loc) (src Loc)
                          (pc1 Loc) (dst Loc)
                          (rel Bool) ) Bool
  (and (= pc src) (= pc1 dst) rel))

(define-fun init_main ( (pc Loc) (k Int) (x Int) ) Bool
  (cfg_init pc loc0 (> x 0)))

(define-fun next_main ( (pc Loc) (k Int) (x Int)
                          (pc1 Loc) (k1 Int) (x1 Int) ) Bool
  (or
    (cfg_trans2 pc loc0 pc1 loc1 (and (= k1 1) (= x1 x)))
    (cfg_trans2 pc loc1 pc1 loc2 (and (> x 0) (= k1 k) (= x1 x)))
    (cfg_trans2 pc loc1 pc1 loc3 (and (not (> x 0)) (= k1 k) (= x1 x)))
    (cfg_trans2 pc loc2 pc1 loc1 (and (= k1 k) (= x1 (- x k))))
  )
)
)

```

For comparision, we show an equivalent int-based TRS.

```

loc0(k, x) -> loc1(k1, x1) [ x1 = x /\ k1 = 1 ]
loc1(k, x) -> loc2(k1, x1) [ x1 = x /\ k1 = k /\ x > 0 ]
loc1(k, x) -> loc3(k1, x1) [ x1 = x /\ k1 = k /\ x-1 <= -1 ]
loc2(k, x) -> loc1(k1, x1) [ x1 = x-k /\ k1 = k ]

```

3.2 Program with procedures

As recursive example, we consider a program mc91:

```

int x;

main() {
  int y;
L5:  assume(y <= 100);
L6:  x = mc91(y);
} // E_MAIN:

int mc91(int n) {
  int t;
L1:  if (n > 100) {
L2:    return n - 10;
  } else {
L3:    t = mc91(n+11);
L4:    return mc91(t);
  }
} // E_MC91:

```

We model the above program using the following pushdown system.

```

(declare-sort Loc 0)
(declare-const 15 Loc)
(declare-const 16 Loc)
(declare-const e_main Loc)
(declare-const 11 Loc)
(declare-const 12 Loc)
(declare-const 13 Loc)
(declare-const 14 Loc)
(declare-const e_mc91 Loc)

(assert (distinct 11 12 13 14 e_mc91 15 16 e_main))

(define-fun cfg_init ( (pc Loc) (src Loc) (rel Bool) ) Bool
  (and (= pc src) rel))

(define-fun cfg_trans2 ( (pc Loc) (src Loc)
                           (pc1 Loc) (dst Loc)
                           (rel Bool) ) Bool
  (and (= pc src) (= pc1 dst) rel))

(define-fun cfg_trans3 ( (pc Loc) (exit Loc)
                           (pc1 Loc) (call Loc)
                           (pc2 Loc) (return Loc)
                           (rel Bool) ) Bool
  (and (= pc exit) (= pc1 call) (= pc2 return) rel))

; init
(define-fun init_main ((pc Loc) (x Int) (y Int)) Bool
  (cfg_init pc 15 true))

; main
(define-fun next_main (
  (pc Loc) (x Int) (y Int)
  (pc1 Loc) (x1 Int) (y1 Int)
  ) Bool
  (or
    (cfg_trans2 pc 15 pc1 16      (and (<= y 100) (= x1 x) (= y1 y)))
    (cfg_trans2 pc 16 pc1 e_main (and (<= y 100) (= x1 x) (= y1 y)))))

(define-fun main_call_mc91 ( (pc Loc) (x Int) (y Int)
                               (pc1 Loc) (x1 Int) (n1 Int) (t1 Int) (r1 Int)
                               ) Bool
  (or
    (cfg_trans2 pc 16 pc1 11 (and (= x1 x) (= n1 y)))))

(define-fun mc91_return_main ( (pc Loc) (x Int) (n Int) (t Int) (r Int)
                               (pc1 Loc) (x1 Int) (y1 Int)
                               (pc2 Loc) (x2 Int) (y2 Int)
                               ) Bool

```

```

(or
  (cfg_trans3 pc e_mc91 pc1 16 pc2 e_main (and (= x2 r) (= y2 y1)))))

; mc91
(define-fun next_mc91 ( (pc Loc) (x Int) (n Int) (t Int) (r Int)
                           (pc1 Loc) (x1 Int) (n1 Int) (t1 Int) (r1 Int)
                           ) Bool
  (or
    (cfg_trans2 pc 11 pc1 12      (and (> n 100) (= x x1) (= n1 n)
                                         (= t1 t) (= r1 r)))
    (cfg_trans2 pc 11 pc1 13      (and (not(> n 100)) (= x x1) (= n1 n)
                                         (= t1 t) (= r1 r)))
    (cfg_trans2 pc 12 pc1 e_mc91 (and (= x x1) (= n1 n) (= t1 t)
                                         (= r1 (- n 10)))))

(define-fun mc91_call_mc91 ( (pc Loc) (x Int) (n Int) (t Int) (r Int)
                               (pc1 Loc) (x1 Int) (n1 Int) (t1 Int) (r1 Int)
                               ) Bool
  (or
    (cfg_trans2 pc 13 pc1 11 (and (= n1 (+ n 11)) (= x1 x)))
    (cfg_trans2 pc 14 pc1 11 (and (= n1 t) (= x1 x)))))

(define-fun mc91_return_mc91 ( (pc Loc) (x Int) (n Int) (t Int) (r Int)
                                (pc1 Loc) (x1 Int) (n1 Int) (t1 Int) (r1 Int)
                                (pc2 Loc) (x2 Int) (n2 Int) (t2 Int) (r2 Int)
                                ) Bool
  (or
    (cfg_trans3 pc e_mc91 pc1 13 pc2 14      (and (= n2 n1) (= r2 r1)
                                                   (= x2 x) (= t2 t1)))
    (cfg_trans3 pc e_mc91 pc1 14 pc2 e_mc91 (and (= n2 n1) (= t2 t1)
                                                   (= x2 x) (= r2 r1)))))


```